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NEW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 45 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which full text was included in the Notice or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); or for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and for 30 days after publication of a Notice of Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 45 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:
– the 60-day period expires on May 24, 2015
– the 45-day period expires on May 9, 2015
– the 30-day period expires on April 24, 2015

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments must reach the agency before the proposed rule is adopted. The law provides for a minimum 45-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making for which full text was included or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); a minimum 60-day public comment period after publication in the *Register* of a Notice of Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after public comments are due.

The Administrative Regulations Review Commission (ARRC) is charged with the task of reviewing newly proposed regulations to examine the issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Children and Family Services

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Implementation of Legislation for Destitute Children

I.D. No. CFS-12-15-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 420.1, 421.1, 422.1, 427.2, 428.2, 430.10, 430.11, 431.17, 431.18, 436.1, 436.5, 441.2, 441.22, 443.1, 443.7 and 628.3 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20(3)(d) and 34(3)(f); L. 2012, ch. 3

Subject: Implementation of legislation for destitute children.

Purpose: To implement legislation for destitute children, re-entry into foster care and to make other technical amendments.

Substance of proposed rule (Full text is posted at the following State website:http://ocfs.ny.gov): The proposed regulations would amend 18 NYCRR 420.1(a) to add juvenile delinquents, Persons in Need of Supervision (PINS) and destitute children to the definition of legally freed children where all parents who would be entitled to notice of the child's adoption are deceased in regard to the standards relating to the photo listing of children freed for adoption.

The proposed regulations would amend 18 NYCRR 421.1(i) to add juvenile delinquents, PINS and destitute children to the definition of a legally free child where all parents who would be entitled to notice of the child's adoption are deceased relating to the standards for adoption services.

The proposed regulations would amend 18 NYCRR 422.1(a) to add destitute children and children who re-enter foster care to the definition of a parent relating to the standards for parental support of children in foster care.

The proposed regulations would amend 18 NYCRR 427.2(b) to add destitute children and children who re-enter foster care to the definition of a foster child relating to the standards of payment for foster care of children.

The proposed regulations would amend 18 NYCRR 428.2(a) to add destitute children and children who re-enter foster care to the definition of case initiation date or day 1 relating to the standards for uniform case records and family and child assessments and service plans.

The proposed regulations would amend 18 NYCRR 430.10(d) to add destitute children and children who re-enter foster care to court ordered placements relating to the standards for the necessity of foster care placements.

The proposed regulations would amend 18 NYCRR 430.11(c) to add destitute children and children who re-enter foster care to the standards for continuity of the environment of a child entering foster care where the child is an Indian child.

The proposed regulations would amend 18 NYCRR 431.17 to add destitute children and children who re-enter foster care, add a reference to a surrender of a child in foster care in accordance with section 383-c of the Social Services Law and update the name of a state agency in regard to the standards for the care and custody of foster children placed in facilities operated or supervised by the Office of Mental Health or the Office for People With Developmental Disabilities.

The proposed regulations would amend 18 NYCRR 431.18(c)-(e) to add references to PINS and destitute children to the categories of child custody proceedings for which an Indian child's parent or Indian custodian and the Indian child's tribe must receive notice of the proceeding. The proposed regulations would require that active efforts be made to alleviate the need for removal of the Indian child from his or her home and would apply such requirement to destitute children and children who re-enter foster care. In addition, the proposed regulations would add PINS, destitute children and children who re-enter foster care to the cases were the social services official must inquire whether a child is an Indian child. Such proposed amendments reflect standards set forth in the federal Indian Child Welfare Act (25 U.S.C. §§ 1901 et seq.).

The proposed regulations would amend 18 NYCRR 436.1(b) to add destitute children to the definition of a child in regard to the kinship guardianship assistance program.

The proposed regulations would amend 18 NYCRR 436.5(c) to address when the income of a prospective kinship guardian would be disregarded for the purpose of calculating the payment rate the prospective kinship guardian would be eligible for to conform with standards in place for adoption subsidy.

The proposed regulations would amend 18 NYCRR 441.2(b) to add destitute children and children who re-enter foster care to the definition of a child for the purpose of regulatory standards dealing with children in residential care.

The proposed regulations would amend 18 NYCRR 441.22(e) and (f) to add references to destitute children in regard to the standards for health and medical services for foster children.

The proposed regulations would amend 18 NYCRR 443.1(e) and (f) to add destitute children, children who re-enter foster care and children who enter foster care by a surrender pursuant to section 383-c of the Social Services Law to the definition of foster family home care and approved home in the standards for the certification or approval of foster homes.

The proposed regulations would amend 18 NYCRR 443.7(a) to add the care of destitute children and children who re-enter foster care to those circumstances in which a foster home may be approved or certified on an emergency basis.

The proposed regulations would amend 18 NYCRR 628.3(a) and (c) to add a clarifying reference to section 1092 of the Family Court Act for

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destitute children in the standards relating to claiming for children in foster care.

Text of proposed rule and any required statements and analyses may be obtained from: Public Information Office, Office of Children and Family Services, 52 Washington Street, Rensselaer, New York 12144-2796, (518) 473-7793

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

Section 20(3)(d) of the Social Services Law (SSL) authorizes the Office of Children and Family Services (OCFS) to establish rules and regulations to carry out its powers and duties pursuant to the provisions of the SSL.

Section 34(3)(f) of the SSL requires the Commissioner of OCFS to establish regulations for the administration of public assistance and care within the State.

Section 398(1) of the SSL requires the local commissioners of social services to assume charge of and provide support to destitute children who cannot be properly cared for in their home.

Section 398(6)(a) of the SSL requires the local commissioners of social services to determine what assistance and care, supervision or treatment foster children require.

2. Legislative objectives:

The proposed regulations would implement statutory changes in relation to the definition of destitute children in the Family Court. Chapter 605 of the Laws of 2011 amended the definition of destitute child and created procedures for the entry of destitute children into foster care. Chapter 607 of the Laws of 2011 amended the SSL to add destitute children to the categories of children who may be eligible for the kinship guardianship assistance program. Chapter 3 of the Laws of 2012 further amended Chapter 605 of the Laws of 2011. All of the above referenced chapter laws go into effect once New York's amendment of its federal Title IV-E State Plan has been approved by the federal Department of Health and Human Services (DHHS). On September 18, 2012, DHHS approved New York State's amendment to its Title IV-E State Plan adding destitute children to the category of foster children eligible for Title IV-E reimbursement.

The revised definition of destitute children would provide that a destitute child is a child under the age of 18 who is in a state of want or suffering due to the lack of sufficient food, clothing, shelter or medical care and does not fit the definition of an abused or neglected child and is without any parent or caretaker available to sufficiently care for the child. Examples of destitute children include, but are not limited to, orphans, unaccompanied children, children whose parent, guardian or custodian's whereabouts are unknown, and children whose parent or only known or available parent, guardian or custodian suffers from dementia, or is in a coma. Children who meet the definition of a destitute child in statute can enter foster care pursuant to Article 10-C of the Family Court Act (FCA). The new destitute chapter laws mimic some of the procedures and treatment of children who enter foster care pursuant to Article 10 of the FCA as an abused and/or neglected child. The proposed regulations add destitute children to certain areas of the regulations that address Article 10 foster children, when appropriate.

In addition, the proposed regulations would add children who re-enter foster care pursuant to Article 10-B of the FCA to some of the regulatory amendments being proposed for destitute children, where appropriate. Chapter 342 of the Laws of 2010 permits youth who are former foster care youth between the ages of 18 and 21 to re-enter foster care under certain circumstances. This law went into effect November 11, 2010.

The proposed regulations would amend regulatory standards that implement the federal Indian Child Welfare Act (ICWA). The proposed regulations would clarify that notification requirements of ICWA apply to persons in need of supervision (PINS) and destitute child proceedings and that social services officials must routinely inquire whether the child is an Indian child in such proceedings and notify the court if the child is an Indian child. The proposed regulations also clarify that in any child custody proceeding, the social services official must demonstrate that active efforts were made to alleviate the need for removal, as required by ICWA.

3. Needs and benefits:

The proposed regulations would implement Chapters 605 and 607 of the Laws of 2011, and Chapter 3 of the Laws of 2012 by making conforming changes to regulation. Historically, local social services districts have been required to provide services and/or assume charge of destitute children; however, prior to the new chapter laws listed above, there was no specific mechanism in law through which local districts could obtain a court order placing a destitute child in their care and custody into foster care. In cases where the court placed a child who satisfied the definition of a destitute child into foster care, prior law did not set forth a process for periodic court review of the placement. As a result, local social services districts often faced difficulties in providing care and services to destitute children. In addition, local social services districts were unable to obtain federal Title IV-E reimbursement for the care provided to destitute children due to the lack of court involvement. The statutory changes as a result of the chapter laws named above address these issues and provide clear procedures for local social services to obtain custody of, provide services to, and receive federal reimbursement for destitute children in their care.

The proposed regulations add the destitute child category to multiple areas of regulation that address care, services, and support to children in foster care under the FCA and the SSL.

In addition, the proposed regulations address the need to add children who re-enter foster care pursuant to Article 10-B of the FCA to certain regulations to clarify that those children are also included. These children were defined under Chapter 342 of the Laws of 2010, and an administrative directive was issued by OCFS on March 3, 2011 informing local districts and voluntary authorized agencies of the procedures to follow.

The proposed regulations would amend the kinship guardianship assistance program regulations to provide that the income of an applicant is excluded where the applicant is 62 years of age or older or is within 5 years of mandatory retirement to bring the standard in line with the existing rule for adoption subsidy, which is a requirement of the statute governing this program.

The proposed regulations would also amend the definition of a legally freed child for photo-listing and adoption services purposes to include foster children who are placed into care in accordance with Article 3 or 7 of the FCA and whose parents are deceased. Finally, the proposed regulations would make a technical change to add a reference to section 383-c of the SSL when referring to a child whose guardianship and custody has been surrendered.

4. Costs:

It is estimated that fewer than 100 children statewide would be impacted by the proposed regulations. This population is already served by social services districts and it is estimated that approximately 25% of them would become Title IV-E eligible as a result of this change to statute and regulations. Based on data provided by the New York City Administration for Children's Services, of the 35 children currently served, 20 do not meet the citizenship requirements for Title IV-E. At an estimated average annual cost of $44,000 per foster child, approximately $550,000 of new Title IV-E reimbursement would be generated for every 100 children who meet the definition of a destitute child, which would be a cost savings to social services districts. The cost of care for a Title IV-E eligible foster child is subject to 50% federal reimbursement.

5. Local government mandates:

The proposed regulations would expand the category of legally freed children who must be photo-listed to include an additional, but very narrow category of PINS and juvenile delinquent youth whose parents are deceased. The amendments to the ICWA reflect current practice and federal law. Regarding destitute children, social services districts have had to deal with the services and care needs of the children who meet the definition of a destitute child under the new legislation. The proposed regulations reflect the new legislation that provides social services districts with the mechanisms to address those needs.

6. Paperwork:

The proposed regulations would not create additional paperwork requirements other than to add destitute children to the regulations that address uniform case record documentation in foster care cases.

7. Duplication:

The proposed regulations do not duplicate other state or federal requirements.

8. Alternatives:

No alternative approaches to implementing the new destitute child laws were considered. These amendments to regulations are proposed to support the statutory changes as a result of Chapter 342 of the Laws of 2010, Chapters 605 and 607 of the Laws of 2011, and Chapter 3 of the Laws of 2012. The other proposed amendments update existing statutory standards.

9. Federal standards:

The proposed regulations comply with applicable federal standards. On September 18, 2012, the federal Department of Health and Human Services, Administration for Children, Youth and Families approved the amendment to New York's Title IV-E State Plan adding destitute children to the categories of foster children who are eligible for Title IV-E reimbursement. The approval of the amendment to New York's Title IV-E State Plan permits local social services districts to qualify for federal Title IV-E foster care reimbursement.

10. Compliance schedule:

Compliance with the proposed regulations must begin immediately upon final adoption.

Regulatory Flexibility Analysis

1. Effect on Small Business and Local Governments:

Social services districts, the St. Regis Mohawk Tribe or voluntary au-

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thorized agencies contracted by social services districts to provide foster care will be affected by the proposed regulations in the State of New York. There are 58 social services districts and approximately 160 voluntary authorized agencies.

2. Compliance Requirements:

The proposed regulations would implement statutory changes in relation to the definition and procedures for destitute children in the Family Court. Chapter 605 of the Laws of 2011 amended the definition of destitute child and created procedures for the entry of destitute children into foster care. Chapter 607 of the Laws of 2011 amended the SSL to add destitute children to the categories of children who may be eligible for the kinship guardianship assistance program. Chapter 3 of the Laws of 2012 further amended Chapter 605 of the Laws of 2011 in relation to destitute children.

On September 18, 2012, the federal Department of Health and Human Services approved New York State's amendment to its Title IV-E State Plan to add destitute children to those categories of foster children for whom New York State may receive Title IV-E reimbursement. Federal approval of the amendment to New York's Title IV-E State Plan was a condition imposed by Chapter 3 of the Laws of 2012 for the state law to take effect.

Historically, local social services districts have been required to provide services and/or assume charge of destitute children; however, prior to the new chapter laws listed above, there was no specific mechanism in law through which local districts could obtain a court order placing a destitute child in their care and custody. In cases where the court placed a child who satisfied the definition of a destitute child, prior law did not set forth a process for periodic court review of the placement. As a result, local social services districts often faced difficulties in providing care and services to destitute children. In addition, local social services districts were unable to obtain federal Title IV-E reimbursement for the services provided to destitute children. The statutory changes as a result of the chapter laws named above address these issues and provide clear procedures for local social services to obtain custody of, provide services to, and receive federal reimbursement for destitute children in their care.

In addition, the proposed regulations add children who re-enter foster care pursuant to Article 10-B of the FCA to some of the regulatory amendments being proposed for destitute children, where appropriate. Chapter 342 of the Laws of 2010 permits youth who are former foster care youth between the ages of 18 and 21 to re-enter foster care under certain circumstances. This law went into effect November 11, 2010. An administrative directive was issued on March 3, 2011 by the Office of Children and Family Services (OCFS) informing local districts and voluntary authorized agencies of the procedures to follow for re-entry.

The proposed regulations would amend regulatory standards that implement the federal Indian Child Welfare Act (ICWA). The proposed regulations would clarify that notification requirements of ICWA apply to persons in need of supervision (PINS) and destitute child proceedings and that social services officials must routinely inquire whether the child is an Indian child in such proceedings and to notify the court if an Indian child is involved. The proposed regulations also clarify that in any child custody proceeding, the social services official must demonstrate that active efforts were made to alleviate the need for removal, as required by ICWA.

The proposed regulations would amend the kinship guardianship assistance program regulations to provide that the income of an applicant is excluded where the applicant is 62 years of age or older or is within 5 years of mandatory retirement to bring the standard in line with the existing rule for adoption subsidy.

The proposed regulations would also amend the definition of a legally freed child for photo-listing and adoption services purposes to include foster children who are placed into care in accordance with Article 3 or 7 of the Family Court Act and whose parents are deceased. In addition, the proposed regulations would add a reference to section 383-c of the SSL, when referring to a child whose guardianship and custody have been surrendered.

3. Professional Requirements:

It is expected that most social services districts and voluntary authorized agencies will not have to hire additional staff to implement the proposed amendments to regulations.

4. Compliance Costs:

It is estimated that fewer than 100 children would be impacted by the proposed regulations. This population is already served by social services districts and it is estimated that approximately 25% of them would become Title IV-E eligible as a result of this change in law and regulations. Based on data provided by the New York City Administration for Children's Services, of the 35 children currently served, 20 do not meet the citizenship requirements for Title IV-E eligibility. At an estimated annual cost of $44,000 per foster child, approximately $550,000 of new Title IVE reimbursement would be generated for every 100 children who meet the definition of a destitute child, which would be a cost savings to social services districts. The cost of care for a Title IV-E eligible foster child is subject to 50% federal reimbursement.

5. Economic and Technological Feasibility:

The proposed regulations would not have an adverse economic impact on social services districts or voluntary authorized agencies. The proposed regulations would not require the hiring of additional staff. Modifications to technology would not be required by the proposed regulations.

6. Minimizing Adverse Impact:

In regard to destitute children, children who re-enter foster care and ICWA, the proposed regulation reflect statutory requirements. It is not anticipated that these amendments to regulations will result in an adverse impact on local government agencies or small businesses.

7. Small Business and Local Government Participation:

The amendments to regulation would implement Chapter 342 of the Laws of 2010, Chapters 605 and 607 of the Laws of 2011, and Chapter 3 of the Laws of 2012 by making conforming changes to regulation. Over the years, some local districts, including the New York City Administration for Children's Services, have requested changes to the Family Court Act and the Social Services Law that would allow destitute children to be treated similarly to other classes of foster care children, including eligibility for Federal Title IV-E foster care reimbursement.

In addition, OCFS will address any comments or feedback from small businesses and/or local governments during the public comment period.

Rural Area Flexibility Analysis

1. Types and Numbers of Rural Areas:

Social services districts, the St. Regis Mohawk Tribe and voluntary authorized agencies that have contracts with social services districts to provide foster care will be affected by the proposed regulations. There are 44 social services districts and the St. Regis Mohawk Tribe that are in rural areas. Currently, there are also approximately 100 voluntary authorized agencies in rural areas of New York State.

2. Reporting, Recordkeeping and Compliance Requirements:

The proposed regulations would implement statutory changes in relation to the definition and procedures for destitute children in the Family Court. Chapter 605 of the Laws of 2011 amended the definition of destitute child and created procedures for the entry of destitute children into foster care. Chapter 607 of the Laws of 2011 amended the SSL to add destitute children to the categories of children that may be eligible for the kinship guardianship assistance program. Chapter 3 of the Laws of 2012 further amended Chapter 605 of the Laws of 2011 in relation to destitute children.

On September 18, 2012, the federal Department of Health and Human Services approved New York State's amendment to its Title IV-E State Plan to add destitute children to those categories of foster children for whom New York State may receive Title IV-E reimbursement. Such approval was a condition enacted by Chapter 3 of the Laws of 2012 for the New York State destitute child legislation to take effect.

Historically, local social services districts have been required to provide services and/or assume charge of destitute children; however, prior to the new chapter laws listed above, there was no specific mechanism in law through which local districts could obtain a court order placing a destitute child in their care and custody. In cases where the court placed a child who satisfied the definition of a destitute child, prior law did not set forth a process for periodic court review of the placement. As a result, local social services districts often faced difficulties in providing care and services to destitute children. In addition, local social services districts were unable to obtain federal Title IV-E reimbursement for the care provided to destitute children. The statutory changes as a result of the chapter laws named above address these issues and provide clear procedures for local social services to obtain custody of, provide services to, and receive federal reimbursement for destitute children in their care.

In addition, the proposed regulations add children who re-enter foster care pursuant to Article 10-B of the Family Court Act (FCA) to some of the regulatory amendments being proposed for destitute children, where appropriate. Chapter 342 of the Laws of 2010 permits youth who are former foster care youth between the ages of 18 and 21 to re-enter foster care under certain circumstances. This law went into effect November 11, 2010. An administrative directive was issued by the Office of Children and Family Services (OCFS) on March 3, 2011 informing local districts and voluntary authorized agencies of the procedures to follow for re-entry.

The proposed regulations would amend regulatory standards that implement the federal Indian Child Welfare Act (ICWA). The proposed regulations would clarify that notification requirements of ICWA apply to persons in need of supervision (PINS) and destitute child proceedings and that social services officials must routinely inquire whether the child is an Indian child in such proceedings and to notify the court if an Indian child is involved. The proposed regulations also clarify that in any child custody proceeding, the social services official must demonstrate that active efforts were made to alleviate the need for removal, as required by ICWA.

The proposed regulations would amend the kinship guardianship assistance program regulations to provide that the income of an applicant who is 62 years of age or older or who is within 5 years of mandatory retirement is excluded to bring the standard in line with the current rule regarding adoption subsidy.

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The proposed regulation would also amend the definition of a legally freed child for photo-listing and adoption services purposes to include foster children who are placed into care in accordance with either Article 3 or 7 of the Family Court Act and whose parents are deceased. In addition, the proposed regulations would add a reference to section 383-c of the SSL when referring to a child whose guardianship and custody have been surrendered.

The proposed regulations would not create additional reporting, record-keeping or compliance requirements other than to add foster children who enter foster care pursuant to Article 10-B and 10-C of the FCA to the regulations that address reporting and documentation requirements for children in foster care.

3. Professional Services:

It is expected that most social services districts and voluntary authorized agencies will not have to hire additional staff to implement the proposed amendments to regulations.

4. Costs:

It is estimated that fewer than 100 children would be impacted by the proposed regulations. This population is already served by social services districts and it is estimated that approximately 25% of them would become Title IV-E eligible as a result of this change to statute and regulations. Based on data provided by the New York City Administration for Children's Services, of the 35 children currently served, 20 do not met the citizenship requirements for Title IV-E eligibility. At an estimated average annual cost of $44,000 per foster child, approximately $550,000 of new Title IV-E reimbursement would be generated for every 100 children who meet the definition of a destitute child, which would be a cost savings to social services districts. The cost of care for a Title IV-E foster child is subject to 50% federal reimbursement.

5. Minimizing Adverse Impact:

In regard to destitute children, children who re-enter foster care and ICWA, the proposed regulations reflect statutory requirements. It is not anticipated that these amendments to regulations will result in an adverse impact upon local social services districts or small businesses that are in rural areas.

6. Rural Area Participation:

The amendments to regulation would implement Chapter 342 of the Laws of 2010, Chapters 605 and 607 of the Laws of 2011, and Chapter 3 of the Laws of 2012 by making conforming changes to regulation. Over the years, local districts requested changes to the Family Court Act and the Social Services Law that would allow destitute children to be treated similarly to other classes of foster care children, including eligibility for Federal Title IV-E foster care reimbursement.

In addition, OCFS will address any comments or feedback from rural social services districts or businesses during the public comment period.

Job Impact Statement

The proposed regulations will not have a negative impact on jobs or employment opportunities in either public or private child welfare agencies. A full job impact statement has not been prepared for the proposed regulations as it is assumed that the proposed regulations will not result in the loss of any jobs.

Department of Economic Development

EMERGENCY RULE MAKING

START-UP NY Program

I.D. No. EDV-12-15-00001-E

Filing No. 148

Filing Date: 2015-03-06

Effective Date: 2015-03-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 220 to Title 5 NYCRR.

Statutory authority: Economic Development Law, art. 21, sections 435-36; L. 2013, ch. 68

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: On June 24, 2013, Governor Andrew Cuomo signed into law the SUNY Tax-free Areas to Revitalize and Transform UPstate New York (START-UP NY) program, which offers an array of tax benefits to eligible businesses and their employees that locate in facilities affiliated with New York universities and colleges. The START-UP NY program will leverage these tax benefits to attract innovative start-ups and high tech industries to New York so as to create jobs and promote economic development.

Regulatory action is required to implement the START-UP NY program. The legislation creating the START-UP NY program delegated to the Department of Economic Development the establishment of procedures for the implementation and execution of the START-UP NY program. Without regulatory action by the Department of Economic Development, procedures will not be in place to accept applications from institutions of higher learning desiring to create Tax-Free Areas, or businesses wishing to participate in the START-UP NY program.

Adoption of this rule will enable the State to begin accepting applications from businesses to participate in the START-UP NY program, and represent a step towards the realization of the strategic objectives of the START-UP NY program: attracting and retaining cutting-edge start-up companies, and positioning New York as a global leader in high tech industries.

Subject: START-UP NY Program.

Purpose: Establish procedures for the implementation and execution of START-UP NY.

Substance of emergency rule: START-UP NY is a new program designed to stimulate economic development and promote employment of New Yorkers through the creation of tax-free areas that bring together educational institutions, innovative companies, and entrepreneurial investment.

1) The regulation defines key terms, including: "business in the formative stage," "campus," "competitor," "high tech business," "net new job," "new business," and "underutilized property."

2) The regulation establishes that the Commissioner shall review and approve plans from State University of New York (SUNY) colleges, City University of New York (CUNY) colleges, and community colleges seeking designation of Tax-Free NY Areas, and report on important aspects of the START-UP NY program, including eligible space for use as Tax-Free Areas and the number of employees eligible for personal income tax benefits.

3) The regulation creates the START-UP NY Approval Board, composed of three members appointed by the Governor, Speaker of the Assembly and Temporary President of the Senate, respectively. The START-UP NY Approval Board reviews and approves plans for the creation of Tax-Free NY Areas submitted by private universities and colleges, as well as certain plans from SUNY colleges, CUNY colleges, and community colleges, and designates Strategic State Assets affiliated with eligible New York colleges or universities. START-UP NY Approval Board members may designate representatives to act on their behalf during their absence. START-UP NY Approval Board members must remain disinterested, and recuse themselves where appropriate.

4) The regulation establishes eligibility criteria for Tax-Free Areas. Eligibility of vacant land and space varies based on whether it is affiliated with a SUNY college, CUNY college, community college, or private college, and whether the land or space in question is located upstate, downstate, or in New York City. The regulation prohibits any allocation of land or space that would result in the closure or relocation of any program or service associated with a university or college that serves students, faculty, or staff.

5) The regulation establishes eligibility requirements for businesses to participate in the START-UP program, and enumerates excluded industries. To be eligible, a business must: be a new business to the State at the time of its application, subject to exceptions for NYS incubators, businesses restoring previously relocated jobs, and businesses the Commissioner has determined will create net new jobs; comply with applicable worker protection, environmental, and tax laws; align with the academic mission of the sponsoring institution (the Sponsor); demonstrate that it will create net new jobs in its first year of operation; and not be engaged in the same line of business that it conducted at any time within the last five years in New York without the approval of the Commissioner. Businesses locating downstate must be in the formative stages of development, or engaged in a high tech business. To remain eligible, the business must, at a minimum, maintain net new jobs and the average number of jobs that existed with the business immediately before entering the program.

6) The regulation describes the application process for approval of a Tax-Free Area. An eligible institution may submit a plan to the Commissioner identifying land or space to be designated as a Tax-Free Area. This plan must: identify precisely the location of the applicable land or space; describe business activities to be conducted on the land or space; establish that the business activities in question align with the mission of the institution; indicate how the business would generate positive community and

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economic benefits; summarize the Sponsor's procedures for attracting businesses; include a copy of the institution's conflict of interest guidelines; attest that the proposed Tax-Free Area will not jeopardize or conflict with any existing tax-exempt bonds used to finance the Sponsor; and certify that the Sponsor has not relocated or eliminated programs serving students, faculty, or staff to create the vacant land. Applications by private institutions require approval by both the Commissioner and START-UP NY Approval Board. The START-UP NY Approval Board is to approve applications so as to ensure balance among rural, urban and suburban areas throughout the state.

7) A sponsor applying to create a Tax-Free Area must provide a copy of its plan to the chief executive officer of any municipality in which the proposed Tax-Free Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. Where the plan includes land or space outside of the campus boundaries of the university or college, the institution must consult with the chief executive officer of any municipality in which the proposed Tax-Free Area is to be located, and give preference to underutilized properties identified through this consultation. The Commissioner may enter onto any land or space identified in a plan, or audit any information supporting a plan application, as part of his or her duties in administering the START-UP program.

8) The regulation provides that amendments to approved plans may be made at any time through the same procedures as such plans were originally approved. Amendments that would violate the terms of a lease between a sponsor and a business in a Tax-Free Area will not be approved. Sponsors may amend their plans to reallocate vacant land or space in the case that a business, located in a Tax-Free Area, is disqualified from the program but elects to remain on the property.

9) The regulation describes application and eligibility requirements for businesses to participate in the START-UP program. Businesses are to submit applications to sponsoring universities and colleges by 12/31/20. An applicant must: (1) authorize the Department of Labor (DOL) and Department of Taxation and Finance (DTF) to share the applicant's tax information with the Department of Economic Development (DED); (2) allow DED to monitor the applicant's compliance with the START-UP program; (3) provide to DED, upon request, information related to its business organization, tax returns, investment plans, development strategy, and non-competition with any businesses in the community but outside of the Tax-Free Area; (4) certify efforts to ascertain that the business would not compete with another business in the same community but outside the Tax-Free Area, including an affidavit that notice regarding the application was published in a daily publication no fewer than five consecutive days; (5) include a statement of performance benchmarks as to new jobs to be created through the applicant's participation in START-UP; (6) provide a statement of consequences for non-conformance with the performance benchmarks, including proportional recovery of tax benefits when the business fails to meet job creation benchmarks in up to three years of a ten-year plan, and removal from the program for failure to meet job creation benchmarks in at least four years of a ten-year plan; (7) identify information submitted to DED that the business deems confidential, proprietary, or a trade secret. Sponsors forward applications deemed to meet eligibility requirements to the Commissioner for further review. The Commissioner shall reject any application that does not satisfy the START-UP program eligibility requirements or purpose, and provide written notice of the rejection to the Sponsor. The Commissioner may approve an application anytime after receipt; if the Commissioner approves the application, the business applicant is deemed accepted into the START-UP NY Program and can locate to the Sponsor's Tax-Free NY Area. Applications not rejected will be deemed accepted after sixty days. The Commissioner is to provide documentation of acceptance to successful applicants.

10) The regulation allows a business to amend a successful application at any time in accordance with the procedure of its original application. No amendment will be approved that would contain terms in conflict with a lease between a business and a SUNY college when the lease was included in the original application.

11) The regulation permits a business that has been rejected from the START-UP program to locate within a Tax-Free Area without being eligible for START-UP program benefits, or to reapply within sixty days via a written request identifying the reasons for rejection and offering verified factual information addressing the reasoning of the rejection. Failure to reapply within sixty days waives the applicant's right to resubmit. Upon receipt of a timely resubmission, the Commissioner may use any resources to assess the claim, and must notify the applicant of his or her determination within sixty days. Disapproval of a reapplication is final and non-appealable.

12) With respect to audits, the regulation requires businesses to provide access to DED, DTF, and DOL to all records relating to facilities located in Tax-Free Areas at a business location within the State during normal business hours. DED, DTF, and DOL are to take reasonable steps to prevent public disclosure of information pursuant to Section 87 of the Public Officers Law where the business has timely informed the appropriate officials, the records in question have been properly identified, and the request is reasonable.

13) The regulation provides for the removal of a business from the program under a variety of circumstances, including violation of New York law, material misrepresentation of facts in its application to the START-UP program, or relocation from a Tax-Free Area. Upon removing a business from the START-UP program, the Commissioner is to notify the business and its Sponsor of the decision in writing. This removal notice provides the basis for the removal decision, the effective removal date, and the means by which the affected business may appeal the removal decision. A business shall be deemed served three days after notice is sent. Following a final decision, or waiver of the right to appeal by the business, DED is to forward a copy of the removal notice to DTF, and the business is not to receive further tax benefits under the START-UP program.

14) To appeal removal from the START-UP program, a business must send written notice of appeal to the Commissioner within thirty days from the mailing of the removal notice. The notice of appeal must contain specific factual information and all legal arguments that form the basis of the appeal. The appeal is to be adjudicated in the first instance by an appeal officer who, in reaching his or her decision, may seek information from outside sources, or require the parties to provide more information. The appeal officer is to prepare a report and make recommendations to the Commissioner. The Commissioner shall render a final decision based upon the appeal officer's report, and provide reasons for any findings of fact or law that conflict with those of the appeal officer.

15) With regard to disclosure authorization, businesses applying to participate in the START-UP program authorize the Commissioner to disclose any information contained in their application, including the projected new jobs to be created.

16) In order to assess business performance under the START-UP program, the Commissioner may require participating businesses to submit annual reports within thirty days at the end of their taxable year describing the businesses' continued satisfaction of eligibility requirements, jobs data, an accounting of wages paid to employees in net new jobs, and any other information the Commissioner may require. The Commissioner shall prepare annual reports on the START-UP program for the Governor and publication on the DED website, beginning April 1, 2015. Information contained in businesses' annual reports may be published in these reports or otherwise disseminated.

17) The Freedom of Information Law is applicable to the START-UP program, subject to disclosure waivers to protect certain proprietary information submitted in support of an application to the START-UP program.

18) All businesses must keep relevant records throughout their participation in the START-UP program, plus three years. DED has the right to inspect all such documents upon reasonable notice.

19) If the Commissioner determines that a business has acted fraudulently in connection with its participation in the START-UP program, the business shall be immediately terminated from the program, subject to criminal penalties, and liable for taxes that would have been levied against the business during the current year.

20) The regulation requires participating universities and colleges to maintain a conflict of interest policy relevant to issues that may arise during the START-UP program, and to report violations of said policies to the Commissioner for publication.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires June 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Phillip Harmonick, New York State Department of Economic Development, 625 Broadway, Albany, New York 12207, (518) 292-5112, email: pharmonick@esd.ny.gov

Regulatory Impact Statement

STATUTORY AUTHORITY:

Chapter 68 of the Laws of 2013 requires the Commissioner of Economic Development to promulgate rules and regulations to establish procedures for the implementation and execution of the SUNY Tax-free Areas to Revitalize and Transform UPstate New York program (START-UP NY). These procedures include, but are not limited to, the application processes for both academic institutions wishing to create Tax-Free NY Areas and businesses wishing to participate in the START-UP NY program, standards for evaluating applications, and any other provisions the Commissioner deems necessary and appropriate.

LEGISLATIVE OBJECTIVES:

The proposed rule is in accord with the public policy objectives the New York State Legislature sought to advance by enacting the START-UP NY program, which provides an incentive to businesses to locate critical high-tech industries in New York State as opposed to other competitive

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markets in the U.S. and abroad. It is the public policy of the State to establish Tax-Free Areas affiliated with New York universities and colleges, and to afford significant tax benefits to businesses, and the employees of those businesses, that locate within these Tax-Free Areas. The tax benefits are designed to attract and retain innovative start-ups and high-tech industries, and secure for New York the economic activity they generate. The proposed rule helps to further such objectives by establishing the application process for the program, clarifying the nature of eligible businesses and facilities, and describing key provisions of the START-UP NY program.

NEEDS AND BENEFITS:

The emergency rule is necessary in order to implement the statute contained in Article 21 of the Economic Development Law, creating the START-UP NY program. The statute directs the Commissioner of Economic Development to establish procedures for the implementation and execution of the START-UP NY program.

Upstate New York has faced longstanding economic challenges due in part to the departure of major business actors from the region. This divestment from upstate New York has left the economic potential of the region unrealized, and left many upstate New Yorkers unemployed.

START-UP NY will promote economic development and job creation in New York, particularly the upstate region, through tax benefits conditioned on locating business facilities in Tax-Free NY Areas. Attracting start-ups and high-tech industries is critical to restoring the economy of upstate New York, and to positioning the state as a whole to be competitive in a globalized economy. These goals cannot be achieved without first establishing procedures by which to admit businesses into the START-UP NY program.

The proposed regulation establishes procedures and standards for the implementation of the START-UP program, especially rules for the creation of Tax-Free NY Areas, application procedures for the admission of businesses into the program, and eligibility requirements for continued receipt of START-UP NY benefits for admitted businesses. These rules allow for the prompt and efficient commencement of the START-UP NY program, ensure accountability of business participants, and promote the general welfare of New Yorkers.

The emergency regulations clarify several items. In Section 220.4(b), language was modified to clarify that the START-UP NY Approval Board reviews and approves Plans for approval as a Tax-Free NY Area from certain, not all, SUNY, CUNY, or community college campuses seeking designation of Tax-Free NY Areas as described in Section 220.5.

In Section 220.7 and 220.8, the regulations have been clarified to permit schools to submit information identifying the space or land proposed for designation in digital formats approved by the Commissioner. This change affords greater flexibility in view of the digital mapping software and other related resources available to different schools.

Section 220.10(k) was clarified to note that, upon receipt of an application from a business to participate in the START-UP NY Program, the Commissioner may approve the application anytime after receipt; if the Commissioner approves the application, the business applicant is deemed accepted into the START-UP NY Program and can locate to the Sponsor's Tax-Free NY Area. If the Commissioner does not reject the application within 60 days, the business applicant is deemed accepted into the Program.

COSTS:

I. Costs to private regulated parties (the business applicants): None. The proposed regulation will not impose any additional costs to eligible business applicants.

II. Costs to the regulating agency for the implementation and continued administration of the rule: None.

III. Costs to the State government: None.

IV. Costs to local governments: None.

LOCAL GOVERNMENT MANDATES:

The rule establishes certain property tax benefits for businesses locating in Tax-Free NY Areas that may impact local governments. However, as described in the accompanying statement in lieu of a regulatory flexibility analysis for small businesses and local governments, the program is expected to have a net-positive impact on local government.

PAPERWORK:

The rule establishes application and eligibility requirements for Tax-Free NY Areas proposed by universities and colleges, and participating businesses. These regulations establish paperwork burdens that include materials to be submitted as part of applications, documents that must be submitted to maintain eligibility, and information that must be retained for auditing purposes.

DUPLICATION:

The proposed rule will create a new section of the existing regulations of the Commissioner of Economic Development, Part 220 of 5 NYCRR. Accordingly, there is no risk of duplication in the adoption of the proposed rule.

ALTERNATIVES:

No alternatives were considered in regard to creating a new regulation in response to the statutory requirement. The regulation implements the statutory requirements of the START-UP NY program regarding the application process for creation of Tax-Free NY Areas and certification as an eligible business. This action is necessary in order to clarify program participation requirements and is required by the legislation establishing the START-UP NY program.

FEDERAL STANDARDS:

There are no federal standards applicable to the START-UP NY program; it is purely a State program that offers tax benefits to eligible businesses and their employees. Therefore, the proposed rule does not exceed any federal standard.

COMPLIANCE SCHEDULE:

The affected State agency (Department of Economic Development) and the business applicants will be able to achieve compliance with the regulation as soon as it is implemented.

Regulatory Flexibility Analysis

Participation in the START-UP NY program is entirely at the discretion of qualifying business that may choose to locate in Tax-Free NY Areas. Neither statute nor the proposed regulations impose any obligation on any business entity to participate in the program. Rather than impose burdens on small business, the program is designed to provide substantial tax benefits to start-up businesses locating in New York, while providing protections to existing businesses against the threat of tax-privileged start-up companies locating in the same community. Local governments may not be able to collect tax revenues from businesses locating in certain Tax-Free NY Areas. However, the regulation is expected to have a net-positive impact on local governments in light of the substantial economic activity associated with businesses locating their facilities in these communities.

Because it is evident from the nature of the proposed rule that it will have a net-positive impact on small businesses and local government, no further affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local government is not required and one has not been prepared.

Rural Area Flexibility Analysis

The START-UP NY program is open to participation from any business that meets the eligibility requirements, and is organized as a corporation, partnership, limited liability company, or sole proprietorship. A business's decision to locate its facilities in a Tax-Free NY Area associated with a rural university or college would be no impediment to participation; in fact, START-UP NY allocates space for Tax-Free NY Areas specifically to the upstate region which contains many of New York's rural areas. Furthermore, START-UP NY specifically calls for the balanced allocation of space for Tax-Free NY Areas between eligible rural, urban, and suburban areas in the state. Thus, the regulation will not have a substantial adverse economic impact on rural areas, and instead has the potential to generate significant economic activity in upstate rural areas designated as Tax-Free NY Areas. Accordingly, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The regulation establishes procedures and standards for the administration of the START-UP NY program. START-UP NY creates tax-free areas designed to attract innovative start-ups and high-tech industries to New York so as to stimulate economic activity and create jobs. The regulation will not have a substantial adverse impact on jobs and employment opportunities; rather, the program is focused on creating jobs. Because it is evident from the nature of the rulemaking that it will have either no impact or a positive impact on job and employment opportunities, no further affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

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Department of Financial Services

EMERGENCY RULE MAKING

Business Conduct of Mortgage Loan Servicers

I.D. No. DFS-12-15-00003-E
Filing No. 150
Filing Date: 2015-03-06
Effective Date: 2015-03-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 419 to Title 3 NYCRR.

Statutory authority: Banking Law, art. 12-D

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The legislature required the registration of mortgage loan servicers as part of the Mortgage Lending Reform Law of 2008 (Ch. 472, Laws of 2008, hereinafter, the "Mortgage Lending Reform Law") to help address the existing foreclosure crisis in the state. By registering servicers and requiring that servicers engage in the business of mortgage loan servicing in compliance with rules and regulations adopted by the Superintendent, the legislature intended to help ensure that servicers conduct their business in a manner acceptable to the Department. However, since the passage of the Mortgage Lending Reform Law, foreclosures continue to pose a significant threat to New York homeowners. The Department continues to receive complaints from homeowners and housing advocates that mortgage loan servicers' response to delinquencies and their efforts at loss mitigation are inadequate. These rules are intended to provide clear guidance to mortgage loan servicers as to the procedures and standards they should follow with respect to loan delinquencies. The rules impose a duty of fair dealing on loan servicers in their communications, transactions and other dealings with borrowers. In addition, the rule sets standards with respect to the handling of loan delinquencies and loss mitigation. The rule further requires specific reporting on the status of delinquent loans with the Department so that it has the information necessary to assess loan servicers' performance.

In addition to addressing the pressing issue of mortgage loan delinquencies and loss mitigation, the rule addresses other areas of significant concern to homeowners, including the handling of borrower complaints and inquiries, the payment of taxes and insurance, crediting of payments and handling of late payments, payoff balances and servicer fees. The rule also sets forth prohibited practices such as engaging in deceptive practices or placing homeowners' insurance on property when the servicers has reason to know that the homeowner has an effective policy for such insurance.

Subject: The business conduct of mortgage loan servicers.

Purpose: To implement the purpose and provisions of the Mortgage Lending Reform Law of 2008 with respect to mortgage loan servicers.

Substance of emergency rule: Section 419.1 contains definitions of terms that are used in Part 419 and not otherwise defined in Part 418, including "Servicer", "Qualified Written Request" and "Loan Modification".

Section 419.2 establishes a duty of fair dealing for Servicers in connection with their transactions with borrowers, which includes a duty to pursue loss mitigation with the borrower as set forth in Section 419.11.

Section 419.3 requires compliance with other State and Federal laws relating to mortgage loan servicing, including Banking Law Article 12-D, RESPA, and the Truth-in-Lending Act.

Section 419.4 describes the requirements and procedures for handling to consumer complaints and inquiries.

Section 419.5 describes the requirements for a servicer making payments of taxes or insurance premiums for borrowers.

Section 419.6 describes requirements for crediting payments from borrowers and handling late payments.

Section 419.7 describes the requirements of an annual account statement which must be provided to borrowers in plain language showing the unpaid principal balance at the end of the preceding 12-month period, the interest paid during that period and the amounts deposited into and disbursed from escrow. The section also describes the Servicer's obligations with respect to providing a payment history when requested by the borrower or borrower's representative.

Section 419.8 requires a late payment notice be sent to a borrower no later than 17 days after the payment remains unpaid.

Section 419.9 describes the required provision of a payoff statement that contains a clear, understandable and accurate statement of the total amount that is required to pay off the mortgage loan as of a specified date.

Section 419.10 sets forth the requirements relating to fees permitted to be collected by Servicers and also requires Servicers to maintain and update at least semi-annually a schedule of standard or common fees on their website.

Section 419.11 sets forth the Servicer's obligations with respect to handling of loan delinquencies and loss mitigation, including an obligation to make reasonable and good faith efforts to pursue appropriate loss mitigation options, including loan modifications. This Section includes requirements relating to procedures and protocols for handling loss mitigation, providing borrowers with information regarding the Servicer's loss mitigation process, decision-making and available counseling programs and resources.

Section 419.12 describes the quarterly reports that the Superintendent may require Servicers to submit to the Superintendent, including information relating to the aggregate number of mortgages serviced by the Servicer, the number of mortgages in default, information relating to loss mitigation activities, and information relating to mortgage modifications.

Section 419.13 describes the books and records that Servicers are required to maintain as well as other reports the Superintendent may require Servicers to file in order to determine whether the Servicer is complying with applicable laws and regulations. These include books and records regarding loan payments received, communications with borrowers, financial reports and audited financial statements.

Section 419.14 sets forth the activities prohibited by the regulation, including engaging in misrepresentations or material omissions and placing insurance on a mortgage property without written notice when the Servicer has reason to know the homeowner has an effective policy in place.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire June 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Hadas A. Jacobi, NYS Department of Financial Services, 1 State Street, New York, NY 10004, (212) 480-5890, email: hadas.jacobi@dfs.ny.gov

Regulatory Impact Statement

1. Statutory Authority.

Article 12-D of the Banking Law, as amended by the Legislature in the Mortgage Lending Reform Law of 2008 (Ch. 472, Laws of 2008, hereinafter, the "Mortgage Lending Reform Law"), creates a framework for the regulation of mortgage loan servicers. Mortgage loan servicers are individuals or entities which engage in the business of servicing mortgage loans for residential real property located in New York. That legislation also authorizes the adoption of regulations implementing its provisions. (See, e.g., Banking Law Sections 590(2) (b-1) and 595-b.)

Subsection (1) of Section 590 of the Banking Law was amended by the Mortgage Lending Reform Law to add the definitions of "mortgage loan servicer" and "servicing mortgage loans". (Section 590(1)(h) and Section 590(1)(i).)

A new paragraph (b-1) was added to Subdivision (2) of Section 590 of the Banking Law. This new paragraph prohibits a person or entity from engaging in the business of servicing mortgage loans without first being registered with the Superintendent. The registration requirements do not apply to an "exempt organization," licensed mortgage banker or registered mortgage broker.

This new paragraph also authorizes the Superintendent to refuse to register an MLS on the same grounds as he or she may refuse to register a mortgage broker under Banking Law Section 592-a(2).

Subsection (3) of Section 590 was amended by the Subprime Law to clarify the power of the banking board to promulgate rules and regulations and to extend the rulemaking authority regarding regulations for the protection of consumers and regulations to define improper or fraudulent business practices to cover mortgage loan servicers, as well as mortgage bankers, mortgage brokers and exempt organizations. The functions and powers of the banking board have since been transferred to the Superintendent of Financial Services, pursuant to Part A of Chapter 62 of the Laws of 2011, Section 89.

New Paragraph (d) was added to Subsection (5) of Section 590 by the Mortgage Lending Reform Law and requires mortgage loan servicers to engage in the servicing business in conformity with the Banking Law, such rules and regulations as may be promulgated by the Banking Board or prescribed by the Superintendent, and all applicable federal laws, rules and regulations.

New Subsection (1) of Section 595-b was added by the Mortgage Lending Reform Law and requires the Superintendent to promulgate regula-

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tions and policies governing the grounds to impose a fine or penalty with respect to the activities of a mortgage loan servicer. Also, the Mortgage Lending Reform Law amends the penalty provision of Subdivision (1) of Section 598 to apply to mortgage loan servicers as well as to other entities.

New Subdivision (2) of Section 595-b was added by the Mortgage Lending Reform Law and authorizes the Superintendent to prescribe regulations relating to disclosure to borrowers of interest rate resets, requirements for providing payoff statements, and governing the timing of crediting of payments made by the borrower.

Section 596 was amended by the Mortgage Lending Reform Law to extend the Superintendent's examination authority over licensees and registrants to cover mortgage loan servicers. The provisions of Banking Law Section 36(10) making examination reports confidential are also extended to cover mortgage loan servicers.

Similarly, the books and records requirements in Section 597 covering licensees, registrants and exempt organizations were amended by the Mortgage Lending Reform Law to cover servicers and a provision was added authorizing the Superintendent to require that servicers file annual reports or other regular or special reports.

The power of the Superintendent to require regulated entities to appear and explain apparent violations of law and regulations was extended by the Mortgage Lending Reform Law to cover mortgage loan servicers (Subdivision (1) of Section 39), as was the power to order the discontinuance of unauthorized or unsafe practices (Subdivision (2) of Section 39) and to order that accounts be kept in a prescribed manner (Subdivision (5) of Section 39).

Finally, mortgage loan servicers were added to the list of entities subject to the Superintendent's power to impose monetary penalties for violations of a law, regulation or order. (Paragraph (a) of Subdivision (1) of Section 44).

The fee amounts for mortgage loan servicer registration and branch applications are established in accordance with Banking Law Section 18-a.

2. Legislative Objectives.

The Mortgage Lending Reform Law was intended to address various problems related to residential mortgage loans in this State. The law reflects the view of the Legislature that consumers would be better protected by the supervision of mortgage loan servicing. Even though mortgage loan servicers perform a central function in the mortgage industry, there had previously been no general regulation of servicers by the state or the Federal government.

The Mortgage Lending Reform Law requires that entities be registered with the Superintendent in order to engage in the business of servicing mortgage loans in this state. The new law further requires mortgage loan servicers to engage in the business of servicing mortgage loans in conformity with the rules and regulations promulgated by the Banking Board and the Superintendent.

The mortgage servicing statute has two main components: (i) the first component addresses the registration requirement for persons engaged in the business of servicing mortgage loans; and (ii) the second authorizes the Superintendent to promulgate appropriate rules and regulations for the regulation of servicers in this state.

Part 418 of the Superintendent's Regulations, initially adopted on an emergency basis on July 1 2009, addresses the first component of the mortgage servicing statute by setting standards and procedures for applications for registration as a mortgage loan servicer, for approving and denying applications to be registered as a mortgage loan servicer, for approving changes of control, for suspending, terminating or revoking the registration of a mortgage loan servicer as well as setting financial responsibility standards for mortgage loan servicers.

Part 419 addresses the business practices of mortgage loan servicers in connection with their servicing of residential mortgage loans. This part addresses the obligations of mortgage loan servicers in their communications, transactions and general dealings with borrowers, including the handling of consumer complaints and inquiries, handling of escrow payments, crediting of payments, charging of fees, loss mitigation procedures and provision of payment histories and payoff statements. This part also imposes certain recordkeeping and reporting requirements in order to enable the Superintendent to monitor services' conduct and prohibits certain practices such as engaging in deceptive business practices.

Collectively, the provisions of Part 418 and 419 implement the intent of the Legislature to register and supervise mortgage loan servicers.

3. Needs and Benefits.

The Mortgage Lending Reform Law adopted a multifaceted approach to the lack of supervision of the mortgage loan industry, particularly with respect to servicing and foreclosure. It addressed a variety of areas in the residential mortgage loan industry, including: i. loan originations; ii. loan foreclosures; and iii. the conduct of business by residential mortgage loans servicers.

Until July 1, 2009, when the mortgage loan servicer registration provisions first became effective, the Department regulated the brokering and making of mortgage loans, but not the servicing of these mortgage loans. Servicing is vital part of the residential mortgage loan industry; it involves the collection of mortgage payments from borrowers and remittance of the same to owners of mortgage loans; to governmental agencies for taxes; and to insurance companies for insurance premiums. Mortgage servicers also act as agents for owners of mortgages in negotiations relating to loss mitigation when a mortgage becomes delinquent. As "middlemen," moreover, servicers also play an important role when a property is foreclosed upon. For example, the servicer may typically act on behalf of the owner of the loan in the foreclosure proceeding.

Further, unlike in the case of a mortgage broker or a mortgage lender, borrowers cannot "shop around" for loan servicers, and generally have no input in deciding what company services their loans. The absence of the ability to select a servicer obviously raises concerns over the character and viability of these entities given the central part of they play in the mortgage industry. There also is evidence that some servicers may have provided poor customer service. Specific examples of these activities include: pyramiding late fees; misapplying escrow payments; imposing illegal prepayment penalties; not providing timely and clear information to borrowers; erroneously force-placing insurance when borrowers already have insurance; and failing to engage in prompt and appropriate loss mitigation efforts.

More than 2,000,000 loans on residential one-to-four family properties are being serviced in New York. Of these over 9% were seriously delinquent as of the first quarter of 2012. Despite various initiatives adopted at the state level and the creation of federal programs such as Making Home Affordable to encourage loan modifications and help at risk homeowners, the number of loans modified, have not kept pace with the number of foreclosures. Foreclosures impose costs not only on borrowers and lenders but also on neighboring homeowners, cities and towns. They drive down home prices, diminish tax revenues and have adverse social consequences and costs.

As noted above, Part 418, initially adopted on an emergency basis on July 1 2009, relates to the first component of the mortgage servicing statute – the registration of mortgage loan servicers. It was intended to ensure that only those persons and entities with adequate financial support and sound character and general fitness will be permitted to register as mortgage loan servicers. It also provided for the suspension, revocation and termination of licensees involved in wrongdoing and establishes minimum financial standards for mortgage loan servicers.

Part 419 addresses the business practices of mortgage loan servicers and establishes certain consumer protections for homeowners whose residential mortgage loans are being serviced. These regulations provide standards and procedures for servicers to follow in their course of dealings with borrowers, including the handling of borrower complaints and inquiries, payment of taxes and insurance premiums, crediting of borrower payments, provision of annual statements of the borrower's account, authorized fees, late charges and handling of loan delinquencies and loss mitigation. Part 419 also identifies practices that are prohibited and imposes certain reporting and record-keeping requirements to enable the Superintendent to determine the servicer's compliance with applicable laws, its financial condition and the status of its servicing portfolio.

Since the adoption of Part 418, 67 entities have been approved for registration or have pending applications and nearly 400 entities have indicated that they are a mortgage banker, broker, bank or other organization exempt from the registration requirements.

All Exempt Organizations, mortgage bankers and mortgage brokers that perform mortgage loan servicing with respect to New York mortgages must notify the Superintendent that they do so, and are required to comply with the conduct of business and consumer protection rules applicable to mortgage loan servicers.

These regulations will improve accountability and the quality of service in the mortgage loan industry and will help promote alternatives to foreclosure in the state.

4. Costs.

The requirements of Part 419 do not impose any direct costs on mortgage loan servicers. Although mortgage loan servicers may incur some additional costs as a result of complying with Part 419, the overwhelming majority of mortgage loan servicers are banks, operating subsidiaries or affiliates of banks, large independent servicers or other financial services entities that service millions, and even billions, of dollars in loans and have the experience, resources and systems to comply with these requirements. Moreover, any additional costs are likely to be mitigated by the fact that many of the requirements of Part 419, including those relating to the handling of residential mortgage delinquencies and loss mitigation (419.11) and quarterly reporting (419.12), are consistent with or substantially similar to standards found in other federal or state laws, federal mortgage modification programs or servicers own protocols.

For example, Fannie Mae and Freddie Mac, which own or insure approximately 90% of the nation's securitized mortgage loans, have similar

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guidelines governing various aspects of mortgage servicing, including handling of loan delinquencies. In addition, over 100 mortgage loan servicers participate in the federal Making Home Affordable (MHA) program which requires adherence to standards for handling of loan delinquencies and loss mitigation similar to those contained in these regulations. Those servicers not participating in MHA have, for the most part, adopted programs which parallel many components of MHA.

Reporting on loan delinquencies and loss mitigation has likewise become increasingly common. The OCC publish quarterly reports on credit performance, loss mitigation efforts and foreclosures based on data provided by national banks and thrifts. And, states such as Maryland and North Carolina have adopted similar reporting requirements to those contained in section 419.12.

Many of the other requirements of Part 419 such as those related to handling of taxes, insurance and escrow payments, collection of late fees and charges, crediting of payments derive from federal or state laws and reflect best industry practices. The periodic reporting and bookkeeping and record keeping requirements are also standard among financial services businesses, including mortgage bankers and brokers (see, for example section 410 of the Superintendent's Regulations).

The ability by the Department to regulate mortgage loan servicers is expected to reduce costs associated with responding to consumers' complaints, decrease unnecessary expenses borne by mortgagors, and should assist in decreasing the number of foreclosures in this state.

The regulations will not result in any fiscal implications to the State. The Department is funded by the regulated financial services industry. Fees charged to the industry will be adjusted periodically to cover Department expenses incurred in carrying out this regulatory responsibility.

5. Local Government Mandates.

None.

6. Paperwork.

Part 419 requires mortgage loan servicers to keep books and records related to its servicing for a period of three years and to produce quarterly reports and financial statements as well as annual and other reports requested by the Superintendent. It is anticipated that the quarterly reporting relating to mortgage loan servicing will be done electronically and would therefore be virtually paperless. The other recordkeeping and reporting requirements are consistent with standards generally required of mortgage bankers and brokers and other regulated financial services entities.

7. Duplication.

The regulation does not duplicate, overlap or conflict with any other regulations. The various federal laws that touch upon aspects of mortgage loan servicing are noted in Section 9 "Federal Standards" below.

8. Alternatives.

The Mortgage Lending Reform Law required the registration of mortgage loan servicers and empowered the Superintendent to prescribe rules and regulations to guide the business of mortgage servicing. The purpose of the regulation is to carry out this statutory mandate to register mortgage loan servicers and regulate the manner in which they conduct business. The Department circulated a proposed draft of Part 419 and received comments from and met with industry and consumer groups. The current Part 419 reflects the input received. The alternative to these regulations is to do nothing or to wait for the newly created federal bureau of consumer protection to promulgate national rules, which could take years, may not happen at all or may not address all the practices covered by the rule. Thus, neither of those alternatives would effectuate the intent of the legislature to address the current foreclosure crisis, help at-risk homeowners vis-à-vis their loan servicers and ensure that mortgage loan servicers engage in fair and appropriate servicing practices.

9. Federal Standards.

Currently, mortgage loan servicers are not required to be registered by any federal agencies, and there are no comprehensive federal rules governing mortgage loan servicing. Federal laws such as the Real Estate Settlement Procedures Act of 1974, 12 U.S.C. § 2601 et seq. and regulations adopted thereunder, 24 C.F.R. Part 3500, and the Truth-in-Lending Act, 15 U.S.C. section 1600 et seq. and Regulation Z adopted thereunder, 12 C.F.R. section 226 et seq., govern some aspects of mortgage loan servicing, and there have been some recent amendments to those laws and regulations regarding mortgage loan servicing. For example, Regulation Z, 12 C.F.R. section 226.36(c), was recently amended to address the crediting of payments, imposition of late charges and the provision of payoff statements. In addition, the recently enacted Dodd-Frank Wall Street Reform and Protection Act of 2010 (Dodd-Frank Act) establishes requirements for the handling of escrow accounts, obtaining force-placed insurance, responding to borrower requests and providing information related to the owner of the loan.

Additionally, the newly created Bureau of Consumer Financial Protection established by the Dodd-Frank Act may soon propose additional regulations for mortgage loan servicers.

10. Compliance Schedule.

Similar emergency regulations first became effective on October 1, 2010.

Regulatory Flexibility Analysis

1. Effect of the Rule:

The rule will not have any impact on local governments. The Mortgage Lending Reform Law of 2008 (Ch. 472, Laws of 2008, hereinafter, the "Mortgage Lending Reform Law") requires all mortgage loan servicers, whether registered or exempt from registration under the law, to service mortgage loans in accordance with the rules and regulations promulgated by the Banking Board or Superintendent. The functions and powers of the Banking Board have since been transferred to the Superintendent of Financial Services, pursuant to Part A of Chapter 62 of the Laws of 2011, Section 89. Of the 67 entities which have been approved for registration or have pending applications and the nearly 400 entities which have indicated that they are exempt from the registration requirements, it is estimated that very few are small businesses.

2. Compliance Requirements:

The provisions of the Mortgage Lending Reform Law relating to mortgage loan servicers has two main components: it requires the registration by the Department of servicers who are not a bank, mortgage banker, mortgage broker or other exempt organizations (the "MLS Registration Regulations") , and it authorizes the Department to promulgate rules and regulations that are necessary and appropriate for the protection of consumers, to define improper or fraudulent business practices, or otherwise appropriate for the effective administration of the provisions of the Mortgage Lending Reform Law relating to mortgage loan servicers (the "Mortgage Loan Servicer Business Conduct Regulations").

The provisions of the Mortgage Lending Reform Law requiring registration of mortgage loan servicers which are not mortgage bankers, mortgage brokers or exempt organizations became effective on July 1, 2009. Part 418 of the Superintendent's Regulations, initially adopted on an emergency basis on July 1 2009, sets for the standards and procedures for applications for registration as a mortgage loan servicer, for approving and denying applications to be registered as a mortgage loan servicer, for approving changes of control, for suspending, terminating or revoking the registration of a mortgage loan servicer as well as the financial responsibility standards for mortgage loan servicers.

Part 419 implements the provisions of the Mortgage Lending Reform Law by setting the standards by which mortgage loan servicers conduct the business of mortgage loan servicing. The rule sets the standards for handling complaints, payments of taxes and insurance, crediting of borrower payments, late payments, account statements, delinquencies and loss mitigation, fees and recordkeeping.

3. Professional Services:

None.

4. Compliance Costs:

The requirements of Part 419 do not impose any direct costs on mortgage loan servicers. Although mortgage loan servicers may incur some additional costs as a result of complying with Part 419, the overwhelming majority of mortgage loan servicers are banks, operating subsidiaries or affiliates of banks, large independent servicers or other financial services entities that service millions, and even billions, of dollars in loans and have the experience, resources and systems to comply with these requirements. Moreover, any additional costs are likely to be mitigated by the fact that many of the requirements of Part 419, including those relating to the handling of residential mortgage delinquencies and loss mitigation (419.11) and quarterly reporting (419.12), are consistent with or substantially similar to standards found in other federal or state laws, federal mortgage modification programs or servicers own protocols.

For example, Fannie Mae and Freddie Mac, which own or insure approximately 90% of the nation's securitized mortgage loans, have similar guidelines governing various aspects of mortgage servicing, including handling of loan delinquencies. In addition, over 100 mortgage loan servicers participate in the federal Making Home Affordable (MHA) program which requires adherence to standards for handling of loan delinquencies and loss mitigation similar to those contained in these regulations. Those servicers not participating in MHA have, for the most part, adopted programs which parallel many components of MHA.

Reporting on loan delinquencies and loss mitigation has likewise become increasingly common. The OCC publishes quarterly reports on credit performance, loss mitigation efforts and foreclosures based on data provided by national banks and thrifts. And, states such as Maryland and North Carolina have adopted similar reporting requirements to those contained in section 419.12.

Many of the other requirements of Part 419 such as those related to handling of taxes, insurance and escrow payments, collection of late fees and charges, crediting of payments derive from federal or state laws and reflect best industry practices. The periodic reporting and bookkeeping and record keeping requirements are also standard among financial ser-

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vices businesses, including mortgage bankers and brokers (see, for example section 410 of the Superintendent's Regulations).

Compliance with the rule should improve the servicing of residential mortgage loans in New York, including the handling of mortgage delinquencies, help prevent unnecessary foreclosures and reduce consumer complaints regarding the servicing of residential mortgage loans.

5. Economic and Technological Feasibility:

For the reasons noted in Section 4 above, the rule should impose no adverse economic or technological burden on mortgage loan servicers that are small businesses.

6. Minimizing Adverse Impacts:

As noted in Section 1 above, most servicers are not small businesses. Many of the requirements contained in the rule derive from federal or state laws, existing servicer guidelines utilized by Fannie Mae and Freddie Mac and best industry practices.

Moreover, the ability by the Department to regulate mortgage loan servicers is expected to reduce costs associated with responding to consumers' complaints, decrease unnecessary expenses borne by mortgagors, help borrowers at risk of foreclosure and decrease the number of foreclosures in this state.

7. Small Business and Local Government Participation:

The Department distributed a draft of proposed Part 419 to industry representatives, received industry comments on the proposed rule and met with industry representatives in person. The Department likewise distributed a draft of proposed Part 419 to consumer groups, received their comments on the proposed rule and met with consumer representatives to discuss the proposed rule in person. The rule reflects the input received from both industry and consumer groups.

Rural Area Flexibility Analysis

Types and Estimated Numbers:

Since the adoption of the Mortgage Lending Reform Law of 2008 (Ch. 472, Laws of 2008, hereinafter, the "Mortgage Lending Reform Law"), which required mortgage loan servicers to be registered with the Department unless exempted under the law, 67 entities have pending applications or have been approved for registration and nearly 400 entities have indicated that they are a mortgage banker, broker, bank or other organization exempt from the registration requirements. Only one of the non-exempt entities applying for registration is located in New York and operating in a rural area. Of the exempt organizations, all of which are required to comply with the conduct of business contained in Part 419, approximately 400 are located in New York, including several in rural areas. However, the overwhelming majority of exempt organizations, regardless of where located, are banks or credit unions that are already regulated and are thus familiar with complying with the types of requirements contained in this regulation.

Compliance Requirements:

The provisions of the Mortgage Lending Reform Law relating to mortgage loan servicers has two main components: it requires the registration by the Department of servicers that are not a bank, mortgage banker, mortgage broker or other exempt organization (the "MLS Registration Regulations") , and it authorizes the Department to promulgate rules and regulations that are necessary and appropriate for the protection of consumers, to define improper or fraudulent business practices, or otherwise appropriate for the effective administration of the provisions of the Mortgage Lending Reform Law relating to mortgage loan servicers (the "MLS Business Conduct Regulations").

The provisions of the Mortgage Lending Reform Law of 2008 requiring registration of mortgage loan servicers which are not mortgage bankers, mortgage brokers or exempt organizations became effective on July 1, 2009. Part 418 of the Superintendent's Regulations, initially adopted on an emergency basis on July 1, 2010, sets forth the standards and procedures for applications for registration as a mortgage loan servicer, for approving and denying applications to be registered as a mortgage loan servicer, for approving changes of control, for suspending, terminating or revoking the registration of a mortgage loan servicer as well as the financial responsibility standards for mortgage loan servicers.

Part 419 implements the provisions of the Mortgage Lending Reform Law of 2008 by setting the standards by which mortgage loan servicers conduct the business of mortgage loan servicing. The rule sets the standards for handling complaints, payments of taxes and insurance, crediting borrower payments, late payments, account statements, delinquencies and loss mitigation and fees. This part also imposes certain recordkeeping and reporting requirements in order to enable the Superintendent to monitor services' conduct and prohibits certain practices such as engaging in deceptive business practices.

Costs:

The requirements of Part 419 do not impose any direct costs on mortgage loan servicers. The periodic reporting requirements of Part 419 are consistent with those imposed on other regulated entities. In addition, many of the other requirements of Part 419, such as those related to the handling of loan delinquencies, taxes, insurance and escrow payments, collection of late fees and charges and crediting of payments, derive from federal or state laws, current federal loan modification programs, servicing guidelines utilized by Fannie Mae and Freddie Mac or servicers' own protocols. Although mortgage loan servicers may incur some additional costs as a result of complying with Part 419, the overwhelming majority of mortgage loan servicers are banks, credit unions, operating subsidiaries or affiliates of banks, large independent servicers or other financial services entities that service millions, and even billions, of dollars in loans and have the experience, resources and systems to comply with these requirements. Of the 67 entities that have been approved for registration or that have pending applications, only one is located in a rural area of New York State. Of the few exempt organizations located in rural areas of New York, virtually all are banks or credit unions. Moreover, compliance with the rule should improve the servicing of residential mortgage loans in New York, including the handling of mortgage delinquencies, help prevent unnecessary foreclosures and reduce consumer complaints regarding the servicing of residential mortgage loans.

Minimizing Adverse Impacts:

As noted in the "Costs" section above, while mortgage loan servicers may incur some higher costs as a result of complying with the rules, the Department does not believe that the rule will impose any meaningful adverse economic impact upon private or public entities in rural areas.

In addition, it should be noted that Part 418, which establishes the application and financial requirements for mortgage loan servicers, authorizes the Superintendent to reduce or waive the otherwise applicable financial responsibility requirements in the case of mortgage loans servicers that service not more than 12 mortgage loans or more than $5,000,000 in aggregate mortgage loans in New York and which do not collect tax or insurance payments. The Superintendent is also authorized to reduce or waive the financial responsibility requirements in other cases for good cause. The Department believes that this will ameliorate any burden on mortgage loan servicers operating in rural areas.

Rural Area Participation:

The Department issued a draft of Part 419 in December 2009 and held meetings with and received comments from industry and consumer groups following the release of the draft rule. The Department also maintains continuous contact with large segments of the servicing industry though its regulation of mortgage bankers and brokers and its work in the area of foreclosure prevention. The Department likewise maintains close contact with a variety of consumer groups through its community outreach programs and foreclosure mitigation programs. The Department has utilized this knowledge base in drafting the regulation.

Job Impact Statement

Article 12-D of the Banking Law, as amended by the Mortgage Lending Reform Law (Ch. 472, Laws of 2008), requires persons and entities which engage in the business of servicing mortgage loans after July 1, 2009 to be registered with the Superintendent. Part 418 of the Superintendent's Regulations, initially adopted on an emergency basis on July 1, 2009, sets forth the application, exemption and approval procedures for registration as a mortgage loan servicer, as well as financial responsibility requirements for applicants, registrants and exempted persons.

Part 419 addresses the business practices of mortgage loan servicers in connection with their servicing of residential mortgage loans. Thus, this part addresses the obligations of mortgage loan servicers in their communications, transactions and general dealings with borrowers, including the handling of consumer complaints and inquiries, handling of escrow payments, crediting of payments, charging of fees, loss mitigation procedures and provision of payment histories and payoff statements. This part also imposes certain recordkeeping and reporting requirements in order to enable the Superintendent to monitor services' conduct and prohibits certain practices such as engaging in deceptive business practices.

Compliance with Part 419 is not expected to have a significant adverse effect on jobs or employment activities within the mortgage loan servicing industry. The vast majority of mortgage loan servicers are sophisticated financial entities that service millions, if not billions, of dollars in loans and have the experience, resources and systems to comply with the requirements of the rule. Moreover, many of the requirements of the rule reflect derive from federal or state laws and reflect existing best industry practices.

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Department of Health

EMERGENCY RULE MAKING

Personal Care Services Program (PCSP) and Consumer Directed Personal Assistance Program (CDPAP)

I.D. No. HLT-12-15-00002-E
Filing No. 149
Filing Date: 2015-03-06
Effective Date: 2015-03-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 505.14 and 505.28 of Title 18 NYCRR.

Statutory authority: Public Health Law, section 201(1)(v); Social Services Law, sections 363-a(2), 365-a(2)(e) and 365-f

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: Pursuant to the authority vested in the Commissioner of Health by Social Services Law § 365-a(2)(e), the Commissioner is authorized to adopt standards, pursuant to emergency regulation, for the provision and management of services for individuals whose need for such services exceeds a specified level to be determined by the Commissioner.

Subject: Personal Care Services Program (PCSP) and Consumer Directed Personal Assistance Program (CDPAP).

Purpose: To establish definitions, criteria and requirements associated with the provision of continuous PC and continuous CDPA services.

Text of emergency rule: Paragraph (3) of subdivision (a) of section 505.14 is repealed and a new paragraph (3) is added to read as follows:

(3) Continuous personal care services means the provision of uninterrupted care, by more than one person, for more than 16 hours per day for a patient who, because of the patient's medical condition and disabilities, requires total assistance with toileting, walking, transferring or feeding at times that cannot be predicted.

Paragraph (4) of subdivision (a) of section 505.14 is amended by adding new subparagraph (iii) to read as follows:

(iii) Personal care services shall not be authorized if the patient's need for assistance can be met by either or both of the following:

(a) voluntary assistance available from informal caregivers including, but not limited to, the patient's family, friends or other responsible adult; or formal services provided by an entity or agency; or

(b) adaptive or specialized equipment or supplies including, but not limited to, bedside commodes, urinals, walkers and wheelchairs, when such equipment or supplies can be provided safely and cost-effectively.

Paragraph (5) of subdivision (a) of section 505.14 is repealed and a new paragraph (5) is added to read as follows:

(5) Live-in 24-hour personal care services means the provision of care by one person for a patient who, because of the patient's medical condition and disabilities, requires some or total assistance with one or more personal care functions during the day and night and whose need for assistance during the night is infrequent or can be predicted.

Clause (b) of subparagraph (i) of paragraph (6) of subdivision (a) of section 505.14 is amended to read as follows:

(b) The [initial] authorization for Level I services shall not exceed eight hours per week. [An exception to this requirement may be made under the following conditions:

(1) The patient requires some or total assistance with meal preparation, including simple modified diets, as a result of the following conditions:

(i) informal caregivers such as family and friends are unavailable, unable or unwilling to provide such assistance or are unacceptable to the patient; and

(ii) community resources to provide meals are unavailable or inaccessible, or inappropriate because of the patient's dietary needs.

(2) In such a situation, the local social services department may authorize up to four additional hours of service per week.]

Clause (b) of subparagraph (ii) of paragraph (6) of subdivision (a) of section 505.14 is amended to read as follows:

(b) When continuous [24-hour care] *personal care services* is indicated, additional requirements for the provision of services, as specified in clause (b)(4)(i)(c) of this section, must be met.

Clause (c) of subparagraph (ii) of paragraph (3) of subdivision (b) of section 505.14 is relettered as clause (d) and a new clause (c) is added to read as follows:

(c) When live-in 24-hour personal care services is indicated, the social assessment shall evaluate whether the patient's home has adequate sleeping accommodations for a personal care aide.

Subclauses (5) and (6) of clause (b) of subparagraph (iii) of paragraph (3) of subdivision (b) of section 505.14 are renumbered as subclauses (6) and (7), and new subclause (5) is added to read as follows:

(5) an evaluation whether adaptive or specialized equipment or supplies including, but not limited to, bedside commodes, urinals, walkers and wheelchairs, can meet the patient's need for assistance with personal care functions, and whether such equipment or supplies can be provided safely and cost-effectively;

Subclause (7) of clause (a) of subparagraph (iv) of paragraph (3) of subdivision (b) of section 505.14 is amended to read as follows:

(7) whether the patient can be served appropriately and more cost-effectively by using *adaptive or* specialized medical equipment *or supplies* covered by the MA program including, but not limited to, *bedside commodes, urinals, walkers, wheelchairs and* insulin pens; and

Clause (c) of subparagraph (iv) of paragraph (3) of subdivision (b) of section 505.14 is amended to read as follows:

(c) A social services district may determine that the assessments required by subclauses (a)(1) through *(6) and* (8) of this subparagraph may be included in the social assessment or the nursing assessment.

Clause (c) of subparagraph (i) of paragraph (4) of subdivision (b) of section 505.14 is amended to read as follows:

(c) the case involves the provision of continuous [24-hour] personal care services as defined in paragraph (a)(3) of this section. Documentation for such cases shall be subject to the following requirements:

Subclause (2) of clause (c) of subparagraph (i) of paragraph (4) of subdivision (b) of section 505.14 is amended to read as follows:

(2) The nursing assessment shall document that: the functions required by the patient[,] *;* the degree of assistance required for each function*, including that the patient requires total assistance with toileting, walking, transferring or feeding;* and the time of this assistance require the provision of continuous [24-hour care] *personal care services*.

Subparagraph (ii) of paragraph (4) of subdivision (b) of section 505.14 is amended to read as follows:

(ii) The local professional director, or designee, must review the physician's order and the social, nursing and other required assessments in accordance with the standards for levels of services set forth in subdivision (a) of this section, and is responsible for the final determination of the level and amount of care to be provided. *The local professional director or designee may consult with the patient's treating physician and may conduct an additional assessment of the patient in the home.* The final determination must be made [within five working days of the request] *with reasonable promptness, generally not to exceed seven business days after receipt of the physician's order and the completed social and nursing assessments, except in unusual circumstances including, but not limited to, the need to resolve any outstanding questions regarding the level, amount or duration of services to be authorized.*

Paragraph (4) of subdivision (b) of section 505.28 is amended to read as follows:

(4) "continuous [24-hour] consumer directed personal assistance" means the provision of uninterrupted care, by more than one consumer directed personal assistant, *for more than 16 hours per day* for a consumer who, because of the consumer's medical condition [or] *and* disabilities, requires total assistance with toileting, walking, transferring or feeding at [unscheduled times during the day and night] *at times that cannot be predicted*.

Paragraphs (8) through (13) of subdivision (b) of section 505.28 are renumbered as paragraphs (9) through (14) and the renumbered paragraph (9) is amended to read as follows:

(9) "personal care services" means the nutritional and environmental support functions, personal care functions, or both such functions, that are specified in Section 505.14(a)(6) of this Part *except that, for individuals whose needs are limited to nutritional and environmental support functions, personal care services shall not exceed eight hours per week*.

A new paragraph (8) of subdivision (b) of section 505.28 is added to read as follows:

(8) "live-in 24-hour consumer directed personal assistance" means the provision of care by one consumer directed personal assistant for a consumer who, because of the consumer's medical condition and disabilities, requires some or total assistance with personal care functions, home health aide services or skilled nursing tasks during the day and night and whose need for assistance during the night is infrequent or can be predicted.

Subparagraph (iii) of paragraph (2) of subdivision (d) of section 505.28

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is amended, and new subparagraphs (iv) and (v) of such paragraph are added, to read as follows:

(iii) an evaluation of the potential contribution of informal supports, such as family members or friends, to the individual's care, which must consider the number and kind of informal supports available to the individual; the ability and motivation of informal supports to assist in care; the extent of informal supports' potential involvement; the availability of informal supports for future assistance; and the acceptability to the individual of the informal supports' involvement in his or her care [.] *and;*

(iv) for cases involving continuous consumer directed personal assistance, documentation that: all alternative arrangements for meeting the individual's medical needs have been explored or are infeasible including, but not limited to, the provision of consumer directed personal assistance in combination with other formal services or in combination with contributions of informal caregivers; and

(v) for cases involving live-in 24-hour consumer directed personal assistance, an evaluation whether the individual's home has adequate sleeping accommodations for a consumer directed personal assistant.

Subparagraph (i) of paragraph (3) of subdivision (d) of section 505.28 is repealed and a new subparagraph (i) is added to read as follows:

(i) The nursing assessment must be completed by a registered professional nurse who is employed by the social services district or by a licensed or certified home care services agency or voluntary or proprietary agency under contract with the district.

Clauses (g) and (h) of subparagraph (ii) of paragraph (3) of subdivision (d) of section 505.28 are relettered as clauses (h) and (i) and a new clause (g) is added to read as follows:

(g) for continuous consumer directed personal assistance cases, documentation that: the functions the consumer requires; the degree of assistance required for each function, including that the consumer requires total assistance with toileting, walking, transferring or feeding; and the time of this assistance require the provision of continuous consumer directed personal assistance;

Paragraph (5) of subdivision (d) of section 505.28 is amended to read as follows:

(5) Local professional director review. If there is a disagreement among the physician's order, nursing and social assessments, or a question regarding the level, amount or duration of services to be authorized, or if the case involves continuous [24-hour] consumer directed personal assistance, an independent medical review of the case must be completed by the local professional director, a physician designated by the local professional director or a physician under contract with the social services district. The local professional director or designee must review the physician's order and the nursing and social assessments and is responsible for the final determination regarding the level and amount of services to be authorized. *The local professional director or designee may consult with the consumer's treating physician and may conduct an additional assessment of the consumer in the home.* The final determination must be made with reasonable promptness, generally not to exceed [five] *seven* business days after receipt of the physician's order and the completed social and nursing assessments, except in unusual circumstances including, but not limited to, the need to resolve any outstanding questions regarding the level, amount or duration of services to be authorized.

Paragraph (1) of subdivision (e) of section 505.28 is amended to read as follows:

(1) When the social services district determines pursuant to the assessment process that the individual is eligible to participate in the consumer directed personal assistance program, the district must authorize consumer directed personal assistance according to the consumer's plan of care. The district must not authorize consumer directed personal assistance unless it reasonably expects that such assistance can maintain the individual's health and safety in the home or other setting in which consumer directed personal assistance may be provided. *Consumer directed personal assistance shall not be authorized if the consumer's need for assistance can be met by either or both of the following:*

(i) voluntary assistance available from informal caregivers including, but not limited to, the consumer's family, friends or other responsible adult; or formal services provided by an entity or agency; or

(ii) adaptive or specialized equipment or supplies including, but not limited to, bedside commodes, urinals, walkers and wheelchairs, when such equipment or supplies can be provided safely and cost-effectively.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire June 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of House Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

Social Services Law ("SSL") § 363-a(2) and Public Health Law § 201(1)(v) provide that the Department has general rulemaking authority to adopt regulations to implement the Medicaid program.

The Commissioner has specific rulemaking authority under SSL § 365-a(2)(e)(ii) to adopt standards, pursuant to emergency regulation, for the provision and management of personal care services for individuals whose need for such services exceeds a specified level to be determined by the Commissioner.

Under SSL § 365-a(2)(e)(iv), personal care services shall not exceed eight hours per week for individuals whose needs are limited to nutritional and environmental support functions.

Legislative Objectives:

The Legislature sought to reform the Medicaid personal care services program by controlling expenditure growth and promoting self-sufficiency.

The Legislature authorized the Commissioner of Health to adopt standards for the provision and management of personal care services for Medicaid recipients whose need for such services exceeds a specified level. The regulations adopt such standards for Medicaid recipients who seek continuous personal care services or continuous consumer directed personal assistance for more than 16 hours per day.

The Legislature additionally sought to promote the goal of self-sufficiency among Medicaid recipients who do not need hands-on assistance with personal care functions such as bathing, toileting or transferring. It determined that recipients whose need for personal care services is limited to nutritional and environmental support functions, such as shopping, laundry and light housekeeping, could receive no more than eight hours per week of such assistance.

Needs and Benefits:

The regulations have two general purposes: to conform the Department's personal care services and consumer directed personal assistance program (CDPAP) regulations to State law limiting the amount of services that can be authorized for individuals who require assistance only with nutritional and environmental support functions; and, to implement State law authorizing the Department to adopt standards for the provision and management of personal care services for individuals whose need for such services exceeds a specified level that the Commissioner may determine.

The term "nutritional and environmental support functions" refers to housekeeping tasks including, but not limited to, laundry, shopping and meal preparation. Department regulations refer to these support functions as "Level I" personal care services. Department regulations have long provided that social services districts cannot initially authorize Level I services for more than eight hours per week; however, an exception permitted authorizations for Level I services to exceed eight hours per week under certain circumstances.

The Legislature has nullified this regulatory exception. The regulations conform the Department's personal care services regulations to the new State law. They repeal the regulatory exception that permitted social services districts to authorize up to 12 hours of Level I services per week, capping such authorizations at no more than eight hours per week.

The regulations similarly amend the Department's CDPAP regulations. Some CDPAP participants are authorized to receive only assistance with nutritional and environmental support functions. Since personal care services are included within the CDPAP, it is consistent with the Legislature's intent to extend the eight hour weekly cap on nutritional and environmental services to that program.

The regulations also implement the Department's specific statutory authority to adopt standards pursuant to emergency regulation for the provision and management of personal care services for individuals whose need for such services exceeds a specified level. The Commissioner has determined to adopt such standards for individuals whose need for continuous personal care services or continuous consumer directed personal assistance exceeds 16 hours per day.

The regulations repeal the definition of "continuous 24-hour personal care services," replacing it with a definition of "continuous personal care services." The prior definition applied to individuals who required total assistance with certain personal care functions for 24 hours at unscheduled times during the day and night. The new definition applies to individuals who require such assistance for more than 16 hours per day at times that cannot be predicted.

Cases in which continuous personal care services are indicated must be referred to the local professional director or designee. Such referrals would now be required in additional cases: those involving provision of continuous care for more than 16 hours per day.

The regulations permit the local professional director or designee to consult with the recipient's treating physician and conduct an additional assessment of the recipient in the home.

The regulations amend the documentation requirements for nursing assessments in continuous personal care services cases.

From the digital collections of the New York State Library.

The regulations add a definition of live-in 24 hour personal care services. This level of service has long existed, primarily in New York City, but has never been explicitly set forth in the Department's regulations. The regulations also require that, for recipients who may be eligible for such services, the social assessment evaluate whether the recipient's home has adequate sleeping accommodations for the live-in aide.

The regulations provide that personal care services shall not be authorized when the recipient's need for assistance can be met by the voluntary assistance of informal caregivers or by formal services or by adaptive or specialized equipment or supplies that can be provided safely and cost-effectively. The regulations require that the nursing assessments that districts currently complete or obtain include an evaluation whether adaptive or specialized equipment or supplies can meet the recipient's need for assistance and whether such equipment or supplies can be provided safely and cost-effectively.

The regulations adopt conforming amendments to the Department's CDPAP regulations.

Costs:

Costs to Regulated Parties:

Regulated parties include entities that voluntarily contract with social services districts to provide personal care services to, or to perform certain CDPAP functions for, Medicaid recipients. These entities include licensed home care services agencies, agencies that are exempt from licensure, and CDPAP fiscal intermediaries.

Social services districts may no longer authorize certain Medicaid recipients to receive more than eight hours per week of assistance with nutritional and environmental support functions. To the extent that regulated parties were formerly reimbursed for more than eight hours per week for these services, their Medicaid revenue will decrease. This is a consequence of State law, not the regulations. The regulations do not impose any additional costs on these regulated parties.

Costs to State Government:

The regulations impose no additional costs on State government.

The statutory cap on nutritional and environmental support functions will result in cost-savings to the State share of Medicaid expenditures. The estimated annual personal care services and CDPAP cost-savings for subsequent State fiscal years are approximately $3.4 million.

This estimate is based on 2010 recipient and expenditure data for the personal care services program. According to such data, 2,377 New York City recipients received more than eight hours per week of Level I services, the average being 11 weekly hours of such service. The number of Level I hours that exceeded eight hours per week was thus approximately 370,800 hours (2,377 recipients x 3 hours per week x 52 weeks). Multiplying this hourly total by the 2010 average hourly New York City personal care aide cost ($17.30) results in total annual savings of $6.4, or $3.2 million in State share savings. Application of this calculation to the Rest of State recipient and expenditure data yields an additional $200,000 in State share savings, or $3.4 million.

State Medicaid cost-savings are also projected to occur as a result of changes to continuous personal care services authorizations. It is not possible to accurately estimate such savings. However, the Department anticipates that most recipients currently authorized for continuous 24-hour personal care services will continue to receive that level of care. Others may be authorized for continuous services for 16 hours per day or live-in 24 hour personal care services. Still others may be authorized for services for more than 16 hours per day but fewer than 24 hours per day.

The estimated State share savings for this portion of the regulations are $33.1 million. This comprises approximately $17.1 million in personal care savings and $15.9 million in CDPAP savings. This estimate is based on 2010 personal care services and CDPAP recipient and expenditure data. In 2010, 1,809 Medicaid recipients were authorized to receive more than 16 hours of services per day. The assumption is that these recipients were authorized for continuous 24-hour services, which has an average annual per person cost of approximately $166,000. Assuming that 20 percent were authorized for live-in 24-hour services at an average annual per person cost of approximately $83,000, and 15 percent were authorized for 16 hours per day at an average hourly cost of between approximately $17.00 and $22.00, depending on service and location, the annual State share savings per recipient would range from approximately $28,000 to $35,000.

Costs to Local Government:

The regulation will not require social services districts to incur new costs. State law limits the amount that districts must pay for Medicaid services provided to district recipients. Districts may claim State reimbursement for any costs they may incur when administering the Medicaid program.

Costs to the Department of Health:

There will be no additional costs to the Department.

Local Government Mandates:

The regulations require social services districts to refer additional cases to their local professional directors or designees. Currently, the regulations require that such referrals be made for continuous 24 hour care and certain other cases. Under the proposed regulations, such referrals must also be made for recipients who may require continuous services for more than 16 hours.

Paperwork:

The regulations specify additional documentation requirements for the social and nursing assessments that districts currently complete or obtain for personal care services and CDPAP applicants and recipients. For persons who may be eligible for live-in 24 hour services, the social assessment must evaluate whether the recipient's home has adequate sleeping accommodations for the live-in aide. The nursing assessments for all personal care services and CDPAP cases, including those not involving continuous services, must include an evaluation whether adaptive or specialized equipment or supplies can meet the recipient's need for assistance and whether such equipment or supplies can be used safely and cost-effectively. The amendments to the CDPAP regulations also specify additional documentation requirements for the social and nursing assessments for cases involving continuous consumer directed personal assistance. These requirements mirror long-standing documentation requirements in the personal care services regulations.

Duplication:

The regulations do not duplicate any existing federal, state or local regulations.

Alternatives:

With respect to the regulation that caps authorizations for nutritional and environmental support functions to eight hours per week, no alternatives exist. The regulation must conform to State law that imposes this weekly cap. With respect to the regulation that establishes new requirements for continuous services, alternatives existed but were not now pursued. One such alternative may be the repeal of the regulatory authorization for continuous 24-hour services. The Department determined to promulgate further regulatory controls regarding the provision and management of continuous services, rather than repeal such services in their entirety.

Federal Standards:

This rule does not exceed any minimum federal standards.

Compliance Schedule:

The Department has issued instructions to social services districts advising them of the new State law that limits nutritional and environmental support functions to no more than eight hours per week for certain recipients. Districts should not now be authorizing more than eight hours per week of such assistance and should thus be able to comply with the regulations when they become effective. With regard to the remaining regulations, social services districts should be able to comply with the regulations when they become effective. For applicants, social services districts would apply the regulations when assessing applicants' eligibility for personal care services and the CDPAP. For current recipients, districts would apply the regulations upon reassessing these recipients' continued eligibility for services.

Regulatory Flexibility Analysis

Effect of Rule:

The regulation limiting authorizations of nutritional and environmental support functions to no more than eight hours per week primarily affects licensed home care services agencies and exempt agencies that provide only such Level I services. These entities are the primary employers of individuals providing Level I services. Most recipients of Level I personal care services are located in New York City. There are currently eight Level I only personal care service providers in New York City, none of which employ fewer than 100 persons.

Fiscal intermediaries that are enrolled as Medicaid providers and that facilitate payments for the nutritional and environmental support functions provided to consumer directed personal assistance program (CDPAP) participants may also experience slight reductions in service hours reimbursed. There are approximately 46 fiscal intermediaries that contract with social services districts. Fiscal intermediaries are typically non-profit entities such as independent living centers but may also include home care services agencies.

With respect to continuous care, a significant majority of existing 24-hour a day continuous care cases are located in New York City. There are currently 60 Level II personal care service providers in New York City, none of which employ fewer than 100 persons.

The regulations also affect social services districts. There are 62 counties in New York State, but only 58 social services districts. The City of New York comprises five counties but is one social services district.

Compliance Requirements:

Social services districts currently assess whether Medicaid recipients are eligible for personal care services and the CDPAP. When 24 hour continuous care is indicated, districts are currently required to refer such cases to the local professional director or designee for final determination.

From the digital collections of the New York State Library.

The regulations would require districts to refer additional continuous care cases to the local professional director or designee; namely, those cases in which continuous care for more than 16 hours a day is indicated would also be referred to the local professional director or designee. The local professional director or designee would be required to consult with the recipient's treating physician before approving continuous care for more than 16 hours per day.

In addition, the nursing assessments that districts currently complete or obtain for personal care services and CDPAP applicants and recipients would be required to include an evaluation of whether adaptive or specialized equipment or supplies would be appropriate and could be safely and cost-effectively provided. In cases involving the authorization of live-in 24 hour services, the social assessments that districts currently are required to complete would have to include an evaluation whether the recipient's home had sufficient sleeping accommodations for a live-in aide.

Professional Services:

No new or additional professional services are required in order to comply with the rule.

Compliance Costs:

No capital costs will be imposed as a result of this rule, nor are there any annual costs of compliance.

Economic and Technological Feasibility:

There are no additional economic costs or technology requirements associated with this rule.

Minimizing Adverse Impact:

The regulations should not have an adverse economic impact on social services districts. Districts currently assess Medicaid recipients to determine whether they are eligible for personal care services or the CDPAP. The regulations modify these assessment procedures. Should districts incur administrative costs to comply with the regulation, they may seek State reimbursement for such costs.

Small businesses providing Level I personal care services and consumer directed environmental and nutritional support functions may experience slight reductions in service hours provided. This is a consequence of State law limiting these services to no more than eight hours per week.

Small businesses currently providing continuous 24-hour services may experience some reductions in service hours provided.

Small Business and Local Government Participation:

The Department solicited comments on the regulations from the New York City Human Resources Administration, which administers the personal care services program and CDPAP for New York City Medicaid recipients who are not enrolled in managed care. Most of the State's personal care services and CDPAP recipients reside in New York City. Personal care services provided to New York City recipients comprises approximately 84 percent of Medicaid personal care services expenditures.

Small business and local governments also have the opportunity to provide input into the redesign of New York State's Medicaid program. The Medicaid Redesign Team (MRT) was tasked by Governor Cuomo to find ways to reduce costs and increase quality and efficiency in the Medicaid program for the 2011-12 Fiscal Year. As part of its work, the MRT sought and continues to seek ideas from the public at large, as well as experts in health care delivery and insurance, the health care workforce, economics, business, consumer rights and other relevant areas. The MRT conducted regional public hearings across the State to solicit ideas from the public on ways to reduce costs and improve the quality of the Medicaid program. Additionally, a web page was established, providing a vehicle for all individuals and organizations to provide ideas, comments and recommendations.

Cure Period:

Chapter 524 of the Laws of 2011 requires agencies to include a "cure period" or other opportunity for ameliorative action to prevent the imposition of penalties on the party or parties subject to enforcement when developing a regulation or explain in the Regulatory Flexibility Analysis why one was not included. This regulation creates no new penalty or sanction. Hence, a cure period is not necessary.

Rural Area Flexibility Analysis

Types and Estimated Numbers of Rural Areas:

Rural areas are defined as counties with populations less than 200,000 and, for counties with populations greater than 200,000, include towns with population densities of 150 persons or less per square mile. In 2010, only 6% of all continuous care cases resided in the counties listed below. Currently there are 34 organizations which maintain contracts with local districts to provide consumer directed environmental and nutritional support functions, and 50 individual licensed home care services agencies which maintain contracts with local districts to provide Level I personal care services, within the following 43 counties having populations of less than 200,000:

Allegany
Cattaraugus
Cayuga
Chautauqua
Chemung
Chenango
Clinton
Columbia
Cortland
Delaware
Essex
Franklin
Fulton
Genesee
Greene
Hamilton
Herkimer
Jefferson
Lewis
Livingston
Madison
Montgomery
Ontario
Orleans
Oswego
Otsego
Putnam
Rensselaer
St. Lawrence
Schenectady
Schoharie
Schuyler
Seneca
Steuben
Sullivan
Tioga
Tompkins
Ulster
Warren
Washington
Wayne
Wyoming
Yates

Reporting, Recordkeeping and Other Compliance Requirements and Professional Services:

Social services districts would be required to refer additional cases to their local professional directors or designees. Currently, the personal care services and CDPAP regulations require that such referrals be made for recipients seeking continuous 24-hour services and in certain other cases. Under the regulations, such referrals must also be made for recipients who require continuous care for more than 16 hours. The regulations also specify additional documentation requirements for the social and nursing assessments that districts currently complete or obtain for personal care services and CDPAP applicants and recipients.

Costs:

There are no new capital or additional operating costs associated with the rule.

Minimizing Adverse Impact:

It is anticipated the rule will have minimal impact on rural areas as the Department has determined that the preponderance of Level I services in excess of eight hours per week occur in downstate urban areas. Additionally, in 2010, only 6% of all individuals receiving continuous care services resided in those counties listed above. To the extent that social services districts incur administrative costs to comply with the regulations' requirements for referral of continuous care cases and social and nursing assessment documentation requirements, they may seek State reimbursement of such expenses.

Rural Area Participation:

Individuals and organizations from rural areas have the opportunity to provide input into the redesign of New York State's Medicaid program. The Medicaid Redesign Team (MRT) is tasked by Governor Cuomo to find ways to reduce costs and increase quality and efficiency in the Medicaid program for the 2011-12 Fiscal Year. As part of its work, the MRT sought and continues to seek ideas from the public at large, as well as experts in health care delivery and insurance, the health care workforce, economics, business, consumer rights and other relevant areas. The MRT conducted regional public hearings across the State to solicit ideas from the public on ways to reduce costs and improve the quality of the Medicaid program. Additionally, a web page was established, providing a vehicle for all individuals and organizations to provide ideas, comments and recommendations.

Job Impact Statement

No Job Impact Statement is required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act. It is apparent, from the nature of the proposed amendment, that it will not have a substantial adverse impact on jobs and employment opportunities.

NOTICE OF ADOPTION

Amendment of Certificate of Need (CON) Applications

I.D. No. HLT-29-14-00013-A
Filing No. 153
Filing Date: 2015-03-10
Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 600.3 and 710.5 of Title 10 NYCRR.

From the digital collections of the New York State Library.

Statutory authority: Public Health Law, sections 2801-a(1) and 2802(1)

Subject: Amendment of Certificate of Need (CON) Applications.

Purpose: To eliminate requirement for Public Health and Health Planning Council review of certain types of amendments to CON applications.

Text or summary was published in the July 23, 2014 issue of the Register, I.D. No. HLT-29-14-00013-C.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of House Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Assessment of Public Comment

The agency received no public comment.

Higher Education Services Corporation

NOTICE OF ADOPTION

Default Fee

I.D. No. ESC-52-14-00016-A

Filing No. 157

Filing Date: 2015-03-10

Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of section 2101.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 680(2); 20 USC, section 1078(b)(1)(H)(i)

Subject: Default fee.

Purpose: To repeal section 2101.5 of Title 8 of the NYCRR as obsolete.

Text or summary was published in the December 31, 2014 issue of the Register, I.D. No. ESC-52-14-00016-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

New York State Math and Science Teaching Incentive Program

I.D. No. ESC-52-14-00017-A

Filing No. 154

Filing Date: 2015-03-10

Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 2201.10 of Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 669-d

Subject: New York State Math and Science Teaching Incentive Program.

Purpose: To delete an outdated and incorrect reference.

Text or summary was published in the December 31, 2014 issue of the Register, I.D. No. ESC-52-14-00017-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Volunteer Recruitment Service Scholarships Program

I.D. No. ESC-52-14-00018-A

Filing No. 156

Filing Date: 2015-03-10

Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of section 2201.11 of Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 669-c

Subject: Volunteer Recruitment Service Scholarships Program.

Purpose: To repeal section 2201.11 of Title 8 of the NYCRR as obsolete.

Text or summary was published in the December 31, 2014 issue of the Register, I.D. No. ESC-52-14-00018-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Adjustments to Income

I.D. No. ESC-01-15-00003-A

Filing No. 155

Filing Date: 2015-03-10

Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 2202.3 of Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655, 661 and 663

Subject: Adjustments to income.

Purpose: To delete incorrect references.

Text or summary was published in the January 7, 2015 issue of the Register, I.D. No. ESC-01-15-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Assessment of Public Comment

The agency received no public comment.

Department of Motor Vehicles

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Physician Assistants Performing Medical Review After Loss of Consciousness

I.D. No. MTV-12-15-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend sections 9.1, 9.3, 9.4 and 9.5 of Title 15 NYCRR.

Statutory authority: Vehicle and Traffic Law, sections 215(a), 502(1) and 510(3)(b)

Subject: Physician assistants performing medical review after loss of consciousness.

From the digital collections of the New York State Library.

Purpose: To allow physician assistants to perform a medical review after a loss of consciousness.

Text of proposed rule: Section 9.1 is amended to read as follows:

Section 502 of the Vehicle and Traffic Law requires an applicant for a driver license to submit proof of fitness. This Part establishes procedures and standards to be applied by the commissioner with respect to the licensing of persons who have experienced loss of consciousness. The Part shall be applicable to an applicant for an original license in this State who has ever suffered loss of consciousness, to an applicant for renewal of a license in this State who has suffered loss of consciousness since his last license was issued in this State, to a person who is required to submit physicians', *physician assistants',* or nurse practitioners' statements as a condition for continuing licensing, and to licensees concerning whom the commissioner has received evidence of loss of consciousness.

Subdivisions (a), (b) and (c) of section 9.3 are amended to read as follows:

(a) such person has not experienced a loss of consciousness within the previous 12-month period, and such person submits a physician's*, physician assistant's* [statement] or nurse practitioner's statement confirming such fact;

(b) such person has experienced loss of consciousness within the previous 12-month period, if such loss of consciousness was due solely to a directed change in medication by a physician, *a physician assistant,* or nurse practitioner, and such person submits a physician's*, a physician assistant's,* or nurse practitioner's statement confirming such fact and the commissioner acting after recommendation of his medical consultant finds no grounds to disagree with or to question the physician's*, physician assistant's* or nurse practitioner's statement; or

(c) such person has experienced loss of consciousness within the previous 12-month period, if such person submits a physician's*, physician assistant's* or nurse practitioner's statement confirming the physician's*, physician assistant's* or nurse practitioner's awareness of any or all such incidents and notwithstanding such history, the physician*, physician assistant* or nurse practitioner recommends licensing by making a positive statement that, in his or her opinion, the condition will not interfere with such person's safe operation of a vehicle on the public highway, and the commissioner acting after recommendation of his or her medical consultant finds no grounds to disagree with or to question the physician's*, physician assistant's* or nurse practitioner's statement.

Subdivisions (a), (c) and (d) of section 9.4 are amended to read as follows:

(a) Upon receipt of an application for an original driver license, or for renewal of a driver license, or upon a scheduled review of a required physician's*, physician assistant's* or nurse practitioner's statement, or upon receipt of evidence confirmed by a departmental hearing or investigation that a licensee has experienced loss of consciousness, if the commissioner has not received an acceptable physician's*, physician assistant's* or nurse practitioner's statement as defined in subdivision (d) of this section, or, if such a statement is received but the commissioner's medical consultant finds grounds to disagree with or to question a recommendation of such physician*, physician assistant,* or nurse practitioner made in accordance with the provisions of section 9.3 of this Part, the commissioner shall, unless he or she deems such person's operation of a motor vehicle on a public highway to be an immediate hazard, send to such person a proposed denial or suspension of license, whichever is appropriate, with an offer to withhold such action until after a department hearing, if such hearing is requested by such person. The failure of such person to reply to the commissioner, either accepting the denial or suspension or requesting a hearing, within 30 days of the date of such notice shall result in the imposition of the denial or suspension.

(c) For the purposes of this section, a person's operation on the public highway shall be deemed to constitute an immediate hazard if the commissioner has received evidence from a physician*, physician assistant,* or nurse practitioner that the person's condition does, in the opinion of the physician*, physician assistant* or nurse practitioner, create an immediate hazard if such person were to operate a vehicle on the public highway or, if the commissioner has received evidence that such person's loss of consciousness has caused or contributed to a motor vehicle accident.

(d) In order for a physician's*, physician assistant's* or nurse practitioner's statement to be acceptable, such statement must be submitted by a licensed physician*, physician assistant,* or nurse practitioner who has attended or examined the patient within 120 days of the date of such statement, and if required by the commissioner, may be required to be submitted by a physician licensed in a specialty appropriate to the condition in question.

The heading of section 9.5 is amended and such section is amended to read as follows:

9.5 Submission of physician's*, physician assistant's* or nurse practitioner's statements as a condition for licensing.

The commissioner may require the submission of physicians'*, physician assistants',* or nurse practitioners' statements on a scheduled basis as a condition of licensing in those cases in which a person has experienced loss of consciousness, but meets standards of fitness as set forth in this Part, and the physician's*, physician assistant's* or nurse practitioner's statement indicates that medication is being taken to meet such standards and, in the opinion of either the submitting physician*, physician assistant* or nurse practitioner or the medical consultant to the commissioner, the submission of such scheduled physician's*, physician assistant's* or nurse practitioner's statements is considered necessary or desirable. However, this requirement shall not be applicable in any case where an individual has been seizure free without medication for a minimum period of one year and submits a physician's*, physician assistant's* or nurse practitioner's statement.

Text of proposed rule and any required statements and analyses may be obtained from: Heidi Bazicki, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 522A, Albany, NY 12228, (518) 474-0871, email: heidi.bazicki@dmv.ny.gov

Data, views or arguments may be submitted to: Ida L. Traschen, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 522A, Albany, NY 12228, (518) 474-0871, email: heidi.bazicki@dmv.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Consensus Rule Making Determination

This proposed regulation is necessary to permit physician assistants to evaluate drivers who have suffered a loss of consciousness. Currently, if the Department learns that a motorist has suffered a loss of consciousness, we require the motorist to present proof of his or her fitness to safely operate a motor vehicle. The motorist's physician or nurse practitioner submits the MV-80U.1 form, "Physician's Statement for Medical Review Unit." The Department's medical consultant may accept or reject the physician's or nurse practitioner's conclusion, and if rejected, the Department may permissively suspend the motorist's license, pursuant to VTL section 510(3)(b), while affording the motorist an opportunity to be heard.

Under the proposed regulation, a physician assistant would be authorized to conduct the examination of the motorist and evaluate whether he or she could safely operate a motor vehicle. As with a physician's or nurse practitioner's assessment, our medical consultant may accept or reject the conclusion of the physician assistant, or the consultant may require that a specialist, such as a neurologist, evaluate the motorist.

Education Law section 6542 provides, "Notwithstanding any other provision of law, a physician assistant may perform medical services, but only when under the supervision of a physician and only when such acts and duties as are assigned to him or her are within the scope of practice of such supervising physician." And section 3703 of the Public Health Law provides, "A physician assistant may perform any function in conjunction with a medical service lawfully performed by the physician assistant, in any health care setting, that a statute authorizes or directs a physician to perform and that is appropriate to the education, training and experience of the licensed physician assistant and within the ordinary practice of the supervising physician. This section shall not be construed to increase or decrease the lawful scope of practice of a physician assistant under the education law." Pursuant to these sections of law, a properly license and trained physician assistant is authorized to make a medical assessment about whether a motorist may safely operate a motor vehicle.

Since this proposed rule simply reflects a physician assistant's scope of practice as codified in the Education Law and Public Health Law, a consensus rulemaking is appropriate.

Job Impact Statement

A Job Impact Statement is not submitted because this rule will have no adverse impact on job creation or job development in New York State.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Direct Care and Clinical Compensation Payments

I.D. No. PDD-02-15-00007-A
Filing No. 159
Filing Date: 2015-03-10
Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

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Action taken: Amendment of Part 641 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.09(b), 41.24, 41.36(c) and 43.02

Subject: Direct Care and Clinical Compensation Payments.

Purpose: To amend rate-setting for eligible services in order to implement increases in direct care and clinical compensation.

Text or summary was published in the January 14, 2015 issue of the Register, I.D. No. PDD-02-15-00007-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Regulatory Affairs Unit, OPWDD, 44 Holland Avenue, Albany, NY, 12229, (518) 474-7700, email: RAU.Unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described will have no effect on the environment, and an E.I.S. is not needed.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Updates to SSI Offset and SNAP Benefit Offset

I.D. No. PDD-02-15-00008-A

Filing No. 158

Filing Date: 2015-03-10

Effective Date: 2015-03-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 671.7, 686.17 and Subpart 641-1 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.09(b), 41.24, 41.36(c) and 43.02

Subject: Updates to SSI offset and SNAP benefit offset.

Purpose: To adjust reimbursement to affected providers for rent and food costs.

Text or summary was published in the January 14, 2015 issue of the Register, I.D. No. PDD-02-15-00008-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Regulatory Affairs Unit, OPWDD, 44 Holland Avenue, Albany, NY 12229, (518) 474-7700, email: RAU.Unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described will have no effect on the environment, and an E.I.S. is not needed.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

NOTICE OF ADOPTION

Approving, in Part, and Modify, in Part, National Grid's Request to Recover Incremental Costs

I.D. No. PSC-30-09-00010-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving, in part, and modifying, in part, the petition of Niagara Mohawk Power Corporation d/b/a National Grid to recover incremental costs of its interim gas energy efficiency programs.

Statutory authority: Public Service Law, sections 4(1), 5(2) and 66(1)

Subject: Approving, in part, and modify, in part, National Grid's request to recover incremental costs.

Purpose: To approve, in part, and modify, in part, National Grid's request to recover incremental costs.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving, in part, and modifying, in part, National Grid's petition to recover $3,634,894 in incremental expenditures in its interim gas energy efficiency programs, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(08-G-0609SA3)

NOTICE OF ADOPTION

Niagara Mohawk's Energy Efficiency Portfolio Standard ''Fast Track'' Residential Electric HVAC Program

I.D. No. PSC-11-10-00011-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order regarding Niagara Mohawk Power Corporation's (Niagara Mohawk) request for reconsideration and to recover overspending in its Central Air Conditioning (CAC) Program.

Statutory authority: Public Service Law, sections 4(1), 5(2) and 66(1)

Subject: Niagara Mohawk's Energy Efficiency Portfolio Standard ''Fast Track'' Residential Electric HVAC Program.

Purpose: To address petitions for reconsideration and recovery of overspending.

Substance of final rule: The Commission, on February 26, 2015, adopted an order denying the request of Niagara Mohawk Power Corporation d/b/a National Grid (Niagara Mohawk) for reconsideration of a January 20, 2010 order to reduce its Energy Efficiency Portfolio Standard (EEPS) electric surcharge by $576,450 in 2010 and by $768,600 in 2011 to reflect the termination of its High Efficiency Central Air Conditioning (CAC) Program. In addition, Niagara Mohawk is authorized to recover $1,546,668 in overspending in its terminated CAC from unspent EEPS funds authorized for its other EEPS electric programs implemented during the time period 2009 – 2011, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(08-E-1014SA3)

NOTICE OF ADOPTION

Approving Costs Related to Large Multi-family Energy Efficiency Services

I.D. No. PSC-31-10-00008-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving the request

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of KEDNY to retain $7,348,374 in expenditures incurred to serve large multifamily service classes in its Interim Low Income Program.

Statutory authority: Public Service Law, sections 4(1), 5(2) and 66(1)

Subject: Approving costs related to large multi-family energy efficiency services.

Purpose: To approve costs related to large multi-family energy efficiency services.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving the petition of The Brooklyn Union Gas Company d/b/a National Grid (KEDNY) to retain $7,348,374 in expenditures incurred to serve large multifamily service classes in its Interim Low Income Program, previously collected from customers, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(06-G-1185SA12)

NOTICE OF ADOPTION

Approving Costs Related to Large Multi-Family Energy Efficiency Services

I.D. No. PSC-31-10-00009-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving the request of KEDLI to retain $4,082,406 in expenditures incurred to serve large multifamily service classes in its Low Income Program.

Statutory authority: Public Service Law, sections 4(1), 5(2) and 66(1)

Subject: Approving costs related to large multi-family energy efficiency services.

Purpose: To approve costs related to large multi-family energy efficiency services.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving the petition of KeySpan Gas East Corporation d/b/a KeySpan Energy Delivery Long Island (KEDLI) to retain $4,082,406 in expenditures incurred to serve large multifamily service classes in its Low Income Program, previously collected from customers, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(06-G-1186SA9)

NOTICE OF ADOPTION

Approving, with Modifications, National Grid's Request to Recover Overspending in its HVAC Program

I.D. No. PSC-16-11-00010-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving, with modifications, the petition of Niagara Mohawk Power Corporation d/b/a National Grid to recover overspending associated with its HVAC Program.

Statutory authority: Public Service Law, sections 4(1), 5(2) and 66(1)

Subject: Approving, with modifications, National Grid's request to recover overspending in its HVAC Program.

Purpose: To approve, with modifications, National Grid's request to recover overspending in its HVAC Program.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving, with modifications, National Grid's petition to recover overspending associated with its Residential Heating, Water Heating, and Controls Program (HVAC Program), subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(07-M-0548SA36)

NOTICE OF ADOPTION

Approving the Use of the GE/Dresser ES3 Electronic Temperature Compensating Index

I.D. No. PSC-46-13-00007-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving a petition of Niagara Mohawk Power Corporation d/b/a National Grid to use the GE/Dresser ES3 Electronic Temperature Compensating Index for customer billing applications in New York State.

Statutory authority: Public Service Law, section 67(1)

Subject: Approving the use of the GE/Dresser ES3 Electronic Temperature Compensating Index.

Purpose: To approve the use of the GE/Dresser ES3 Electronic Temperature Compensating Index.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving a petition of Niagara Mohawk Power Corporation d/b/a National Grid to use the GE/Dresser ES3 Electronic Temperature Compensating Index for customer billing applications in New York State, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(13-G-0470SA1)

NOTICE OF ADOPTION

Approval of SATEC Branch Feed Monitor BFM 136 Electric Submeter

I.D. No. PSC-25-14-00014-A

Filing Date: 2015-03-04

Effective Date: 2015-03-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 2/26/15, the PSC adopted an order approving the peti-

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tion by the SATEC Corporation (SATEC) for its Branch Feed Monitor BFM 136 electric submeter.

Statutory authority: Public Service Law, section 67(1)

Subject: Approval of SATEC Branch Feed Monitor BFM 136 electric submeter.

Purpose: To approve the SATEC Branch Feed Monitor BFM 136 electric submeter.

Substance of final rule: The Commission, on February 26, 2015, adopted an order approving the petition of the SATEC Corporation, allowing the SATEC Branch Feed Monitor BFM 136 to be used in New York State to monitor electric flow in residential submetering applications, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Elaine Agresta, Public Service Commission, Three Empire State Plaza, Albany, New York 12223, (518) 486-2660, email: elaine.agresta@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-E-0203SA1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Reliability Support Services Agreement for Electric Service Reliability

I.D. No. PSC-12-15-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering an agreement, filed on March 2, 2015, by Niagara Mohawk Power Corp. (d/b/a National Grid) to procure Reliability Support Services from NRG Energy, Inc.'s Dunkirk Power LLC generating facility located in Dunkirk, New York.

Statutory authority: Public Service Law, sections 4(1), 5(1)(b), (2), 65(1), (2), (3), 66(1), (2), (3), (4), (5), (6), (8), (9), (10), (11), (12), (12-a), (12-b), (16) and (20)

Subject: Reliability Support Services Agreement for electric service reliability.

Purpose: Consideration of an extension of the Reliability Support Services Agreement for electric service reliability.

Substance of proposed rule: The Public Service Commission is considering whether to adopt, modify, or reject, in whole or in part, the March 2, 2015 filing made by Niagara Mohawk Power Corporation (d/b/a National Grid), seeking approval to extend an agreement to procure Reliability Support Services (RSS) from NRG Energy, Inc.'s Dunkirk Power LLC generating facility located in Dunkirk, New York, and to recover the costs associated with the RSS agreement. National Grid maintains that the RSS agreement is needed to ensure transmission system reliability in western New York for an interim period.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: elaine.agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(12-E-0136SP3)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

To Consider a Stock Purchase for the Cable System and Related Assets

I.D. No. PSC-12-15-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a joint petition from Adams CATV Inc. and Oquaga Lake Cable System to approve transfer of control of the cable system properties and franchise.

Statutory authority: Public Service Law, section 222

Subject: To consider a stock purchase for the cable system and related assets.

Purpose: To allow Adams CATV to purchase 100% of the stock of Oquaga Lake Cable System.

Substance of proposed rule: The Public Service Commission is considering whether to grant, deny or modify, in whole or part, the petition filed by Adams CATV Inc. (Adams), seeking approval under Public Service Law (PSL) § 222 to purchase the cable system, franchise and assets of Oquaga Lake Cable System (Oquaga). Under the proposed transaction, Adams has entered into an agreement with Oquaga whereby Adams will acquire 100 percent of Oquaga stock and plans to retain all of Oquaga's existing assets in New York State. The full text of the petition may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may take such further action as deemed warranted.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-V-0090SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Annual Reconciliation of Gas Expenses and Gas Cost Recoveries Codified at Title 16 NYCRR Section 720.6.5

I.D. No. PSC-12-15-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering whether to approve or reject, in whole or in part, a petition filed by the Small Customer Marketer Coalition to examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.

Statutory authority: Public Service Law, sections 65 and 66

Subject: The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR section 720.6.5.

Purpose: Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism.

Substance of proposed rule: The Public Service Commission is considering whether to institute a proceeding to examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries as proposed in a petition filed by the Small Customer Marketer Coalition (SCMC) on February 24, 2015. SCMC is an ad-hoc coalition of Energy Service Companies (ESCOs) providing gas supply service at retail to residential and commercial customers throughout the State of New York. The SCMC requests that the Commission institute an investigation and examination of (i) the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5 to assess its impact and efficacy in the

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current regulatory and economic environment, and (ii) alternative cost recovery mechanisms that would ensure that the monthly gas adjustment clauses are truly reflective of current market costs.

The Commission may adopt, reject, or modify, in whole or in part, the relief proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-G-0101SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Minor Electric Rate Filing

I.D. No. PSC-12-15-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering whether to approve, reject or modify, in whole or in part, a proposal by the Village of Arcade to make various changes in the rates, charges, rules and regulations contained in PSC No. 1—Electricity.

Statutory authority: Public Service Law, section 66(12)

Subject: Minor electric rate filing.

Purpose: To approve an increase in annual electric revenues by approximately $299,966 or 3.2%.

Substance of proposed rule: The Commission is considering whether to approve, modify or reject, in whole or in part, a tariff filing by the Village of Arcade, requesting approval to increase its annual electricity revenues by approximately $299,966 or 3.2% to P.S.C. No. 1 – Electricity. The monthly bill of a residential customer using 750 kilowatt-hours will increase from $43.18 to approximately $44.68 or 3.47%. The proposed amendments have an effective date of August 1, 2015. The Commission may also consider other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: elaine.agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-E-0132SP1)

Office of Temporary and Disability Assistance

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Delete Regulatory References to the Learnfare Program

I.D. No. TDA-12-15-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 351.2; and repeal of section 351.12 of Title 18 NYCRR.

Statutory authority: Social Services Law, section 20(3)(d); L. 1995, ch. 81; sections 188 and 246(18); L. 1997, ch. 436, section 21

Subject: Delete regulatory references to the Learnfare Program.

Purpose: Make technical amendments to reflect that the statutory authority to operate the Learnfare Program has expired.

Text of proposed rule: The content description for Part 351 of article 1 of Subchapter B of Chapter II of 18 NYCRR is amended to read as follows:

Sec. [351.12 Learnfare.]

Subparagraph (i) of paragraph (7) of subdivision (l) of § 351.2 of 18 NYCRR is amended to read as follows:

(i) Waivers are a temporary suspension of public assistance program requirements including, but not limited to, residency rules, child support and paternity cooperation requirements, alcohol and substance abuse screening and referral requirements, and employment and training requirements[, and learnfare]. Any such waivers must be consistent with federal law.

§ 351.12 of 18 NYCRR is REPEALED.

Text of proposed rule and any required statements and analyses may be obtained from: Richard P. Rhodes Jr., N.Y.S. Office of Temporary and Disability Assistance, 40 North Pearl Street, 16C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

§ 20(3)(d) of the Social Services Law (SSL) authorizes the Office of Temporary and Disability Assistance (OTDA) to promulgate regulations to carry out its powers and duties.

§ 188 of Chapter 81 of the Laws of 1995 amended the SSL by adding former § 131-y, authorizing and directing OTDA to establish the Learnfare Program (Learnfare). Learnfare tracked the school attendance of children in grades one through six from households receiving public assistance, and mandated that when a child accrued three or more unexcused absences during an academic quarter without good cause, social services districts (SSDs) were to refer the child for counseling and initiate a conference with the child's parent or other head of household. After a child accrued five or more unexcused absences during an academic quarter without good cause, SSDs were authorized to withhold, for a three month period, a pro-rata share of the public assistance allowance from the child's household of residence. If a child whose unexcused absences caused a grant reduction during the previous academic quarter had no unexcused absences in the immediately subsequent academic quarter, then the SSDs were to pay to the child's household of residence the amount of benefits previously withheld as a result of the child's unexcused absences. § 246(18) of Chapter 81 of the Laws of 1995 provided that Learnfare would expire and be deemed repealed after July 31, 1998.

§ 18 of Part B of Chapter 436 of the Laws of 1997 amended former SSL § 131-y by requiring that Learnfare be implemented in 6 SSDs beginning the September 1997 school year, in 15 SSDs beginning the September 1998 school year, and in all remaining SSDs Statewide beginning the September 1999 school year. § 21 of Part B of Chapter 436 of the Laws of 1997 amended § 246(18) of Chapter 81 of the Laws of 1995 and provided that Learnfare would expire and be deemed repealed after July 31, 2000. Pursuant to this amendment, the statutory authority for Learnfare expired on July 31, 2000.

2. Legislative objectives:

The proposed regulatory amendments would bring the State regulations into compliance with § 246(18) of Chapter 81 of the Laws of 1995 and

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§ 21 of Part B of Chapter 436 of the Laws of 1997, which provided for the repeal of Learnfare.

3. Needs and benefits:

Former SSL § 131-y, which authorized and directed OTDA to establish Learnfare, has expired and was deemed repealed after July 31, 2000. Deletion of references to Learnfare from the existing State regulations would therefore render them consistent with current law.

4. Costs:

It is anticipated that there will be no costs associated with this proposal, since the proposed regulatory amendments are intended to update State regulations to reflect that the statutory authority to operate Learnfare has expired.

5. Local government mandates:

It is not anticipated that the proposed regulatory amendments will create any new mandates for local governments.

6. Paperwork:

The proposed regulatory amendments will not create any new reporting requirements or additional paperwork.

7. Duplication:

The proposed amendments would not duplicate, overlap or conflict with any existing State or federal statutes or regulations.

8. Alternatives:

An alternative to the proposed regulatory amendments would be to retain the existing State regulations. However, these regulatory amendments are necessary to bring the State regulations into compliance with § 246(18) of Chapter 81 of the Laws of 1995 and § 21 of Part B of Chapter 436 of the Laws of 1997.

9. Federal standards:

The proposed amendments would not conflict with federal standards for public assistance.

10. Compliance schedule:

There is no need to establish a compliance schedule because the proposed regulatory amendments would not impose substantive requirements on regulated persons. OTDA will be in compliance with the proposed regulatory amendments on their effective date.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Businesses and Local Governments is not required because the proposed regulatory amendments will neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon small businesses or local governments. As it was evident from the proposed regulations that they would not have an adverse impact or impose reporting, recordkeeping, or other compliance requirements, no further measures were needed to ascertain those facts and, consequently, none were taken.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required because the proposed regulatory amendments will neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon public or private entities in rural areas. As it was evident from the proposed regulations that they would not have an adverse impact or impose reporting, recordkeeping, or other compliance requirements, no further measures were needed to ascertain those facts and, consequently, none were taken.

Job Impact Statement

A Job Impact Statement is not required for the proposed amendments. It is apparent from the nature and the purpose of the proposed amendments that they will not have a substantial adverse impact on jobs and employment opportunities in either the public or private sectors. The proposed amendments will not affect the jobs of the workers in the social services districts or the State. These regulatory amendments are technical to reflect that the statutory authority to operate the Learnfare Program has expired. Thus, the changes will not have any adverse impact on jobs and employment opportunities in New York State.

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HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
People with Developmental Disabilities, Office for		
PDD-10-15-00005-P	Consolidated fiscal report penalty amendments	Office for People with Developmental Disabilities, 44 Holland Ave., 3rd Fl., Counsel's Office Conference Rm., Albany, NY—April 27 and 28, 2015, 10:30 a.m.
Public Service Commission		
PSC-04-15-00012-P	Disposition of tax refunds and other related matters	Department of Public Service, Three Empire State Plaza, 3rd Fl. Hearing Rm., Albany, NY—April 8, 2015, 10:30 a.m. (Evidentiary Hearing)* *On occasion there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 14-G-0503.
PSC-07-15-00005-P	Major electric rate increase filing	Department of Public Service, Three Empire State Plaza, 3rd Fl. Hearing Rm., Albany, NY—May 4, 2015 and continuing daily as needed, 10:00 a.m. (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Cases 14-E-0493 and 14-G-0494.
PSC-07-15-00007-P	Major gas rate increase filing	Department of Public Service, Three Empire State Plaza, 3rd Fl. Hearing Rm., Albany, NY—May 4, 2015 and continuing daily as needed, 10:00 a.m. (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Cases 14-E-0493 and 14-G-0494.
PSC-09-15-00003-P	Recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC	Department of Public Service, Three Empire State Plaza, 3rd Fl. Hearing Rm., Albany, NY—April 20, 2015 and continuing daily as needed, 10:00 a.m.* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 14-E-0270.

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ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ADIRONDACK PARK AGENCY			
APA-05-15-00006-P	03/04/16	Emergency projects	The purpose of the proposed rule is to define when jurisdictional land use and development constitutes an emergency project
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-05-15-00002-P	02/04/16	Incorporation by reference of the 2013 edition of the Grade A Pasteurized Milk Ordinance (''PMO'')	To require certain producers, processors and manufacturers of milk and milk products to comply with the 2013 edition of the PMO
BATTERY PARK CITY AUTHORITY			
BPA-11-15-00018-P	03/17/16	Proposed action is the amendment of the rules and regulations of Battery Park City parks	To remain consistent with the rules of other parks in New York City and to incorporate activities previously not addressed
CABLE TELEVISION, COMMISSION ON			
*CTV-23-94-00009-P	exempt	Rates for basic service and equipment of Adelphia Communications - Aurora system	To determine whether the company's rates for basic service and equipment are justified
*CTV-23-94-00010-P	exempt	Rates for basic service and equipment of Adelphia Communications - Chautauqua system	To determine whether the company's rates for basic service and equipment are justified
*CTV-23-94-00011-P	exempt	Rates for basic service and equipment of Adelphia Communications - Grand Island system	To determine whether the company's rates for basic service and equipment are justified
*CTV-23-94-00012-P	exempt	Rates for basic service and equipment of Adelphia Communications - Riverview system	To determine whether the company's rates for basic service and equipment are justified
*CTV-23-94-00030-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - Long Island/V-Cable/Shelter Island system	To determine whether the company's rates for basic service and equipment are justified

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CABLE TELEVISION, COMMISSION ON			
*CTV-23-94-00035-P	exempt	Rates for basic service and equipment of C-TEC Corp. - C-TEC Cable system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00013-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - Long Island - Riverhead system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00026-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - Long Island system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00034-P	exempt	Rates for basic service and equipment of Cablevision System Corp. - Long Island/V-Cable system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00037-P	exempt	Rates for basic service and equipment of Adelphia Communications - International system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00042-P	exempt	Rates for basic service and equipment of Adelphia Communications/Resort system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00043-P	exempt	Rates for basic service and equipment of Adelphia Communications - Hoosick system	To determine whether the company's rates for basic service and equipment are justified
*CTV-24-94-00051-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - Long Island/Great Neck system	To determine whether the company's rates for basic service and equipment are justified
*CTV-25-94-00015-P	exempt	Rates for basic service and equipment of Selectavision of Cazenovia - Cazenovia system	To determine whether the company's rates for basic service and equipment are justified
*CTV-25-94-00021-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - A-R Cable Services-NY, Inc. Lynbrook system	To determine whether the company's rates for basic and equipment are justified
*CTV-25-94-00023-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - Dutchess system	To determine whether the company's rates for basic service and equipment are justified
*CTV-25-94-00026-P	exempt	Rates for basic service and equipment of Adelphia Communications - Harbor Vue system	To determine whether the company's rates for basic service and equipment are justified
*CTV-25-94-00033-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp. - East Hampton system	To determine whether the company's rates for basic service and equipment are justified
*CTV-27-94-00029-P	exempt	Rates for basic service and equipment of Cablevision Systems Corp.-Huntington system	To determine whether the company's rates for basic service and equipment are justified
*CTV-27-94-00031-P	exempt	Rates for basic service and equipment of Adelphia Communications-Niagara system	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00017-P	exempt	Rates for basic service and equipment of Time Warner - ATC - ACC Dryden system	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00018-P	exempt	Rates for basic service and equipment of Adelphia Communications-Aurora, Chautauqua, Grand Island, Harbor Vue, Hoosick, International, Niagara, Resort and River Valley systems	To determine whether the company's rates for basic service and equipment are justified

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CABLE TELEVISION, COMMISSION ON			
*CTV-39-94-00019-P	exempt	Rates for basic service and equipment of C-Tec Cable Corp.-C-Tec Cable system	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00023-P	exempt	Rates for basic service and equipment of United Video Cablevision of New York-Community Cable system	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00033-P	exempt	Rates for basic service and equipment of Simmons Communications-Simmons Cable-Salamanca system	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00035-P	exempt	Rates for basic service and equipment of TKR Cable Company-Ramapo, Rockland and Warwick systems	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00037-P	exempt	Rates for basic service and equipment of U.S. Cable Corp.-Evangola, Westfield and Tri - County/Arcade systems	To determine whether the company's rates for basic service and equipment are justified
*CTV-39-94-00039-P	exempt	Rates for basic service and equipment of Time Warner-Warner Communications/Olean system	To determine whether the company's rates for basic service and equipment are justified
*CTV-45-94-00010-P	exempt	Rates for basic service and equipment of Cablevision Industries, Inc.-Genesee/Tri-County/Medina system	To determine whether the company's rates for basic service and equipment are justified
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-11-15-00011-P	03/17/16	Implementation of the federal Preventing Sex Trafficking and Strengthening Families Act (P.L. 113-183)	Implementation of the federal Preventing Sex Trafficking and Strengthening Families Act (P.L. 113-183)
CFS-12-15-00010-P	03/24/16	Implementation of legislation for destitute children	To implement legislation for destitute children, re-entry into foster care and to make other technical amendments
CIVIL SERVICE, DEPARTMENT OF			
CVS-20-14-00003-P	05/21/15	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-25-14-00003-P	06/25/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-30-14-00001-P	07/30/15	Jurisdictional Classification	To classify positions in the exempt class and to delete positions from the non-competitive class
CVS-30-14-00002-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-30-14-00003-P	07/30/15	Jurisdictional Classification	To classify a position in the exempt class and to delete positions from and classify positions in the non-competitive class
CVS-30-14-00004-P	07/30/15	Jurisdictional Classification	To delete and classify positions in the exempt class and to delete and classify positions in the non-competitive class
CVS-30-14-00005-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-30-14-00006-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-30-14-00007-P	07/30/15	Jurisdictional Classification	To delete a position from the non-competitive class
CVS-30-14-00008-P	07/30/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-30-14-00009-P	07/30/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-30-14-00010-P	07/30/15	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-30-14-00011-P	07/30/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-30-14-00012-P	07/30/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-30-14-00013-P	07/30/15	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-44-14-00005-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00006-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00007-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00008-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00009-P	11/05/15	Jurisdictional Classification	To classify positions in the exempt class
CVS-44-14-00010-P	11/05/15	Jurisdictional Classification	To classify positions in the exempt class
CVS-44-14-00011-P	11/05/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-44-14-00012-P	11/05/15	Jurisdictional Classification	To delete a heading and positions from the exempt class
CVS-44-14-00013-P	11/05/15	Jurisdictional Classification	To delete a position from and classify positions in the exempt class
CVS-44-14-00014-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00015-P	11/05/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-14-00016-P	11/05/15	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-44-14-00017-P	11/05/15	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-44-14-00018-P	11/05/15	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-47-14-00002-P	11/26/15	Jurisdictional Classification	To delete positions from and classify positions in the exempt class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-47-14-00003-P	11/26/15	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-47-14-00004-P	11/26/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-47-14-00005-P	11/26/15	Jurisdictional Classification	To classify a position in the exempt class
CVS-47-14-00006-P	11/26/15	Jurisdictional Classification	To delete a subheading and positions from the exempt class
CVS-47-14-00007-P	11/26/15	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-01-15-00004-P	01/07/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-01-15-00005-P	01/07/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-01-15-00006-P	01/07/16	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-01-15-00007-P	01/07/16	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-01-15-00008-P	01/07/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-01-15-00009-P	01/07/16	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-01-15-00021-P	01/07/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-01-15-00022-P	01/07/16	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-01-15-00023-P	01/07/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00002-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00003-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00004-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00005-P	03/17/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-11-15-00006-P	03/17/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-11-15-00007-P	03/17/16	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-11-15-00008-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00009-P	03/17/16	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-11-15-00010-P	03/17/16	Jurisdictional Classification	To delete subheadings and positions from and classify positions in the non-competitive class
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-08-15-00002-P	02/25/16	Rochester Correctional Facility	To correct the address for Rochester Correctional facility
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-46-14-00001-EP	11/19/15	Empire State Musical and Theatrical Production Tax Credit Program	Establish application procedures for the Empire State Musical and Theatrical Production Tax Credit Program
EDV-03-15-00001-P	01/21/16	Empire State Post Production Tax Credit Program	Establish application procedure for the Empire State Post Production Tax Credit Program
EDUCATION DEPARTMENT			
EDU-19-14-00009-P	05/14/15	Mandatory reporting of information regarding possession, sale, use or manufacture of illegal drugs on school property/functions	To establish a mandatory reporting requirement for school personnel, sanctions for noncompliance, and protection for school personnel who report
EDU-27-14-00013-EP	07/09/15	Elementary and Secondary Education Act (ESEA) Flexibility and school and school district accountability	Conform regulations to State's ESEA Flexibility Waiver Renewal with respect to school and district removal criteria
EDU-48-14-00008-P	12/03/15	Field tests for State assessments, alternate assessments and Regents examinations	To clarify that school districts must administer field tests in the schools for which they are assigned
EDU-52-14-00012-P	12/31/15	Local high school equivalency diplomas based upon experimental programs	To extend until 6/30/17 the provision for awarding local high school equivalency diplomas based upon experimental programs
EDU-52-14-00014-EP	12/31/15	Determination of student residency	Clarify requirements on student enrollment, particularly as to procedures for unaccompanied minors and other undocumented youth
EDU-52-14-00015-EP	12/31/15	Profession of Applied Behavior Analysis	To implement Chapter 554 of the Laws of 2013 and Chapter 8 of the Laws of 2014
EDU-52-14-00028-P	12/31/15	Certification requirements for teaching assistants	To provide extensions in one year increments on the validity of a Level II teaching assistant certificate for candidates pursuing citizenship; define ''school year''; and eliminate the words ''without fee'' in the definition of internship certificate
EDU-01-15-00011-P	01/07/16	Epinephrine auto-injectors	Prescribe standards for provision, maintenance, and administration of epinephrine auto-injectors in the event of an emergency
EDU-04-15-00007-P	01/28/16	Use of Department Facilities in the Cultural Education Center	To prescribe standards for the use of Cultural Education Center facilities
EDU-05-15-00008-P	02/04/16	Requirements for teacher certification	To provide teacher candidates with additional flexibility to use the safety net for the teacher performance assessment.(edTPA)

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-05-15-00009-P	02/04/16	Tuition Assistance Program	Establishment of standards for a student to regain good academic standing for the purposes of receiving awards under TAP
EDU-08-15-00006-EP	02/25/16	Appeals process on Regents exams passing score for English Language Learners (ELLs)	To extend ability to graduate with a Local Diploma via appeal process to qualifying English Language Learner (ELL) students who satisfy all other graduation requirements (including those who satisfy such requirements via available alternative pathways)
EDU-08-15-00007-EP	02/25/16	Teacher certification	To provide for a time extension of up to one-year for an expired initial certificate, transitional certificate and/or a conditional initial certificate to provide time for the revised Content Specialty Test (CST) results to be released by the Department
EDU-10-15-00003-P	03/10/16	Requirements for medical physics education programs and eligibility for limited permits in specialty areas of medical physics	To reflect changes in national accreditation requirements for medical physics education programs and repeal obsolete provisions
EDU-10-15-00004-P	03/10/16	Continuing education requirements for optometrists certified to use therapeutic pharmaceutical agents	To provide more flexibility in satisfying continuing education requirements by expanding the list of acceptable study methods
EDU-10-15-00011-P	03/10/16	Off-premises delivery of prescription medications by New York resident pharmacies	To require pharmacies to obtain patient consent before automatically delivering new or refilled prescriptions
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-31-14-00006-P	10/14/15	Petroleum Bulk Storage (PBS) and Used Oil Management	To harmonize existing State requirements with overlapping Federal requirements
ENV-31-14-00007-P	10/14/15	Chemical Bulk Storage	To amend existing CBS rule to be at least as stringent as EPA federal rule (40 CFR 280) and include NYS 2008 statutory changes
ENV-47-14-00001-P	11/26/15	The management of coastal sharks	Make state regulations consistent with federal rules and maintain compliance with the ASMFC Interstate FMP for Coastal Sharks
ENV-48-14-00005-P	01/27/16	Water quality standards for Class I and Class SD waters in New York City and Suffolk County	To amend New York's water quality standards for Class I and Class SD waters to meet the "swimmable" goal of the Clean Water Act
ENV-52-14-00027-P	02/09/16	The control of criteria air contaminants and toxic air contaminants from general process air pollution sources	To clearly define the federal and state requirements of the existing Part 212 rule, General Process Emission Sources
ENV-04-15-00006-P	01/28/16	Regulations governing the recreational harvest of winter flounder	Extend the recreational winter flounder fishing open season from April 1 - May 30 to March 1 - December 31
ENV-07-15-00002-EP	02/18/16	Revised closed season for the harvest and landing of lobster from Lobster Management Area 4 and repeal of mandatory V-notch rule	To implement ASMFC American Lobster Fishery Management Plan Addendum XVII and allow the lobster stock to rebuild

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-29-14-00014-P	07/23/15	Title insurance agents, affiliated relationships, and title insurance business	To implement requirements of Chapter 57 of Laws of NY 2014 re: title insurance agents and placement of title insurance business
DFS-29-14-00015-RP	07/23/15	Regulation of the conduct of virtual currency businesses	Regulate retail-facing virtual currency business activity in order to protect New York consumers and users and ensure the safety and soundness of New York licensed providers of virtual currency products and services
DFS-46-14-00013-P	11/19/15	Reports to Central Organization	To remove an outdated references to "PILR" in the title of section 62-2.2
DFS-52-14-00009-P	12/31/15	Independent Dispute Resolution for Emergency Services and Surprise Bills	To establish a dispute resolution process and standards for that process
DFS-04-15-00005-P	01/28/16	Life insurance reserves	To modernize the current regulatory scheme with respect to universal life insurance with secondary guarantee reserves
DFS-07-15-00004-P	02/18/16	Mandatory Underwriting Inspection Requirement for Private Passenger Automobiles	Revise requirements regarding the inspection of private passenger automobiles for physical damage coverage
GAMING COMMISSION, NEW YORK STATE			
SGC-28-14-00006-EP	07/16/15	Implementation of rules pertaining to gaming facility request for application and gaming facility license application	To facilitate a fair and transparent process for applying for a license to operate a gaming facility
GENERAL SERVICES, OFFICE OF			
GNS-36-14-00001-P	09/10/15	Procurement of New York State food products	To provide guidance to State Agencies as to how they procure food
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-28-14-00008-RP	07/16/15	Immediate Needs for Personal Care Services	To provide for meeting the immediate needs of Medicaid applicants and recipients for personal care services
HLT-28-14-00015-ERP	07/16/15	Rate Rationalization – Intermediate Care Facilities for Persons with Developmental Disabilities	To amend the new rate methodology effective July 1, 2014
HLT-28-14-00016-ERP	07/16/15	Rate Rationalization for Community Residences/Individualized Residential Alternatives Habilitation and Day Habilitation	To amend the new rate methodology effective July 1, 2014
HLT-31-14-00002-P	08/06/15	Outpatient Services Licensed Under the Mental Hygiene Law	Creates methodology for adjusting provider reimbursement in OPWDD, OHM & OASAS certified clinics based on annual patient visits
HLT-32-14-00001-P	08/13/15	Blood Banks	Update practice standards, reflect changes and provide clarification of reg. provisions for blood banks and transfusion services

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-35-14-00002-P	09/03/15	Statewide Health Information Network for New York (SHIN-NY)	To promulgate regulations, consistent with federal law and policies, that govern the Statewide Health Information Network for NY
HLT-36-14-00012-P	09/10/15	Personal Care Services Program (PCSP) and Consumer Directed Personal Assistance Program (CDPAP)	To establish definitions, criteria and requirements associated with the provision of continuous PC and continuous CDPA services
HLT-37-14-00003-RP	09/17/15	Emergency Medical Services	To clarify terminology, eliminate vagueness, address legal statutes/crimes & incorp. modern professional, ethical & moral standards
HLT-39-14-00018-P	10/01/15	Medical Records Access Review Committees (MRARCs)	To designate rather than appoint MRARCs to hear appeals from the denial of access to patient information
HLT-40-14-00016-P	10/08/15	Inpatient Rate for Language Assistance Services	To establish hospital inpatient payment rate to reimburse hospitals for the costs of providing language interpretation services
HLT-40-14-00017-P	10/08/15	Nursing Home (NH) Transfer and Discharge Rights	To clarify requirements governing NH transfers and discharges so that facilities will uniformly comply with federal regulations
HLT-40-14-00018-P	10/08/15	Managed Care Organizations	To lower the contingent reserve requirement applied to the Medicaid Managed Care, Family Health Plus & HIV SNP Programs
HLT-41-14-00002-P	10/15/15	Certificate of Need (CON) Requirements	Simplify CON review requirements for projects involving nonclinical infrastructure, equipment replacement & repair & maintenance
HLT-42-14-00001-P	10/22/15	Audited Financial Statements for Managed Care Organizations	To extend audit and reporting standards to all managed care organizations (MCOs), including PHSPs, HIV SNPs and MLTCPs
HLT-43-14-00001-P	10/29/15	Hospital Observation Services	To amend current observation services provisions to be in compliance with changes in Public Health Law, Section 2805-v
HLT-52-14-00013-P	12/31/15	Medical Use of Marihuana	To comprehensively regulate the manufacture, sale and use of medical marihuana
HLT-08-15-00003-P	02/25/16	Supplementary Reports of Certain Congenital Anomalies for Epidemiological Surveillance; Filing	To increase maximum age of reporting certain birth defects to the Congenital Malformations Registry
HLT-08-15-00005-EP	02/25/16	Opioid Overdose Programs	Modification of the rule consistent with new statutory language and with the emergency nature of opioid overdose response
HLT-11-15-00019-P	03/17/16	Standards for Individual Onsite Water Supply and Individual Onsite Wastewater Treatment Systems	Establishes minimum water quality standards for individual onsite water supply systems
HLT-11-15-00020-P	03/17/16	School Immunization Requirements	Update regulations to ensure children entering grades kindergarten through 12 receive adequate number of required immunizations

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LIQUOR AUTHORITY, STATE			
LQR-02-15-00002-P	03/09/16	Signage, Services and Gifts to Retailers	To enact business friendly amendments; eliminate interior sign restrictions; and increase annual dollar limits for advertising
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
LPA-02-15-00006-P	exempt	The provisions of LIPA's Tariff for adjustment to rates and changes of service classifications	To modify and add to the Tariff in order to implement revenue-neutral changes required to maintain the 3-year LIPA rate freeze
LPA-07-15-00003-P	exempt	The rates and charges set forth in LIPA's Tariff for Electric Service	To set rates and charges at the lowest level consistent with sound fiscal and operating practices and safe and adequate service
MENTAL HEALTH, OFFICE OF			
OMH-02-15-00003-P	01/14/16	Clinic Treatment Programs	Amend reimbursement structure for delivery of psychotherapy services; eliminate utilization threshold for court-mandated svcs
OMH-04-15-00002-P	01/28/16	Prevention of Influenza Transmission	Provide clarification and flexible system for documentation
OMH-10-15-00002-P	03/10/16	Patients Committed to the Custody of the Commissioner Pursuant to CPL Article 730	Conform regulatory provisions to statute with respect to the performance of competency reports
OMH-11-15-00013-P	03/17/16	Medical Assistance Rates of Payment for Residential Treatment Facilities for Children and Youth	Amend date of trend factor elimination to December 31, 2014 instead of June 30, 2015
MOTOR VEHICLES, DEPARTMENT OF			
MTV-08-15-00004-P	02/25/16	Electronic insurance identification cards	Authorize insurance companies to issue electronic insurance identification cards
MTV-09-15-00002-P	03/03/16	Signs displayed by dealers	Gives dealers more flexibility in the display of required signage
MTV-11-15-00017-P	03/17/16	Commercial learner's permits and commercial driver's licenses	Conforms state licensing requirements to federal requirements
MTV-12-15-00009-P	03/24/16	Physician assistants performing medical review after loss of consciousness	To allow physician assistants to perform a medical review after a loss of consciousness

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-04-15-00015-P	01/28/16	Smoking	To clarify where smoking is prohibited at NFTA locations
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-06-15-00002-P	02/11/16	Resident Curator Program	To rehabilitate vacant and unused buildings at no cost to the State by leasing the buildings to private individuals
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-10-15-00005-P	04/27/16	Consolidated Fiscal Report Penalty Amendments	To change requirements for imposing a penalty on providers that fail to meet filing deadlines for cost reports
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-01-15-00012-P	exempt	Rates for the Sale of Power and Energy	To recover the Authority's Fixed Costs
PAS-01-15-00013-P	exempt	Rates for the Sale of Power and Energy	To align rates and costs
PAS-11-15-00016-P	exempt	Rates for the Sale of Power and Energy	To improve the net metering services currently offered by the Authority to its New York City and Westchester Customers
PUBLIC SERVICE COMMISSION			
*PSC-28-97-00032-P	exempt	General service by Central Hudson Gas & Electric Corporation	To limit certain special provisions
*PSC-34-97-00009-P	exempt	Collection agency fees by Consolidated Edison Company of New York, Inc.	To pass collection agency fees on to the customer
*PSC-04-98-00015-P	exempt	Interconnection service overcharges by Niagara Mohawk Power Corporation	To consider a complaint by Azure Mountain Power Co.
*PSC-19-98-00008-P	exempt	Call forwarding by CPU Industries Inc./MKL Net, et al.	To rehear the petition
*PSC-02-99-00006-EP	exempt	Intralata freeze plan by New York Telephone Company	To approve the plan

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-50-99-00009-P	exempt	Retail access uniform business practices by The Brooklyn Union Gas Company and KeySpan Gas East Corporation d/b/a Brooklyn Union of Long Island	To approve a joint petition requesting a waiver extension of a requirement set forth in the commission's order
*PSC-52-99-00006-P	exempt	Wide area rate center calling	To implement number conservation measures
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-14-00-00004-EP	exempt	NXX code in the 716 NPA by Broadview Networks	To assign an NXX code in Buffalo
*PSC-14-00-00026-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Media Log, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00027-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Pilgrim Telephone, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00029-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and CoreComm New York, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-16-00-00012-P	exempt	Termination of local telecommunications traffic by Hyperion Communications of New York, Inc.	To determine appropriate compensation levels
*PSC-21-00-00007-P	exempt	Initial tariff schedule by Drew Road Association	To set forth the rates, charges, rules and regulations
*PSC-31-00-00026-P	exempt	Water service by Windover Water Works	To abandon the water system
*PSC-33-00-00010-P	exempt	Electric rate and restructuring plan by Rochester Gas and Electric Corporation	To evaluate possible modifications
*PSC-36-00-00039-P	exempt	Steam increase by Consolidated Edison Company of New York, Inc.	To provide for an annual increase in the first year of a proposed four-year rate plan
*PSC-37-00-00001-EP	exempt	Interruptible gas customers	To ensure customers have an adequate supply of alternative fuel available
*PSC-39-00-00004-P	exempt	Blockable central office codes by PaeTec Communications, Inc.	To review the commission's requirements for assignment of numbering resources
*PSC-44-00-00014-P	exempt	Recovery of costs through adjustment mechanisms by Consolidated Edison Company of New York, Inc.	To permit the recovery of certain costs
*PSC-49-00-00007-P	exempt	Gas sales and purchases by Corning Natural Gas Corporation	To determine whether certain gas sales and purchases were in the public interest and whether customers should bear the resulting costs

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-01-00023-P	exempt	Installation, maintenance and ownership of service laterals by Rochester Gas and Electric Corporation	To update and clarify the provisions
*PSC-06-01-00009-P	exempt	Uniform system of accounts by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00001-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00002-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00003-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-15-01-00012-P	exempt	Transfer of a controlling leasehold interest by Huntley Power LLC	To approve the transfer
*PSC-22-01-00006-P	exempt	Con Edison's phase 4 plan for retail access by AES Energy, Inc.	To review the request for rehearing
*PSC-26-01-00012-P	exempt	Interconnection of networks between Sprint PCS and Verizon New York Inc.	To review the terms and conditions of the negotiated agreement
*PSC-36-01-00010-P	exempt	Competitive metering by eBidenergy.com	To clarify meter ownership rules and requirements
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-01-02-00007-P	exempt	Accounting and rate treatment of proceeds by Consolidated Edison Company of New York, Inc.	To consider proceeds from sale of nuclear generating facilities
*PSC-05-02-00005-P	exempt	Uniform system of accounts by Consolidated Edison Company of New York, Inc.	To defer expenditures incurred in connection with emergency response services affected by the World Trade Center disaster
*PSC-06-02-00015-P	exempt	Network reliability performance mechanism by Consolidated Edison Company of New York, Inc.	To earn rewards for meeting the targets of the network reliability performance mechanism
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-29-02-00014-P	exempt	Financing by Valley Energy, Inc.	To issue a note and allocate costs
*PSC-49-02-00021-P	exempt	Requests for lightened regulation by PSEG Power Bellport, LLC	To consider the company's request
*PSC-08-03-00009-P	exempt	Provision of gas service to World Kitchen Incorporated	To establish terms and conditions
*PSC-09-03-00012-P	exempt	Incremental service line installations by New York State Electric & Gas Corporation	To revise the current flat rate per foot charged
*PSC-09-03-00014-P	exempt	Deferral accounting by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.	To defer expense items beyond the end of the year(s) in which they were incurred

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-03-00012-P	exempt	Economic development plan by New York State Electric & Gas Corporation	To consider the plan
*PSC-18-03-00004-P	exempt	Lightened regulation by East Hampton Power and Light Corporation (EHPLC)	To provide for lightened regulation and grant financing approval
*PSC-22-03-00020-P	exempt	Inter-departmental gas pricing by Consolidated Edison Company of New York, Inc.	To revise the method used in steam and steam-electric generating stations
*PSC-32-03-00020-P	exempt	Issuance of debt and approval of surcharge by Rainbow Water Company	To approve necessary financing
*PSC-34-03-00019-P	exempt	Issuance of securities by KeySpan East Corporation d/b/a KeySpan Energy Delivery Long Island	To obtain authorization to issue securities
*PSC-35-03-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and MCIMetro Access Transmission Services LLC	To amend the agreement
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-39-03-00013-P	exempt	Complaint by State University of New York (SUNY) regarding a NYSEG operating agreement	To consider the complaint
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00008-P	exempt	Lightened regulation by Sterling Power Partners, L.P.	To consider granting lightened regulation
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-42-03-00005-P	exempt	Interest rate by the Bath Electric, Gas, and Water Systems	To use an alternate interest rate
*PSC-43-03-00036-P	exempt	Merchant function backout credit and transition balancing account by KeySpan Gas East Corporation	To continue the credit and account until May 31, 2005
*PSC-43-03-00037-P	exempt	Merchant function backout credit and transition balancing account by The Brooklyn Union Gas Company	To continue the credit and account until May 31, 2005
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-47-03-00024-P	exempt	Lightened regulation and financing approval by Medford Energy LLC	To consider the requests
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts

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PUBLIC SERVICE COMMISSION			
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-39-06-00018-P	exempt	Order establishing rate plan by Central Hudson Gas & Electric Corporation and the Consumer Protection Board	To consider the petitions for rehearing
*PSC-39-06-00019-P	exempt	Investigation of Richard M. Osborne by Corning Natural Gas Corporation	To determine the interests, plans and commitments that will be in place if he is successful in blocking the merger of Corning Gas and C&T Enterprises
*PSC-39-06-00022-P	exempt	Uniform business practices and related matters by U.S. Energy Savings Corporation	To establish a contest period
*PSC-40-06-00005-P	exempt	Orion Integral automatic meter reading transmitter by New York State Electric and Gas Corporation	To permit gas utilities in NYS to use the Badger Meter Incorporated Orion Integral transmitters
*PSC-42-06-00011-P	exempt	Submetering of electricity by 225 5th LLC	To submeter electricity at 255 Fifth Ave., New York, NY
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-44-06-00014-P	exempt	Electric power outages in Northwest Queens by Consolidated Edison Company of New York, Inc.	To review the terms and conditions of the agreement
*PSC-45-06-00007-P	exempt	Alleged failure to provide electricity by Robert Andrews	To assess validity of allegations and appropriateness of fines
*PSC-01-07-00031-P	exempt	Enforcement mechanisms by National Fuel Gas Distribution Corporation	To modify enforcement mechanisms
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service

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PUBLIC SERVICE COMMISSION			
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.

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PUBLIC SERVICE COMMISSION			
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-23-08-00009-P	exempt	The transfer of certain real property with an original cost under $100,000 in the Town of Throop	To consider the filing for the transfer of certain real property in the Town of Throop
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs

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PUBLIC SERVICE COMMISSION			
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00006-P	exempt	Petition for the submetering of electricity at a residential senior citizen facility	To consider the request of Shinda Management Corp. to submeter electricity at 107-37 166th Street, Jamaica, New York
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-25-10-00015-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2009	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2009
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-31-10-00007-P	exempt	Waiver of the Attachment 23 requirement in 2001 Rate Order that NMPC Board of Directors consist of ''outside directors''	To consider the waiver of the requirement that a majority of NMPC Board of directors consist of ''outside directors''
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-50-10-00005-P	exempt	Metered gas deliveries and lost and unaccounted for gas	To allow BEGWS to recover a refund from Corning for overcharges of gas deliveries
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-12-11-00008-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2010	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2010
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-13-11-00007-P	exempt	Budget allocations and use of System Benefits Charge funds to pay State Cost Recovery Fee	To encourage cost effective gas and electric energy conservation in the State
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-11-00011-P	exempt	The Energy Efficiency Portfolio Standard	To promote gas and electricity energy conservation programs in New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's ''Power to Choose'' website	Modify the Commission's utility electric commodity price reporting requirements related to the ''Power to Choose'' website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-23-11-00018-P	exempt	NYSERDA's energy efficiency program for low-income customers	To promote energy conservation in New York State
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-33-11-00017-P	exempt	Petition for the submetering of electricity	To consider the request of 56-7th Avenue LLC to submeter electricity at 56-7th Avenue, New York, New York
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-51-11-00010-P	exempt	The Total Resource Cost (TRC) test, used to analyze measures in the Energy Efficiency Portfolio Standard program	Petitioners request that the TRC test and/or its application to measures should be revised
*PSC-52-11-00017-P	exempt	Reparations and refunds	Reparations and refunds
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-17-12-00007-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00008-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00009-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-12-00019-P	exempt	EEPS programs administered by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation	To modify the C&I sector by combining multiple approved C&I programs into a single C&I program for each PA
*PSC-19-12-00022-P	exempt	Approval of a combined heat and power performance program funding plan administered by NYSERDA	Modify NYSERDA's EEPS programs budget and targets to fund the CHP program
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00005-P	exempt	EEPS multifamily programs administered by Consolidated Edison Company of New York, Inc.	To redesign the multifamily electric and gas programs and modify the budgets and targets
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-35-12-00014-P	exempt	To implement an abandonment of White Knight's water system	To approve the implementation of abandonment of White Knight's water system
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00007-P	exempt	Petition for the submetering of electricity	To consider the request of 215 West 91st Street Corp. to submeter electricity at 215 West 91st Street, New York, New York

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-14-13-00005-P	exempt	Recovery of incremental expense.	To consider petition for recovery of incremental expense.
*PSC-17-13-00008-P	exempt	Provision of historical utility pricing information for comparison purposes for residential ESCO customers	Provision of historical utility pricing information for comparison purposes for residential ESCO customers
*PSC-17-13-00010-P	exempt	Provision of historical pricing information for comparison purposes for residential ESCO customers	Provision of historical pricing information for comparison purposes for residential ESCO customers
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-20-13-00008-P	exempt	Relief of the exhausting 315 Area Code	To reinstate the relief process for the 315 area code region beyond 2015

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-24-13-00009-P	exempt	Repowering options for the Cayuga generating station located in Lansing, New York, and alternatives	To establish whether utility plans should include repowering options for the Cayuga generating station, or other alternatives
*PSC-24-13-00010-P	exempt	Repowering options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To establish whether utility plans should include repowering options for the Dunkirk generating station, or other alternatives
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00011-P	exempt	Waiver of certain Commission requirements related to provision of customer information to credit reporting agencies.	To waive a utility's right to provide information to credit reporting agencies related to customers' payment histories.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-13-00009-P	exempt	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices
*PSC-32-13-00010-P	exempt	Permission to write off and eliminate record keeping for regulatory reserves for Pensions and Other Post Retirement Benefits	To allow write off and eliminate record keeping of Pension and Other Post Retirement Benefits Reserves
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-37-13-00007-P	exempt	Dissolution of Garrow Water Works Company, Inc..	To allow for the dissolution of Garrow Water Works Company, Inc.
*PSC-39-13-00010-P	exempt	NY-Sun initiative within the Customer-Sited Tier of the RPS Program.	To increase the statewide adoption of customer sited photovoltaic solar generation through the NY-Sun Initiative.
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-01-14-00017-P	exempt	Residential Time-of-Use Rates	To establish residential optional time of use delivery and commodity rates
*PSC-03-14-00009-P	exempt	disposition of tax refunds and other related matters	to determine the disposition of tax refunds and other related matters
*PSC-04-14-00005-P	exempt	National Fuel Gas Corporation's Conservation Incentive Programs.	To modify National Fuel Gas Corporation's Non-Residential Conservation Incentive Program.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-14-14-00016-P	exempt	Whether to permit the use of the Leviton Series 8000 electric submeter.	Pursuant to 16 NYCRR Parts 93 and 96, is necessary to permit the use of the Leviton Series 8000 electric submeter.
PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
PSC-16-14-00016-P	exempt	Waiver of Commission regulations governing termination of service.	Consider United Water New York Inc.'s proposal to expand termination of service provisions.
PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
PSC-19-14-00018-P	exempt	Uniform System of Accounts, deferral of an expense item	Authorization of a deferral for an expense item beyond the end of the year in which it was incurred
PSC-20-14-00011-P	exempt	Petitioners request an order approving enhanced benefits under the Renewable Portfolio Standard Program.	To enable continued operation of a 51 MW biomass fueled electric generating facility in Niagara Falls, New York.
PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
PSC-23-14-00012-P	exempt	Whether to permit the use of the Elster Instromet Q.Sonic Plus Ultrasonic meter for use in industrial gas meter applications	To permit gas utilities in New York State to use the Elster Instromet Q.Sonic Plus Ultrasonic gas meter
PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
PSC-24-14-00004-P	exempt	Approval of asset transfer.	To allow or disallow transfer of assets from Heritage Hills Water Works Corp. to Community Utilities of New York, Inc.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
PSC-25-14-00015-P	exempt	Surcharges related to the System Benefits Charge, Energy Efficiency Portfolio Standard, Retail Renewable Portfolio Standard	To reduce the public benefit surcharge applicable to large industrial, commercial and institutional energy consumers
PSC-26-14-00010-P	exempt	Petitioner requests an order authorizing its participation in the next Main Tier solicitation offered under the RPS Program.	To enable continued operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York.
PSC-26-14-00012-P	exempt	The Commission's framework for regulating utilities and methods of ratemaking will be revised.	To allow energy efficiency and other distributed resources to take a primary role in the planning and operation of the grid.
PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
PSC-26-14-00015-P	exempt	Whether to order natural gas distribution companies to expand their public education programs.	To improve gas safety by ordering natural gas distribution companies to expand their public education programs.
PSC-26-14-00016-P	exempt	The Commission's regulatory framework will be revised to create a flexible platform for new energy products and services.	To allow energy efficiency and other distributed resources to take a primary role in the planning and operation of the grid.
PSC-26-14-00017-P	exempt	Existing ratemaking and rate design practices will be revised with a focus on outcomes and incentives.	To use the Commission's ratemaking authority to foster a DER-intensive system.
PSC-26-14-00018-P	exempt	Rules regarding ownership and/or operation of the DSPPs will be created.	To best enable system-wide efficiency and market-based deployment of distributed energy resources and load management.
PSC-26-14-00019-P	exempt	Prohibitions or restrictions regarding the DSPPs ownership of DER will be created.	To protect against abuses associated with imbalances in market power.
PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
PSC-30-14-00019-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company deferred accounting treatment for expenses related to the change in corporate leadership.
PSC-30-14-00020-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company deferred accounting treatment for expenses related to the change in corporate leadership.
PSC-30-14-00021-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company deferred accounting treatment for expenses related to the change in corporate leadership.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
PSC-30-14-00025-P	exempt	Allocation of uncommitted Technology and Market Development Funds to the Combined Heat & Power Performance Program.	To consider allocation of uncommitted Technology & Market Development Funds to the Combined Heat & Power Performance Program.
PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
PSC-32-14-00009-P	exempt	Refueling options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To address the joint petition for rehearing of the Commission's Order related to refueling the Dunkirk generating station
PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
PSC-32-14-00013-P	exempt	Petition for submetering of electricity	To consider the request of 1 John Street LLC to submeter electricity at 1 John Street, Brooklyn, New York
PSC-32-14-00015-P	exempt	Revisions to modify and clarify provisions related to electric generators taking transportation service under SC Nos. 7 and 14	To modify and clarify provisions related to electric generators taking transportation service under SC Nos. 7 and 14
PSC-32-14-00017-P	exempt	Transfer of Franchise or stocks and Issuance of Securities	To allow or disallow the merger of United Water Resources and United Water Mid-Atlantic Inc into United Water Works
PSC-32-14-00018-P	exempt	Modifications to provisions related to electric generators and cogeneration facilities	Revisions related to electric generators and cogeneration facilities and align KEDNY's tariff provisions with those of KEDLI
PSC-34-14-00006-P	exempt	Authorization of long-term loan	To allow or disallow Forever Wild Water Company to enter into long-term loan agreement.
PSC-34-14-00009-P	exempt	Whether to approve the Quadlogic S10N residential submeter.	Approval of the Quadlogic S10N Smart Meter for use in residential electric submetering is required by 16 NYCRR Parts 93 and 96.
PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
PSC-35-14-00008-P	exempt	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $264,166 or 25%	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $264,166 or 25%
PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-36-14-00010-P	exempt	The procurement of Main Tier renewable resources will become the responsibility of the State's electric utilities.	To ensure the development of large-scale remnewables in New York State to promote fuel diversity and reduce carbon emissions.
PSC-36-14-00011-P	exempt	To defer pension settlement losses associated with retirements in the year ended March 31, 2014.	To resolve the ratemaking of the pension settlement loss.
PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00018-P	exempt	New electric utility demand response tariffs may be adopted.	To develop mature DER markets by enabling the development and use of DR as an economic system resource.
PSC-38-14-00020-P	exempt	Various tariff revisions to the rates, charges, rules and regulations.	For approval to make various tariff revisions to P.S.C. No. 4 - Steam.
PSC-38-14-00021-P	09/24/15	Service lines, leakage surveys, testing req'ts., MAOP, odorization, 16 NYCRR § § 255.3(29); 255.723; 255.507; 255.619, 255.625.	To align State gas safety rules with federal gas safety requirements.
PSC-39-14-00012-P	exempt	Minor electric rate filing.	For approval to increase total annual revenues by about $300,000 or 8.1%.
PSC-39-14-00014-P	exempt	Whether to permit the use of the SATEC EM133 electric submeter.	Pursuant to 16 NYCRR Parts 93 and 96, is necessary to permit the use of the SATEC EM133 electric submeter.
PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-41-14-00009-P	exempt	Establishment of a Clean Energy Fund and related actions	Consideration of proposal by NYSERDA for the establishment of a Clean Energy Fund and related actions
PSC-41-14-00010-P	exempt	Reallocation of EEPS and SBC funds	Consideration of proposal by NYSERDA for reallocation of EEPS and SBC funds
PSC-41-14-00011-P	exempt	Establishment of annual collections caps and collection and spending mechanisms as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the establishment of annual collections caps and collection and spending mechanisms
PSC-41-14-00012-P	exempt	Funding and management of the NY-Sun program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of the NY-Sun program
PSC-41-14-00013-P	exempt	Funding and management of the New York Green Bank as described in the Clean Energy Fund Proposal and NY Green Bank Petition	Consideration of proposal by NYSERDA for the funding and management of the New York Green Bank
PSC-41-14-00014-P	exempt	Funding and management of a Market Development program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Market Development program
PSC-41-14-00015-P	exempt	Funding and management of a Technology and Business Innovation program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Technology and Business Innovation program
PSC-41-14-00016-P	exempt	Inter-carrier telephone service quality standards and metrics	To review recommendations from the Carrier Working Group and incorporate modifications to the existing Guidelines
PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
PSC-44-14-00020-P	exempt	LDC inspection and remediation plans for plastic fusions	Whether to order LDCs to comply with their filed plans that address any safety risks associated with plastic fusions

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-44-14-00021-P	exempt	Define incremental cost of gas	To define the incremental cost of gas and to streamline the Definitions and Abbreviations section
PSC-44-14-00023-P	exempt	Petition for rehearing filed by West Valley Crystal Water Company, Inc. on October 9, 2014	Petition for rehearing filed by West Valley Crystal Water Company, Inc. on October 9, 2014
PSC-45-14-00002-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO
PSC-45-14-00003-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Bedford-Stuyvesant South One LLC to submeter electricity at 27 Albany Avenue, Brooklyn, NY
PSC-45-14-00004-P	exempt	Petition for submetering of electricity	To consider the request of C B Frontier LLC, to submeter electricity at 200 East 39th Street, New York, New York
PSC-46-14-00008-P	exempt	Funding and eligibility rules for the Green Bank program as described in the Green Bank Petition.	Consideration of proposal by NYSERDA for the funding and eligibility rule changes for the Green Bank program.
PSC-46-14-00009-P	exempt	Continuation of exemptions from standby rates for beneficial forms of distributed generation and small combined heat and power.	To continue the exemptions from standby rates for beneficial forms of distributed generation and small combined heat and power.
PSC-47-14-00012-P	exempt	Consideration of the National Grid Implementation Plan and audit recommendations	To approve, modify or reject, in whole or in part, National Grid's Implementation Plan
PSC-48-14-00010-P	exempt	Major gas rate increase filing.	To establish rates and practices for gas service.
PSC-48-14-00011-P	exempt	Major electric rate increase filing.	To establish rates and practices for electric service.
PSC-48-14-00012-P	exempt	Authority to update its System Improvement Charge (SIC Mechanism).	To allow or disallow New York American Water Company to update its System Improvement Charge (SIC Mechanism).
PSC-48-14-00013-P	exempt	Petition for submetering of electricity.	To consider the request of Albee Tower 1 Owners LLC to submeter electricity at 70 Fleet Street, Brooklyn, New York.
PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
PSC-49-14-00002-P	exempt	Whether to make revisions to Rider S - Commercial System Relief Program and Rider U - Distribution Load Relief Program	Whether to make revisions to Rider S - Commercial System Relief Program and Rider U - Distribution Load Relief Program
PSC-51-14-00005-P	exempt	Petitioner requests an order modifying its existing maintenance tier contract offered under the RPS Program	To resume operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York
PSC-51-14-00006-P	exempt	The Northeast Power Coordinating Council, Inc's A-Criteria documents and Criteria	To adopt revisions to various rules and measurements of the Northeast Power Coordinating Council, Inc.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
PSC-52-14-00021-P	exempt	Requirements and conditions for the net metering of customer-sited generation facilities.	To consider requirements and conditions for the net metering of customer-sited generation facilities.
PSC-52-14-00022-P	exempt	Petition for submetering of electricity.	To consider the request of Kingsview Homes, Inc. to submeter electricity at 125 Ashland Place, Brooklyn, New York.
PSC-52-14-00023-P	exempt	LDC inspection and remediation plans for plastic fusions.	Whether to order Con Ed and ORU to comply with their filed plans that address any safety risks associated with plastic fusions.
PSC-52-14-00024-P	exempt	Distributed Generation (DG), Natural Gas Vehicle (NGV) and Prime-WNY programs.	To extend the DG and NGV programs to March 31, 2018 and for authorization of the Prime-WNY program.
PSC-52-14-00025-P	exempt	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $24,000 or 48%.	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $24,000 or 48%.
PSC-52-14-00026-P	exempt	Community Choice Aggregation.	To consider action related to Community Choice Aggregation.
PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
PSC-01-15-00015-P	exempt	NYSEG is seeking waivers from certain regulatory requirements contained in an Order issued in Case 14-G-0197 on October 6, 2014	NYSEG is seeking waivers because it cannot certify the existing propane distribution system complies with certain regulations
PSC-01-15-00016-P	exempt	State Universal Service Fund Disbursements	To consider Port Byron Telephone Company's request for State Universal Service Fund disbursements
PSC-01-15-00017-P	exempt	Reimbursement of costs for construction under 16 NYCRR 230	To determine proper reimbursement for costs related to trenching and construction
PSC-01-15-00018-P	exempt	State Universal Service Fund Disbursements	To consider Township Telephone Company's request for State Universal Service Fund disbursements
PSC-01-15-00019-P	exempt	Rule 50 - Reliability Support Services (RSS) Surcharge.	To make a clarifying revision to Rule 50 - Reliability Support Services (RSS) Surcharge.
PSC-02-15-00005-P	exempt	Approving the 2014 electric emergency response plans for New York's six major electric utilities.	Approving the 2014 electric emergency response plans for New York's six major electric utilities.
PSC-03-15-00002-P	exempt	Waiver of tariff provisions related to SC 14 Non-Core Transportation Services for Electric Generation	To determine whether a waiver is warranted
PSC-03-15-00003-P	exempt	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-03-15-00004-P	exempt	To allow residential customers a one time election to opt out of AMR metering and make other tariff changes related to metering	To allow residential customers a one time election to opt out of AMR metering and make other changes related to metering
PSC-03-15-00005-P	exempt	Minor electric rate filing	For approval to increase annual revenues by about $300,000 or 17.96%
PSC-04-15-00008-P	exempt	Re-billing SC No. 2 customers from March 2008 through March 2014.	To determine whether re-billing SC No. 2 customers by the Companies' proposed methodology customers is appropriate.
PSC-04-15-00009-P	exempt	To start and finish construction of distribution and service lines in certain municipalities in Clinton County by dates certain.	To require NYSEG to start and complete construction of distribution and service lines in municipalities in Clinton County.
PSC-04-15-00010-P	exempt	To modify the retail access program under SC No. 19 - Seller Transportation Aggregation Service.	To modify the retail access program to implement Tier 2A – Storage Capacity Release and make other tariff changes.
PSC-04-15-00011-P	exempt	To modify the retail access program under SC No. 8 - Seller Services.	To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes.
PSC-04-15-00012-P	exempt	Disposition of tax refunds and other related matters.	To determine the disposition of tax refunds and other related matters.
PSC-04-15-00013-P	exempt	Rider L - Direct Load Control Program (DLC) and Residential Smart Appliance Program (RSAP)	To expand the DLC program, replace failed control devices, and to expand the RSAP pilot.
PSC-04-15-00014-P	exempt	Request for waiver of 6 NYCRR Part 501 and United Water's tariff provisions governing main extensions.	To grant, deny or modify a petition for a waiver of 6 NYCRR Part 501 and related United Water's tariff provisions.
PSC-05-15-00003-P	exempt	Whether to grant, deny or modify in whole or in part the petition of Consolidated Edison for rehearing and clarification	Whether to grant, deny or modify in whole or in part the petition of Consolidated Edison for rehearing and clarification
PSC-05-15-00004-P	exempt	Whether to permit the use of the Eaton Power Xpert Multi-Point Meter for submeter applications	Pursuant to 16 NYCRR Parts 93 and 96, the Commission must approve the Eaton Power Xpert Multi-Point for electric submetering
PSC-05-15-00005-P	exempt	Cost recovery surcharge and gas safety performance standards	To determine issues related to gas safety surcharge implementation
PSC-06-15-00003-P	exempt	Petition for submetering of electricity	To consider the request of City Point Residential LLC, to submeter electricity at 366 Flatbush Avenue Ext, Brooklyn, New York
PSC-06-15-00004-P	exempt	Whether to make revisions to Rider S - Commercial System Relief Program and Rider U - Distribution Load Relief Program	Whether to make revisions to Rider S - Commercial System Relief Program and Rider U - Distribution Load Relief Program
PSC-07-15-00005-P	exempt	Major electric rate increase filing	To establish rates and practices for electric service
PSC-07-15-00006-P	exempt	Whether to order a remand regarding payphone rates	Whether to order a remand regarding payphone rates and award refunds
PSC-07-15-00007-P	exempt	Major gas rate increase filing	To establish rates and practices for gas service

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-08-15-00008-P	exempt	Approval of a loan, an ownership transfer, and continuation of lightened regulation.	Approval of a loan, an ownership transfer, and continuation of lightened regulation.
PSC-08-15-00009-P	exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
PSC-08-15-00011-P	exempt	Implementation of community net metering.	To consider implementation of community net metering.
PSC-09-15-00003-P	exempt	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC
PSC-09-15-00004-P	exempt	Refinancing and issuance of long-term debt securities	To authorize New York American Water Company, Inc. to refinance up to $22,600,000 and issue up to $45,300,000 of long-term debt
PSC-09-15-00005-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
PSC-09-15-00006-P	exempt	Petition for submetering of electricity	To consider the request of 315 East 68th Street Corporation to submeter electricity at 315 East 68th Street, New York, N.Y.
PSC-09-15-00007-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
PSC-10-15-00006-P	exempt	Amendment of submetering order(s) to allow Queens Fresh Meadows LLC and others to terminate electric service for failure to pay	Whether to amend Queens Fresh Meadows LLC submetering order and others to allow termination of electric service
PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
PSC-10-15-00009-P	exempt	Contingency Tariffs regarding demand response issues	To consider Contingency Tariffs regarding demand response issues
PSC-10-15-00010-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-11-15-00021-P	exempt	Utility gas energy efficiency programs, targets, budgets and administration	To encourage the conservation of natural gas
PSC-11-15-00022-P	exempt	Petition for submetering of electricity	To consider the request of 160 Madison Ave LLC to submeter electricity at 160 Madison Avenue, New York, New York
PSC-11-15-00023-P	exempt	Petition for submetering of electricity	To consider the request of Renaissance Corporation of Albany to submeter electricity at 100 Union Drive, Albany, New York

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-11-15-00024-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at the Island House Apartments at 551, 555, 575 Main Street, N.Y., N.Y.
PSC-11-15-00025-P	exempt	LED Street Lighting	To update tariff leaves to reflect LED lighting options contained in P.S.C. No. 15 - Electricity
PSC-12-15-00005-P	exempt	Reliability Support Services Agreement for electric service reliability	Consideration of an extension of the Reliability Support Services Agreement for electric service reliability
PSC-12-15-00006-P	exempt	To consider a stock purchase for the cable system and related assets	To allow Adams CATV to purchase 100% of the stock of Oquaga Lake Cable System
PSC-12-15-00007-P	exempt	The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5	Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism
PSC-12-15-00008-P	exempt	Minor electric rate filing	To approve an increase in annual electric revenues by approximately $299,966 or 3.2%
STATE, DEPARTMENT OF			
DOS-41-14-00001-P	10/20/15	Minimum standards for code enforcement training	To establish minimum training standards so as to increase the level of competency and reliability of code enforcement personnel
DOS-02-15-00004-EP	03/01/16	Use of truss type, pre-engineered wood or timber construction in residential structures	To implement the provisions of new section 382-b of the Executive Law, as added by chapter 353 of the Laws of 2014
DOS-04-15-00004-EP	03/19/16	Issuance of an order to remedy a violation of the Uniform Code	Fix the time for compliance with an order to remedy any condition found to exist in buildings in violation of the Uniform Code
DOS-05-15-00007-EP	03/23/16	Addition of provisions relating to ''sparkling devices'' to the State Uniform Fire Prevention and Building Code	To amend the Uniform Code to provide additional requirements applicable to buildings and structures where ''sparkling devices'' are manufactured, stored or used and add other restrictions on the use of ''sparkling devices''
DOS-09-15-00001-P	03/03/16	Regulations relating to review of original applications	To clarify the Department's review procedures for new applicants seeking licensure pursuant to Art. 27 of the GBL
DOS-11-15-00001-P	03/17/16	Real estate brokers and salespersons	To update obsolete and outdated regulations
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-08-15-00012-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period April 1, 2015 through June 30, 2015
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-49-14-00001-P	12/10/15	Local Advisory Councils	Repeal the regulatory requirement that social services districts establish and maintain local advisory councils

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-12-15-00004-P	03/24/16	Delete regulatory references to the Learnfare Program	Make technical amendments to reflect that the statutory authority to operate the Learnfare Program has expired
TRANSPORTATION, DEPARTMENT OF			
TRN-11-15-00014-P	03/17/16	Use of rest areas (section 156.3) and safe operation of commercial motor vehicles (section 820.14)	To update applicable regulations in 17 NYCRR 156.3(c) and 820.14
TRN-11-15-00015-P	03/17/16	Safe operation of commercial motor vehicles by motor carriers and drivers	To update applicable regulations in 17 NYCRR Part 820, added 12/12/2004

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RULE REVIEW

Adirondack Park Agency

As required by section 207 of the State Administrative Procedure Act (SAPA), the following is a list of rules which were adopted by the Adirondack Park Agency (Agency) in calendar years 2005 and 2010 which must be reviewed in calendar year 2015. Public comment on the continuation or modification of these rules is invited and will be accepted until May 11, 2015. Comments may be directed to: Paul Van Cott, Associate Attorney, Legal Division, Adirondack Park Agency, P.O. Box 99, NYS Route 86, Ray Brook, New York 12977.

RULES ADOPTED IN 2005 AND EFFECTIVE ON SEPTEMBER 14, 2005

Legal basis for these rules: Adirondack Park Agency Act (APA Act), Executive Law, article 27; Wild, Scenic and Recreational Rivers System Act, Environmental Conservation Law section 15-2709; Freshwater Wetlands Act, Environmental Conservation Law section 24-0801

Revised 9 NYCRR Subtitle Q, Parts 570-573, 576, 587 and 588, and repealed Appendix Q-1 as follows:

1) Amended section 570.3 to remove definitions which are identical to the statutory definitions and re-number the paragraphs remaining.

2) Added a new paragraph 3 to subdivision (ah) of section 570.3 to define "subdivision into sites."

3) Added a new subdivision (j) of section 573.4 to codify the existing practice of allowing certain "boundary line adjustments" without an Agency permit.

4) Deleted subdivision (c) of section 572.21 which required the formal transfer of a permit when property is conveyed.

5) Deleted subdivision (b) of section 571.1, subdivision (a) of section 572.4 and section 572.15, which allowed APA jurisdictional inquiries and permit applications, respectively, to be submitted to the regional and district offices of other State agencies serving the Park.

6) Amended section 587.3 to more explicitly provide for what constitutes a "conflict of interest" for Agency members and employees. Also amended subdivision (d) of section 587.3 by changing it to new section 587.4, and revised it to better define what constitutes "ex-parte communications" and the action to be taken by Agency members if they cannot prevent an ex-parte communication.

7) Amended sections using the terms "operations committee" and "director of operations" to remove those terms and replace with "regulatory programs committee" or "director of regulatory programs," respectively.

8) With respect to variance procedures, added new sections 576.5 to 576.9, deleted existing sections 572.15 to 572.18, and amended section 572.19.

9) Amended section 588.8 to include the effective dates of all amendments to 9 NYCRR Subtitle Q adopted since the first comprehensive revision in 1979.

10) Deleted Appendix Q-1, which provided the addresses and telephone numbers of the Regional and District Offices of the Departments of Environmental Conservation and Health.

Analysis of the need for the rules: To clarify and simplify the regulations.

RULES ADOPTED IN 2010 AND EFFECTIVE ON SEPTEMBER 21, 2010

Legal basis for these rules: APA Act, Executive Law, article 27

Amended subdivisions (c) and (j) of section 570.3 of 9 NYCRR Subtitle Q providing the Agency's definitions of the terms "boathouse" and "dock", respectively.

Analysis of the need for the rules: To provide clarity and better environmental protection.

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SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

190 Academy, LLC
15 E. 31st St., 6th Fl., New York, NY 10016
State or country in which incorporated — New Jersey

8 Saint Marks LLC
45 Main St., Suite 708, Brooklyn, NY 11201
State or country in which incorporated — New York

Amlin plc
St. Helen's, 1 Undershaft, London EC3A 8ND, United Kingdom
State or country in which incorporated — United Kingdom

Augustine Temperature Management LLC
6581 City West Pkwy., Eden Prairie, MN 55344

Brookwood CB Investors, LLC
c/o Brookwood Financial Partners, LLC, 72 Cherry Hill Dr., Beverly, MA 01915
State or country in which incorporated — Delaware

CIVC Partners Fund V, L.P.
191 N. Wacker Dr., Suite 1100, Chicago, IL 60606
Partnership — CIVC GP V, L.P.

CIVC Partners Fund V-A, L.P.
191 N. Wacker Dr., Suite 1100, Chicago, IL 60606
Partnership — CIVC GP V, L.P.

Columbus Advisory Group, Ltd.
150 E. 58th St., 14th Fl., New York, NY 10155
State or country in which incorporated — New York

Cottonwood Mutual Fund
225 W. Washington St., Suite 1450, Chicago, IL 60606
State or country in which incorporated — Delaware

Covenant Apartment Fund VIII, L.P.
4515 Harding Rd., Suite 210, Nashville, TN 37205
Partnership — Covenant Apartment Investors VIII, LLC

eWellness Healthcare Corp.
11825 Major St., Culver City, CA 90230
State or country in which incorporated — Nevada

FlikMedia, Inc.
77 Hookele St., Suite 102, Kahului, Hawaii 96732
State or country in which incorporated — Nevada

Floridian Community Holdings, Inc.
5599 S. University Dr., Davie, FL 33328
State or country in which incorporated — Florida

Garden State Securities, Inc.
328 Newman Spring Rd., 3rd Fl., Red Bank, NJ 07701
State or country in which incorporated — New Jersey

Merriman Capital Inc.
250 Montgomery St., 16th Fl., San Francisco, CA 94104
State or country in which incorporated — California

NorthStar Realty Securities, LLC
5299 DTC Blvd., Suite 900, Greenwood Village, CO 80111
State or country in which incorporated — Delaware

RainMakers Partners, LLC
One Sansome St., Suite 3500, San Francisco, CA 94104

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NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency Services
1220 Washington Ave.
State Office Bldg. Campus, Bldg. 7A
Albany, NY 12242

COUNTY GOVERNMENTS

Development, Consolidation and/or Improved Operation of Public Safety Communications to Support and Enhance Statewide Interoperable Communications for First Responders

The New York State Office of Interoperable and Emergency Communications (OIEC) seeks applications for up to $3,500,000 from New York State County Governments for awards to facilitate the development, consolidation and/or improved operation of public safety communications to support and enhance statewide interoperable communications for first responders.

Subject to State appropriation authority, a total of $50 million in funding is available for awards to New York State county governments for the purposes noted above, as outlined in the RFA. Funding for this grant program is supported by the Statewide Public Safety Communications Account.

Applications will be accepted from February 12, 2015 through 5:00 p.m. on April 15, 2015 via e-mail to grants@dhses.ny.gov.

For the Request for Applications (RFA) please visit the Division of Homeland Security and Emergency Services (DHSES) website at http://www.dhses.ny.gov/oiec/grants/ or DHSES's Grant Hotline at (866) 837-9133.

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MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE
Brighton Fire District

The Brighton Fire District is soliciting proposals from administrative service agencies relating to trust service, and administration and/or funding of a Deferred Compensation Plan for the employees of Brighton Fire District. They must meet the requirements of section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Brighton Fire District, Attn: Lawrence M. Howk, Treasurer, 3100 East Ave., Rochester, NY 14610, (585) 389-1551

All proposals must be received no later than 30 days from the date of publication in the New York *State Register*.

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

Effective on or after April 1, 2015, the Department of Health proposes to amend the Title XIX (Medicaid) State Plan by revising both the reimbursement and coverage sections of the Plan as part of a corrective action to address policy requirements identified in the Plan related to comprehensiveness as a requirement of section 1902 of the Social Security Act. The following services will be included, but not limited to:

- Home health services;
- Private duty nursing services;
- Therapies;
- Rehabilitative services;
- Case management services; and
- Payment rate methodologies.

As part of such corrective action plan, the State will: revise units of service for reimbursement rates; provide more complete descriptions of the reimbursement of such services, remove any services/methodologies related to managed care arrangements; amend payment methodologies so that they are for, and correspond to, a recognized 1905(a) service; and provide a comprehensive description of the services and the payment rates and methodologies for such services.

The public is invited to review and comment on this proposed State Plan Amendment. Copies of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status.

Copies of the proposed State Plan Amendments will be on file in each local (county) social services district and available for public review.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Bureau of Federal Relations & Provider Assessments, 99 Washington Ave. – One Commerce Plaza, Suite 1460, Albany, NY 12210, e-mail: spa_inquiries@health.ny.gov

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for inpatient, long term care, and non-institutional services and prescription drugs to comply with recently proposed statutory provisions. The following significant changes and clarifications are proposed:

Institutional Services

- For the state fiscal year beginning April 1, 2015 through March 31, 2016, continues specialty hospital adjustments for hospital

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inpatient services provided on and after April 1, 2012, to public general hospitals, other than those operated by the State of New York or the State University of New York, located in a city with a population of over one million and receiving reimbursement of up to $1.08 billion annually based on criteria and methodology set by the Commissioner of Health, which the Commissioner may periodically set through a memorandum of understanding with the New York City Health and Hospitals Corporation. Such adjustments shall be paid by means of one or more estimated payments, which shall be reconciled to the final adjustment determinations after the disproportionate share hospital payment adjustment caps have been calculated for such period under sections 1923(f) and (g) of the federal Social Security Act. Payments to eligible public general hospitals may be added to rates of payment or made as aggregate payments.

• Effective April 1, 2015, continues the supplemental upper payment limit payments made to general hospitals, other than major public general hospitals of $339 million annually.

• Extends effective beginning April 1, 2015 and for each state fiscal year thereafter, Intergovernmental Transfer Payments to eligible major public general hospitals run by counties and the State of New York.

There is no additional estimated annual change to gross Medicaid expenditures attributable to this initiative for state fiscal year 2015/2016.

• Continues, effective April 1, 2015, and thereafter, budgeted capital inpatient costs of a general hospital applicable to the rate year shall be decreased to reflect the percentage amount by which the budgeted costs for capital related inpatient costs of the hospital for the base year two years prior to the rate year exceeded actual costs.

• Extends current provisions for services on and after April 1, 2015, the reimbursable operating cost component for general hospital inpatient rates will be established with the 2006 final trend factor equal to the final Consumer Price Index (CPI) for all urban consumers less 0.25%.

The estimated annual net decrease in gross Medicaid expenditures attributable to these cost containment initiatives contained in the budget for state fiscal year 2015/2016 is $114.5 million.

• Effective April 1, 2015, authorizes the Commissioner of Health to establish a general hospital quality pool for the purpose of incentivizing and facilitating quality improvements. Payments for the period April 1, 2015 through March 31, 2016 will total $90.8 million gross and $87.8 million gross for the period April 1, 2016 through March 31, 2017.

• Effective June 1, 2015, provides that sole community hospitals, as defined in accordance with Title XVIII of the Federal Social Security Act, are eligible for enhanced payment and/or reimbursement for inpatient and/or outpatient services for the purpose of promoting patient access and improving quality of care. Payments for the period April 1, 2015 through March 31, 2016 will total $9.0 million gross and $12 million for each state fiscal year thereafter.

• Effective April 1, 2015, the amount allocated for Essential Community Provider Network and Vital Access Provider initiatives for Critical Access Hospital (CAHs) will be no less than $7.5 million annually. In addition, the Department of Health will provide a report to the Governor and legislature no later than June 1, 2015 providing recommendation on how to ensure the financial stability of, and preserve patient access to, CAHs, including an examination of permanent Medicaid rate methodology changes.

• Effective April 1, 2015, the amount allocated for Essential Community Provider Network and Vital Access Provider initiatives for essential community providers serving rural areas, including but not limited to hospitals; residential health care facilities; diagnostic and treatment centers; ambulatory surgery centers and clinics will be no less than $10 million. Payments will be made under a supplemental rate methodology for the purpose of promoting access and improving the quality of care. Such payments may include, but not be limited to, temporary rate adjustments; lump sum Medicaid payments; supplemental rate methodologies and any other payment as determined by the Commissioner.

• Effective April 1, 2015, any amount provided to public general hospitals related to payments for the hospital quality pool; sole community hospitals; and essential community provider network and vital access provider initiatives where the federal approvals for such payments on amounts or components thereof are not granted, such payments to public general hospitals shall be determined without consideration of such amounts or components. Public general hospitals shall refund to the State, or the State may recoup from prospective payments, any overpayment received, including those based on a retroactive reduction in the payments. Any reduction in the federal share related to federal upper payment limits applicable to public general hospitals other than those operated by the State University of New York shall apply first to amounts provided for such payments.

• Effective April 1, 2015, the $19.2 million reduction to the statewide base price will be eliminated.

Indigent Care

• Continues, effective for the period January 1, 2016 through December 31, 2018, indigent care pool payments will be made using an uninsured units methodology. Each hospital's uncompensated care need amount will be determined as follows:

- Inpatient units of service for the cost report period two years prior to the distribution year (excluding hospital-based residential health care facility (RHCF) and hospice) will be multiplied by the average applicable Medicaid inpatient rate in effect for January 1 of the distribution year;

- Outpatient units of service for the cost report period two years prior to the distribution year (excluding referred ambulatory and home health) will be multiplied by the average applicable Medicaid outpatient rate in effect for January 1 of the distribution year;

- Inpatient and outpatient uncompensated care amounts will then be summed and adjusted by a statewide adjustment factor and reduced by cash payments received from uninsured patients; and

- Uncompensated care nominal need will be based on a weighted blend of the net adjusted uncompensated care and the Medicaid inpatient utilization rate. The result will be used to proportionately allocate and make Medicaid disproportionate share hospital (DSH) payments in the following amounts:

• $139.4 million to major public general hospitals, including hospitals operated by public benefit corporations; and

• $994.9 million to general hospitals, other than major public general hospitals.

There is no additional estimated annual change to gross Medicaid expenditures attributable to this initiative for state fiscal year 2015/2016.

• For eligible public general hospitals effective with calendar years beginning January 1, 2015 and subsequent calendar years, the Indigent Care Adjustment will be allocated proportionately by group with public hospitals under the New York City Health & Hospitals Corporation at $376 million; State of New York or the State University of New York public hospitals at $4 million; and County public hospitals at $32 million and based on each eligible hospital's Medicaid and uninsured losses to the total of such losses for eligible hospitals. The Medicaid and uninsured losses will be determined based on the latest available data reported to the Department of Health as required by the Commissioner on a specified date through the Data Collection Tool.

There is no additional estimated annual change to gross Medicaid expenditures attributable to this initiative for state fiscal year 2015/2016.

Long Term Care Services

• Effective April 1, 2015, medical assistance shall be furnished to applicants in cases where, although such applicant has a responsible relative with sufficient income and resources to provide medical assistance, the income and resources of the responsibility relative are not available to such applicant because of the absence of such relative and the refusal or failure of such absent relative to provide the necessary care and assistance. In such cases, however, the furnishing of such assistance shall create an implied contract with such relative, and the cost thereof may be recovered from such relative in accordance with Title 6 of Article 3 and other applicable provisions of law.

The estimated annual net aggregate decrease in gross Medicaid

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expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $21.4 million.

• Continues, effective April 1, 2015, and thereafter, the provision that rates of payment for RHCFs shall not reflect trend factor projections or adjustments for the period April 1, 1996 through March 31, 1997.

• Extends current provisions to services on and after April 1, 2015, the reimbursable operating cost component for RHCFs rates will be established with the final 2006 trend factor equal to the final Consumer Price Index (CPI) for all urban consumers less 0.25%.

• Continues, effective April 1, 2015, and thereafter, long-term care Medicare maximization initiatives.

The estimated annual net decrease in gross Medicaid expenditures attributable to these cost containment initiatives contained in the budget for state fiscal year 2015/2016 is $117 million.

• Continues, effective for periods on and after April 1, 2015, the total reimbursable state assessment on each residential health care facility's gross receipts received from all patient care services and other operating income on a cash basis for inpatient or health-related services, including adult day service, but excluding gross receipts attributable to payments received pursuant to Title XVIII of the federal Social Security Act (Medicare), at six percent. The extent to which a facility is reimbursed for the additional cost of the assessment is dependent upon Medicaid volume of services.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $420 million.

• For state fiscal years beginning April 1, 2015, continues additional payments to non-state government operated public residential health care facilities, including public residential health care facilities located in Nassau, Westchester, and Erie counties, but excluding public residential health care facilities operated by a town or city within a county, in aggregate amounts of up to $500 million. The amount allocated to each eligible public RHCF will be in accordance with the previously approved methodology, provided, however that patient days shall be utilized for such computation reflecting actual reported data for 2013 and each representative succeeding year as applicable. Payments to eligible RHCF's may be added to rates of payment or made as aggregate payments.

• Effective with the 2013 rate year, the Department of Health provided a new incentive to improve quality for non-specialty nursing homes by linking incentive payments to quality. Under the program, nursing homes are scored and compared on a define set of quality measures. This amendment will maintain the quality incentive program into the 2015 rate year and will continue to recognize improvement in performance as an element in the program and provide for other minor modifications.

Non-Institutional Services

• For state fiscal year beginning April 1, 2015 through March 31, 2016, continues hospital outpatient payment adjustments that increase the operating cost components of rates of payment for hospital outpatient and emergency departments on and after April 1, 2011, for public general hospitals other than those operated by the State of new York or the State University of New York, which are located in a city with a population of over one million. The amount to be paid will be up to $287 million annually based on criteria and methodology set by the Commissioner of Health, which the Commissioner may periodically set through a memorandum of understanding with the New York City Health and Hospitals Corporation. Such adjustments shall be paid by means of one or more estimated payments, which shall be reconciled to the final adjustment determinations after the disproportionate share hospital payment adjustment caps have been calculated for such period under sections 1923(f) and (g) of the federal Social Security Act. Payments may be added to rates of payment or made as aggregate payments.

• Extends current provisions to services on and after April 1, 2013, the reimbursable operating cost component for general hospital outpatient rates and adult day health care services provided by RHCFs rates will be established with the final 2006 trend factor equal to the final consumer price index (CPI) for all urban consumers less 0.25%.

• Extends current provisions for certified home health agency administrative and general cost reimbursement limits for the periods April 1, 2015 through March 31, 2018.

• Continues, effective April 1, 2015, and thereafter, home health care Medicare maximization initiatives.

The estimated annual net decrease in gross Medicaid expenditures attributable to these cost containment initiatives contained in the budget for state fiscal year 2015/2016 is $17.8 million.

• Effective April 1, 2015, in accordance with 42 CFR 447.56, "Limitations on Premiums and Cost Sharing", which requires that State Medicaid fee-for-service (FFS) co-payment polices have to be applied consistently across all Medicaid payers including managed care. The State Plan is being amended to expand Medicaid co-payment requirements to eligible Medicaid managed care (MMC) beneficiaries for eligible procedures, services and supplies. Specifically, the non-pharmacy services in which Medicaid managed care co-payments will apply include: clinic and non-urgent Emergency Department visits ($3.00), lab tests ($0.50), radiology ($1.00), medical supplies ($1.00), and inpatient hospitalizations ($25.00). Consistent with the current co-payment policy, children under age 21; pregnant women; American Indians; and recipients with incomes at or below 100% of the Federal Poverty Level (FPL) will not be subject to co-payments. Additionally, the $200 Medicaid cap that limits the total co-payment amount a recipient can be charged on annual basis will apply to both FFS and managed care. Pharmacy co-payments currently apply to managed care recipients for new prescriptions, fiscal orders and refills.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $1.9 million.

• Effective April 1, 2015, in accordance with an amendment to Section 367-a(1)(d)(iii) of the Social Services Law, cost-sharing limits will be applied to Medicare Part B cross-over services. Such limits are being applied to prevent the Medicaid program from paying any cost-sharing amount more than the maximum amount that Medicaid would pay for the same service.

Currently, Medicare Part B, which provides medical insurance for professional practitioners' services, reimburses the provider 80% of the Medicare approved amount. The remaining 20% is the Medicare Part B coinsurance or patient responsibility amount. The Medicaid program then reimburses the provider 20% of the coinsurance amount even if the Medicare payment exceeds what the Medicaid program would have paid for the same service. Under the new limitations, the Medicaid program would not pay any cost sharing if the provider received payment greater than the Medicaid fee. Under the new limitations, the Medicaid program would not pay any cost sharing if the provider received payment greater than the Medicaid fee. The provider would be required to accept the Medicare payment as full payment for the service and the recipient could not billed for any co-insurance amount that is not reimbursed by Medicaid.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $49.7 million.

• Effective April 1, 2015, in accordance with an amendment to Section 367-a(1)(d)(iv) of the Social Services Law, cost-sharing limits will be applied to Medicare Part C (Medicare Advantage or Medicare managed care) claims. Such limits are being applied to prevent the Medicaid program from paying any cost-sharing amount more than the maximum amount that Medicaid would pay for the same service.

Currently, the Medicaid program pays the full co-payment or co-insurance amounts for Medicare Part C claims, even when the provider has received more than the amount the Medicaid program would have paid for that service. Under the new limitations, the Medicaid program would not pay any co-payment/co-insurance amount if the provider received payment greater than the Medicaid amount. The provider would be required to accept the Medicare Part C health plan payment as full payment for the service and the recipient could not be billed for any co-payment/co-insurance amount that was not reimbursed by Medicaid.

In FFS Medicaid, the state reduces the payment it makes to a provider by the amount of a beneficiary's cost sharing obligation,

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regardless of whether or not the provider has collected the payment or waived the cost sharing. The State will reduce the MMC premiums by the amount of a beneficiary's cost sharing obligation. As in FFS Medicaid, MMC providers have an obligation not to deny goods and services for beneficiaries who are unable to meet their co-payment requirements.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $41.7 million.

• Continues, effective April 1, 2015 and thereafter, payments by government agencies for services provided by certified home health agencies, except for such services provided to children under 18 and other discreet groups, shall be based on episodic payments. A statewide base price, for such payments, shall be established for each 60-day episode of patient care and adjusted by a regional wage index factor and an individual patient case mix index. Such episodic payments may be further adjusted for low utilization cases and to reflect a percentage limitation of the cost for high-utilization cases that exceed outlier thresholds of such payments.

There is no additional estimated annual change to gross Medicaid expenditures attributable to this initiative for state fiscal year 2015/2016.

Prescription Drugs

• Effective May 1, 2015, for sole or multiple source brand name drugs the Estimated Acquisition Cost (EAC) is defined as Average Wholesale Price (AWP) minus twenty four (24) percent, or Wholesale Acquisition Cost (WAC) minus nine (9) percent. For multi-source generic drugs, the EAC remains as currently defined without change. In addition, the dispensing fee for sole or multiple source brand name drugs is $8.00. For multi-source generic drugs, the dispensing fee remains unchanged.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $18 million.

• Effective April 1, 2015, for claims for payment of outpatient prescription drugs submitted to a managed care provider by a covered entity pursuant to section 340B of the federal public health service act (42 USCA § 256b) or by such covered entity's authorized contract pharmacy, payment shall be at the covered entity's actual acquisition cost for the drug. "Actual acquisition cost" is defined as the invoice price for the drug to the covered entity minus the amount of all discounts and other cost-reductions attributable to the drug.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2015/2016 is $21.9 million.

The overall estimated annual net aggregate increase in gross Medicaid expenditures attributable to reform and other initiatives contained in the budget for state fiscal year 2015/2016 is $114.2 million; and the estimated annual net aggregate increase in gross Medicaid expenditures attributable to an extension of upper payment limit (UPL) payments for state fiscal year 2015/2016 is $2.2 billion.

The public is invited to review and comment on this proposed State Plan Amendment. Copies of which will be available for public review on the Department of Health's website at http://www.health.ny.gov/regulations/state_plans/status.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 10201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Bureau of Federal Relations & Provider Assessments, 99 Washington Ave. – One Commerce Plaza, Suite 1460, Albany, NY 12210, e-mail: spa_inquiries@health.state.ny.us

PUBLIC NOTICE
Otsego County

Otsego County is soliciting proposals from Administrative Service Agencies, Trustees, and Financial Organizations for services in connection with a Deferred Compensation Plan that will meet the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Deborah Zvirzdin, Purchasing Agent, 197 Main St., Cooperstown, NY 13326; e-mail address: zvirzdind@otsegocounty.com

All proposals must be submitted not later than 30 days from the date of publication in the New York State Register.

PUBLIC NOTICE
Department of Taxation and Finance
Interest Rates

The Commissioner of Taxation and Finance hereby sets the interest rates for the months of April, May, June, 2015 pursuant to sections 697(j) and 1096(e) of the Tax Law, as follows:

For purposes of section 697(j) the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. For purposes of section 1096(e), the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. (The underpayment rates set pursuant to sections 697(j) and 1096(e) may not be less than 7.5 percent per annum.) Pursuant to section 1145(a)(1) of the Tax Law, the underpayment rate for State and local sales and use taxes administered by the Commissioner of Taxation and Finance is 14.5 percent per annum. The underpayment rate for the special assessments on hazardous waste imposed by section 27-0923 of the Environmental Conservation Law is 15 percent.

For the interest rates applicable to overpayments (refunds) and underpayments (late payments and assessments) of the following taxes administered by the Commissioner of Taxation and Finance for the period April 1, 2015 through June 30, 2015, see the table below:

4/1/15 - 6/30/15
Interest Rate Per Annum
Compounded Daily

Commonly viewed tax types	**Refunds**	**Late Payments & Assessments**
Income **	2%	7.5%
Sales and use	2%	14.5% *
Withholding	2%	7.5%
Corporation **	2%	7.5%
All other tax types	**Refunds**	**Late Payments & Assessments**
Alcoholic Beverage	2%	7.5%
Beverage Container Deposits	2%	7.5%

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Boxing & Wrestling	2%	7.5%
Cigarette	NA	7.5%
Diesel Motor Fuel	2%	7.5%
Estate	2%	7.5%
Fuel Use Tax	***	***
Generation-Skipping Transfer	2%	7.5%
Hazardous Waste	2%	15%
Highway Use	2%	7.5%
New York City Taxicab and Hail Vehicle Trip Tax	2%	7.5%
Metropolitan Commuter Transportation Mobility Tax	2%	7.5%
Mortgage Recording	2%	7.5%
Motor Fuel	2%	7.5%
Petroleum Business	2%	7.5%
Real Estate Transfer	2%	7.5%
Tobacco Products	NA	7.5%
Waste Tire Fee	2%	7.5%

* The Tax Law requires the interest rate on sales tax assessments or late payments to be set at 14-1/2% for this quarter. However, if the Commissioner determines that the failure to pay or the delay in payment is due to reasonable cause and not willful neglect, the Commissioner may impose interest at the corporation tax late payment and assessment rate. That rate is 7.5% for this quarter.

** There are a number of state and local governmental bodies that have interest rates tied to the overpayment and underpayment rates contained in either section 697(j) (Income Tax) or section 1096(e) (Corporation Tax) of the Tax Law. For purposes of section 697(j) and section 1096(e) of the Tax Law, the overpayment rate for this period is 2%. For purposes of section 697(j) of the Tax Law, the underpayment rate for this period is 7.5%. For purposes of section 1096(e) of the Tax Law, the underpayment rate for this period is also 7.5%.

*** Under section 527(f) of the Tax Law, the interest rates relating to the Fuel Use tax are set pursuant to the International Fuel Tax Agreement (IFTA). For more information regarding IFTA interest rates, see www.iftach.org.

For further information contact: Kathleen O'Connell, Office of Counsel, Department of Taxation and Finance, W. A. Harriman Campus, Albany, NY 12227, (518) 530-4153

For rates for previous periods, visit the Department of Taxation and Finance website: www.tax.ny.gov/taxnews/int__curr.htm

PUBLIC NOTICE

Uniform Code Regional Boards of Review

Pursuant to 19 NYCRR 1205, the petitions below have been received by the Department of State for action by the Uniform Code Regional Boards of Review. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollsen, Building Standards And Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2015-0030 Matter of JMZ Architects & Planners, att. James Raesly, 190 Glen Street, Glens Falls, NY The petitioner requests a variance to allow the alteration of an existing mixed use building without providing a fire sprinkler system as required by Chapter 9 of the Building Code of the New York State, located at SUNY Plattsburgh, 101 Broad Street, City of Plattsburgh, County of Clinton, State of New York.

2015-0048 Matter of: Mark & Karen Critendom, PO Box 158, Pavilion, NY 14525 for avariance concerning requirements for fire sprinkler system.

Involved is an existing two story wood frame building for mixed mercantile and residential occupancies, located at 550 East Main Street, City of Batavia, County of Genessee, State of New York.

2015-0063 Matter of West Genesee Central School District, Attn: Paul Pelton, Assistant Superintendent, 300 Sanderson Drive, Camullus, NY 13031for a variance concerning fire safety and building code requirements including construction of a fire wall to be continuous from foundation to or through the roof.

Involved is the addition and alteration of an existing buildings known as "Onondaga Road Elementary School", located at 703 Onondaga Road, City of Syracuse, Onondaga County, New York.

2015-0064 Matter of West Genesee Central School District, Attn: Paul Pelton, Assistant Superintendent 300 Sanderson Drive, Camullus, NY 13031for a variance concerning fire safety and building code requirements including construction of a fire wall to be continuous from foundation to or through the roof.

Involved is the addition and alteration of an existing buildings known as "East Hill Elementary School", located at 401 Blackmore Road, Town of Camillus, Onondaga County, New York.

2015-0070 Matter of MSBP 251, LLC.; Paul Kolkmeyer, 5477 Main Street, Williamsville, NY 14221, for a variance concerning requirements pertaining to opening protective in exterior walls.

Involved is an existing five story building, of fire resistive construction, for mixed use of business, mercantile and permanent multiple dwellings, located at 251 Main street, City of Buffalo, County of Erie, State of New York.

2015-0072 Matter of Christopher Laviano, 130 Mohawk Street, Cohoes, NY, 12047 for a variance concerning public safety requirements of the Multiple Residence Law including the requirement for a cellar ceiling.

Involved is the routine inspection of an existing multiple dwelling. The building contains an R-2 (multiple dwelling) occupancy, three stories in height of Type VB (combustible) construction, having a cumulative gross floor area of 3,231 square feet. The building is located at 712 Union St., City of Schenectady, Schenectady County, State of New York.

2015-0073 Matter of Kevin Ellis, Project Architect, 340 Broadway, Schenectady, NY, 12305 for a variance concerning fire safety issues including the code requirement for a sprinkler system in a building undergoing a change of occupancy.

Involved is the proposed rehabilitation of an existing church to a banquet hall. The change in occupancy from a place of religious worship (A-3) to a banquet hall (A-2) requires the installation of a sprinkler system throughout the building. The building contains an A-3 (assembly) occupancy, is one story with a basement in height, of Type IIIB (ordinary) construction, having a cumulative gross floor area of 20,528 square feet. The building is commonly known as St. Mary's Church and is located at 820 Eastern Avenue, City of Schenectady, Schenectady County, State of New York.

2015-0079 Matter of Robert W. Schram, 1208 Newport Road, Schuyler, NY 13502 for a variance related to wood on walls of exit stairway, second means of egress, the fire rating of a cellar ceiling and cellar stairway in accordance with the New York State Multiple Residence Law.

Involved is a three story building located at 620 Columbia Street, City of Utica, Oneida County, State of New York.

2015-0080 Matter of T.Y. LIN INTERNATIONAL, WM. SCOTT COPP, 255 EAST AVENUE, ROCHESTER, NY 14604, for a variance concerning safety requirements, including building height, opening protectives, and discharge of environmental air in a building located at 88 Elm Street, City of Rochester, County of Monroe, State of New York.

2015-0081 Matter of MOSSIEN ASSOCIATES ARCHITECTS, PC, DANIEL E MOSSIEN, 339 EAST AVENUE SUITE 205, ROCHESTER, NY 14604, for a variance concerning safety requirements, including the minimum required toilet fixtures in a building located at 1861 Scottsville Road, Town of Chili, County of Monroe, State of New York.

2015-0083 Matte of Joseph Vallette, 3146 State Highway 23,

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Laurens, NY 13796 for a variance related to the installation of a sprinkler system in accordance with the New York State Uniform Fire Prevention and Building Code.

Involved is three story building located at 3146 State Highway 23, Town of Laurens, Otsego County, State of New York.

2015-0085 Matter of Frankfort Schuyler Central School, 605 Palmer Street, Frankfort, NY 13340 for a variance related to the building area limitations in accordance with the New York State Uniform Fire Prevention and Building Code.

Involved is a one story building located at 610 Reese Road, Town of Frankfort, Herkimer County, State of New York.

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FINANCIAL REPORTS

STATE OF NEW YORK
DEPARTMENT OF TAXATION & FINANCE
DEPOSITORIES FOR THE FUNDS OF THE STATE OF NEW YORK

MONTH END FEBRUARY 28, 2015

Prepared By:
DIVISION OF THE TREASURY
Investments, Cash Management and Accounting Operations

THOMAS H. MATTOX
COMMISSIONER
DEPARTMENT OF TAXATION AND FINANCE

AIDA M. BREWER
DEPUTY COMMISSIONER & TREASURER

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ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER

Exchange Account	Key Bank	6,617.88
Common Retirement Administrative Costs	Bank of America, N.A.	14,454.44
Unemployment Insurance Master Concentration Account	JPMorgan Chase Bank, N.A.	28,572,450.59
Occupational Training Account Master Concentration Account	JPMorgan Chase Bank, N.A.	244,130.47
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(201,014,681.89)
PIT Refund Debit Card Services	Bank of America, N.A.	0.00
Unemployment Insurance Exchange Account	JPMorgan Chase Bank, N.A.	0.00
General Checking	Key Bank	(608,037,166.75)
Direct Deposit Account	Wells Fargo	16,245.66
TOTAL		(172,160,782.85)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	8,500.00
01020 - DIVISION OF PAROLE		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,845.09
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
New York State Office Of General Services	Citizens Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	18,103.06
Div Headquarters - Petty Cash	Key Bank	1,526.84
Div HQ Travel Advance	Key Bank	0.00
Key Advantage Account	Key Bank	80,738.99
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,534,856.06
SIU Confidential Fund Account	Key Bank	5,231.75
Special Fund	Key Bank	21,123.46
State Police Receipts Account	Bank of America, N.A.	No report received
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia - Travel Advance	Bank of America, N.A.	0.00
Troop A Batavia-Confidential	Bank of America, N.A.	1,984.54
Troop B Confidential	Key Bank	9,000.00
Troop B Petty Cash	Community Bank	1,000.00
Troop B Travel	Community Bank	0.00
Troop C Advance Travel	NBT Bank	0.00
Troop C Confidential Fund	NBT Bank	1,780.00

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Troop C Petty Cash	NBT Bank	985.00
Troop D Oneida - Confidential	Alliance Bank	1,100.42
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop D Oneida-Travel Advance	Alliance Bank	0.00
Troop E Canandaigua Confidential	Canandaigua National Bank	1,512.94
Troop E Canandaigua Travel Adv	Canandaigua National Bank	0.00
Troop E Petty Cash	Canandaigua National Bank	950.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	0.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop F Travel Advance	JPMorgan Chase Bank, N.A.	0.00
Troop G Loudonville Conf	Bank of America, N.A.	2,321.49
Troop G Loudonville Travel Adv	Bank of America, N.A.	0.00
Troop G Petty Cash	Bank of America, N.A.	196.00
Troop K Petty Cash	Bank of Millbrook	721.08
Troop K Poughkeepsie Travel Adv	Bank of Millbrook	0.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,236.80
Troop L Advance For Travel	Bank of America, N.A.	0.00
Troop L Confidential Fund	Bank of America, N.A.	2,143.83
Troop L Petty Cash	Bank of America, N.A.	962.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	Key Bank	No report received
Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Travel Advance	JPMorgan Chase Bank, N.A.	No report received
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	14,430.07
GOER/LMC Advance Account	Key Bank	2,643.51
NYS Dependent Care Acct	Key Bank	54,402.57
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	977.19
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	502.00
Petty Cash	Community Bank	3,253.76
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	118,963.70
Emergency Award Account	M&T Bank	19,124.50
Emergency Claims	Key Bank	19,990.90
Frances Featherstones	Key Bank	185.53
Petty Cash Account	Key Bank	1,249.00
REST/SUBROG Escrow Account	Key Bank	855.85
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	4,463.75
FBI Fee Account	Bank of America, N.A.	6,839.73
Fingerprint Fee Account	Bank of America, N.A.	14,434.74
Gun Tips Reward Account	JPMorgan Chase Bank, N.A.	9,998.50
01510 - NYS RACING & WAGERING BOARD		
Charitiable Gaming Account	Key Bank	No report received
Fingerprint Concentration Account	Key Bank	No report received
License Revenue Account	Bank of America, N.A.	No report received
Petty Cash Account	Key Bank	No report received
Racing Refund Account	Key Bank	No report received
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	49,532.85
Alcoholic Bev Control License	Bank of America, N.A.	0.00
Alcoholic Beverage Container - NYC	Citibank	0.00
Alcoholic Beverage Container License	M&T Bank	295,893.19
Alcoholic Beverage Tax	Bank of America, N.A.	2,959,804.94
Assessments Receivable	JPMorgan Chase Bank, N.A.	29,091,579.24
Beverage Container	JPMorgan Chase Bank, N.A.	12,083.43
Boxing And Wrestling Tax	Bank of America, N.A.	14,037.63

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Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00
Cigarette Tax	Bank of America, N.A.	0.00
Cigarette Tax Tobacco Products	Bank of America, N.A.	47,134.27
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	0.00
Corporation Tax - Art 9	Bank of America, N.A.	1,023,347.32
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	9,019,058.72
Estate Tax	Bank of America, N.A.	432,888.25
Estate Tax Article 10 Section 241	Key Bank	0.01
Estate Tax-Lockbox	JPMorgan Chase Bank, N.A.	0.00
Estimated Tax	JPMorgan Chase Bank, N.A.	12,737,890.34
Exchange Account	Bank of America, N.A.	85.75
Gift Tax	Bank of America, N.A.	1,978.00
Hazardous Waste	Key Bank	32,522.33
Highway Use - Permits & Reg.	Bank of America, N.A.	11,435.53
Highway Use - Truck Mileage	Bank of America, N.A.	0.00
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	9,408.00
Hudson River-Black River	Bank of America, N.A.	112,457.23
Hudson River-Black River	Community Bank	30,055.99
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	60,193.34
IFTA-Fuel Use	Bank of America, N.A.	105,868.79
Income Tax	Bank of America, N.A.	0.00
Income/Withholding Tax (EFT)	JPMorgan Chase Bank, N.A.	(28,877,403.03)
Justice Court	Key Bank	53,431.00
MCTD Medallion Taxicab Ride	Bank of America, N.A.	0.00
Metropolitan Commuter Mobility Tax	JPMorgan Chase Bank, N.A.	2,109,846.83
NYC General Debt Service	Bank of America, N.A.	109,096.30
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
NYS Share Of Cigarette Floor Tax	Bank of America, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	111,983.49
Payroll Revolving Exchange Acct.	Key Bank	65,513.92
Petroleum (EFT)	JPMorgan Chase Bank, N.A.	507,965.41
Petroleum Products Tax	Bank of America, N.A.	3,008,017.40
Port Of Oswego	Key Bank	(93,086.50)
Real Estate Transfer Tax	Key Bank	21,986.88
Revenue Holding	HSBC	0.00
Sales Tax	Bank of America, N.A.	53,214.36
Sales Tax	JPMorgan Chase Bank, N.A.	3,606,857.60
Sales Tax/Motor Fuel (EFT)	JPMorgan Chase Bank, N.A.	2,035,122.82
SUNY Concentration	HSBC	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	664,200.00
Uncashed Winning Tickets	Bank of America, N.A.	45,867.53
Unclaimed Funds	JPMorgan Chase Bank, N.A.	392,384.04
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	14,565.70
Wireless Surcharge	Bank of America, N.A.	0.00
Withholding Tax	JPMorgan Chase Bank, N.A.	15,579,611.17
Cash Advance Accounts		
Advance For Travel Account	Key Bank	13,451.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	2,258.33
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	1,895,384.93
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	331.25
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received

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Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	First Niagara Bank	55,351,636.73
National Global Settlement	JPMorgan Chase Bank, N.A.	30,441.92
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,283.50
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	3,990.00
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	32,776.58
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,959.07
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,957,973.83
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	7,804,042.79
Finger Print Account	JPMorgan Chase Bank, N.A.	2,700.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	750.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	732.93
05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	538.04
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	4,309.93
Clerk Of The Court Of Appeals	Key Bank	4,145.50
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	17,940.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	16,272.20
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	594.58
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	No report received
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	95,429.60
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	15,875.02
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,975.75
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	145,365.87
Glen Cove Court Revenue	Bank of America, N.A.	59,216.00
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	155,001.11
City Court Of Long Beach - Revenue	Bank of America, N.A.	63,156.07
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	35,295.90
Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	63,497.36

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Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	283,479.93
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	8,300.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	197,952.52
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	32,039.20
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	55,725.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	400,049.32
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	416,201.76
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	27,975.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	411,823.03
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	10,038.11
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	481,035.81
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	254,744.33
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	357,183.73
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	92,848.61
05215 - NYC-CRIMINAL COURT		
Kings Criminal Court	Citibank	207,953.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	118,192.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	142,754.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	99,597.10
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	183,146.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	No report received
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	171,361.00
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	No report received
Richmond Criminal Court- State Funds	Citibank	No report received
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
05231 - SUPREME COURT - BRONX COUNTY		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	No report received
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	250.00
Supreme Court Kings County- Revenue	HSBC	21,538.50
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	28,706.55
05250 - NEW YORK COUNTY CLERK		
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,624,545.58
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	607,076.75
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	0.00
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,242,014.48
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,854,224.10
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,018,754.40
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	854.40
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		

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New York Surrogate Court	JPMorgan Chase Bank, N.A.	73,762.75
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	38,878.65
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	83,593.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	109,418.50
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	20,526.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	35,079.48
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	1,250.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	12,367.09
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	131,138.00
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	41,231.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	35,173.50
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	26,032.50
Cohoes City Court Fees/Fines Account	First Niagara Bank	16,014.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	5,706.25
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	5,019.75
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	34,200.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	6,985.34
Kingston City Court		
Kingston City Court Bail	Key Bank	11,220.00
Kingston City Court Fees Fines - Revenue	Key Bank	71,687.58
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	9,339.70
Rensselaer City Court Bail Acct	Key Bank	30,888.80
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	17,147.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	3,681.00
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	No report received
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	90,693.35
Troy Police Court Bail Account	Bank of America, N.A.	43,869.09
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	1,310.75
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	20,731.00
Watervliet City Court Bail	Bank of America, N.A.	32,052.38
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Revenue	Key Bank	44,547.94
Amsterdam Police Court - Bail	Key Bank	85,549.16
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	3,473.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	1,248.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	135.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	723.25
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	22,072.55
Glens Falls City Court Bail Acct	Glens Falls National	69,958.10
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	25,603.94
Gloversville City Court Revenue	NBT Bank	30,993.49
Hamilton Surrogate		

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Hamilton Surrogate - Revenue	Community Bank	2.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	16,726.74
Johnstown City Court Fines/Fees - Revenue	Key Bank	11,094.88
Mechanicville City Court		
Mechanicville City Court Bail	Bank of America, N.A.	4.50
Mechanicville City Court Bail	TD Bank	16,314.00
Mechanicville City Ct Revenue Acct	TD Bank	16,303.66
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	2,401.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	14,216.93
Ogdensburg City Court Revenue	Community Bank	12,562.48
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	60,216.47
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	41,162.34
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	9,304.25
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	172,543.61
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	45,684.94
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	182,766.85
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	98,633.12
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	6,542.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	5,838.75
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	573.50
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,614.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	32,081.42
Fulton City Court Revenue	Key Bank	21,206.48
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	75.50
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	2,472.50
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,372.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	8,250.00
Little Falls City Court Revenue	M&T Bank	8,561.60
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	23,896.25
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	24,762.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	12,840.00
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	33,241.22
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	29,930.22
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	10,177.50
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	94,853.60
Rome City Court - Revenue	Bank of America, N.A.	121,358.69
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	1,850.00
Sherrill City Court Fees - Revenue	Alliance Bank	814.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	484,615.00
Syracuse City Court - Fees - Revenue	Alliance Bank	80,356.00
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	81,250.00
Utica City Court Revenue Account	Key Bank	79,833.32
Watertown City Court		
Watertown City Court Bail	Key Bank	103,570.54
Watertown City Court Fees & Fines - Revenue	Key Bank	38,642.65
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	79,385.00

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Binghamton City Court Revenue	M&T Bank	48,540.94
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	9,393.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	8,677.75
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	3,180.75
Cortland City Court		
Court City Court Bail	NBT Bank	31,471.01
Court City Court- Revenue	NBT Bank	23,825.85
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	1,671.75
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	4,437.75
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	45,853.05
Elmira City Court Bail	Chemung Canal Trust	76,342.46
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	15,038.00
Ithaca City Court Revenue	Tompkins County Trust	30,920.90
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	7,778.25
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	4,790.00
Norwich City Court Revenue Acct	NBT Bank	10,024.60
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	25,051.52
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	14,605.11
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	15,287.10
Oneonta City Court Bail Account	Community Bank	23,430.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	2,006.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,137.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,893.75
Tompkins County Surrogates		
Tompkins County Surrogate Court - Revenue	Tompkins County Trust	2,107.50
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	107,047.33
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	56,931.40
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	52,001.15
Canandaigua City Court Revenue	Canandaigua National Bank	19,223.00
Cayuga County Surrogates		
Cayuga Surrogate Court Revenue	First Niagara Bank	4,736.50
Corning City Court		
Corning City Court - Revenue	Community Bank	19,204.36
Corning City Court Bail	M&T Bank	25,496.25
Geneva City Court		
Geneva City Bail Account	Five Star Bank	63,437.00
Geneva City Court Revenue Acct	Five Star Bank	22,704.25
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	45,131.79
Hornell City Court Revenue	Steuben Trust Co.	6,992.63
Livingston County Surrogates		
Livingston County Surrogate Court - Revenue	Key Bank	0.00
Livingston Surrogate Court	Wells Fargo Bank	2,978.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	25,774.00
Ontario County Surrogates		
Ontario County Surrogate - Revenue	Canandaigua National Bank	0.00
Ontario Surrogate Court	Wells Fargo Bank	1,970.50
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	601,057.04
Rochester City Revenue	M&T Bank	85,332.34
Seneca County Surrogates		
Seneca County Revenue Account	Community Bank	97.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	5,008.75
Wayne County Surrogates		
Wayne Co Surrogate Court - Revenue	Lyons National	3,788.00

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Yates County Surrogates		
Yates Co Surrogate Court - Revenue	Community Bank	371.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
Allegany Co Surrogate Court - Revenue	Steuben Trust Co.	1,804.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	17,237.50
Batavia City Court Revenue	M&T Bank	22,027.46
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	320,324.00
Buffalo City Revenue Account	M&T Bank	73,984.89
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	3,018.75
Chautauqua County Surrogates Court		
Chautauqua County Surrogate Ct - Revenue	M&T Bank	4,603.75
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	23,609.50
Dunkirk City Court - Bail	First Niagara Bank	17,549.45
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	272.90
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	26,828.75
Genesee County Surrogates		
Genesee Co Surrogates Court - Revenue	M&T Bank	1,297.00
Jamestown City Court		
Jamestown City Court - Revenue	Key Bank	24,634.66
Jamestown City Court Special Bail	Key Bank	41,381.15
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	16,555.50
Lackawanna City Court Revenue Account	Key Bank	27,146.60
Lockport City Court		
Lockport City - Bail	Key Bank	54,997.90
Lockport City HESC EFT Account - Revenue	Key Bank	33,594.71
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	156,359.20
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	35,447.10
Niagara County Surrogates		
Niagara Co Surrogate Court - Revenue	M&T Bank	5,651.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	41,097.70
N. Tonawanda City Court Revenue	M&T Bank	36,381.50
Olean City Court		
Olean City Court Bail Account	Community Bank	15,973.00
Olean City Court Revenue Account	Community Bank	21,065.27
Orleans County Surrogates		
Orleans Co Surrogate Revenue	Bank of America, N.A.	127.50
Salamanca City Court		
Salamanca City Court Bail	Community Bank	4,132.20
Salamanca City Court City Judge - Revenue	Community Bank	14,419.73
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	53,513.00
Tonawanda City Court Revenue	M&T Bank	45,451.56
Wyoming County Surrogates		
Wyoming Co Surrogate Court - Revenue	Five Star Bank	733.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	91,069.25
Poughkeepsie City Court- Revenue	JPMorgan Chase Bank, N.A.	78,176.69
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	38,037.00
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	37,074.07
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	32,066.82
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	166,374.70
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	87,698.73
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	38,581.96
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	422,380.89
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	243,191.83
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	114,907.02
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	132,424.10

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Newburgh City Court Revenue	Bank of America, N.A.	31,360.96
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	15,567.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	11,190.90
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	170,182.00
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	54,368.60
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	35,681.33
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	12,127.25
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	16,003.25
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	25,029.42
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	23,493.13
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	54,590.75
White Plains City Court		
White Plains City Court Bail Account	Hudson Valley National Bank	206,127.49
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Hudson Valley National Bank	121,796.08
Yonkers City Court		
Yonkers City Bail Account- Bail	Hudson Valley National Bank	399,407.00
Yonkers City State Account - Revenue	Hudson Valley National Bank	4,243.12
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Hudson Valley National Bank	38,894.84
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Hudson Valley National Bank	115,559.47
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	50,976.10
Agency Advance Account	Key Bank	5,000.00
Agriculture Producers Sec Fund	Key Bank	49,032.00
Animal Population Control Account	Key Bank	16,486.59
Apple Marketing Order Fund	Key Bank	37,986.82
Consumer Food Industry Account	Key Bank	51,592.18
Dairy Industry Services Account	Key Bank	48,904.72
Dairy Promotion Order Fund	Key Bank	9,418.40
Farm Products Grading	JPMorgan Chase Bank, N.A.	131,090.29
Farm Products Publicity Fund Ag Promotion	Key Bank	5,141.98
Milk Producers Security Fund	Key Bank	48,637.05
NYS Farmers Market Program	Key Bank	4,624.93
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	100.63
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	17,726.35
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	317.94
Onion Marketing Order	Key Bank	26,486.76
Plants Industry Account	Key Bank	6,085.01
Sour Cherry Marketing Fund	Key Bank	5,409.34
Weights & Measures Account	Key Bank	32,362.11
State Fair		
NYS Fair Operating Account	Solvay Bank	86,785.91
NYS Fair Petty Cash/Travel	Solvay Bank	3,646.55
NYS Fair Special Account	Solvay Bank	419.26
State Fair Premium Award Account	Solvay Bank	11,148.85
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	3,231,453.02
Examination Application Fees Account	Bank of America, N.A.	13,082.00
Examination Application Fees Account	First Niagara Bank	43,575.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	695.30
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,831.19
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,741.67
Conservation Petty Cash Account	M&T Bank	42,093.07
DEC/Exchange Account	M&T Bank	16,470.00
ENCON License Issuing Office	M&T Bank	2,006.50
ENCON Travel Advance	M&T Bank	4,000.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,117.65
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,641.92
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,542,082.94

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Hunting Trapping & Fishing Account	M&T Bank	364,406.21
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,428.25
Lockbox Account	Wells Fargo Bank	110,989.66
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,312.95
NY Conservationist	Bank of America, N.A.	33,135.96
Program Fee	JPMorgan Chase Bank, N.A.	0.00
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,249,033.19
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	20,551.83
State of New York	First Niagara Bank	13,986.32
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,031.73
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	252,247.75
Region 1		
Marine Permit Account	Bank of Smithtown	49,258.25
New York State Dept Of Environ - ME	JPMorgan Chase Bank, N.A.	438.34
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	41,188.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 4 Office Account	Key Bank	4,999.56
Region 5		
Campsite Revenue Account	Glens Falls National	7.75
Land & Forest Region 5W	TD Bank	2,699.44
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	0.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	458.27
Tree Nursery	Bank of America, N.A.	43,641.80
Region 6		
Fish & Wildlife Watertown	Key Bank	13,359.00
Lands & Forest District #7	Community Bank	57.00
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	Partners Trust	19.90
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	8,435.00
Lands & Forests District #3	Alliance Bank	7,785.40
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	26,039.35
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	5,201.15
NYS DEC Admin R8	Bank of Castile	133,713.50
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	5,219.06
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	29,446.83
General Cash Fund	Five Star Bank	0.72
Inmate Occupational Therapy Fund	Five Star Bank	31,839.17
Inmate Savings Account	Five Star Bank	326,933.77
Spendable Fund	Five Star Bank	194,960.88
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	6,072.92
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	21,282.77
Inmate Spendable Account	Bank of America, N.A.	195,621.04
Misc Receipts	Bank of America, N.A.	3,700.74
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,897.25
Employee Benefit Fund	Key Bank	12,287.52
General Fund	Key Bank	12,164.99
Inmate Funds	Key Bank	202,182.92
Inmate Funds Money Market Account	Key Bank	640,605.88
Inmate Occupational Therapy Acct	Key Bank	86,775.06
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,141.48
Inmate Occupational Therapy Fund	Key Bank	10,427.25
Inmate Spendable Funds	Key Bank	41,894.15
Inmate Spendable Savings Account	Key Bank	50,389.31
Miscellaneous Receipts	Key Bank	2,197.47
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00

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Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Benefit Fund	Glens Falls National	4,920.44
Facility Advance	Glens Falls National	8,823.35
General Fund Account	Glens Falls National	8,661.36
Inmate Fund	Glens Falls National	13,615.79
Inmate Fund Savings Account	Glens Falls National	2,500.00
Occupational Therapy	Glens Falls National	13,328.98
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	6,091.62
Employee Benefits	M&T Bank	6,732.90
Inmate Spending Account	M&T Bank	209,392.07
Inmates Account	M&T Bank	56,449.61
Inmates Benefit	M&T Bank	29,836.63
Misc Receipts	M&T Bank	24,303.57
Occupational Therapy Account	M&T Bank	22,233.91
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,418.00
Employee Benefit	Key Bank	3,590.05
Inmate Occupational Therapy Fund	Key Bank	28,117.25
Inmate Savings Account	Key Bank	87,864.04
Inmates Fund Account	Key Bank	78,150.20
Misc. Receipts	Key Bank	16,358.73
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	Sleepy Hollow National Bank	10,107.84
Inmate Spending	Sleepy Hollow National Bank	284,396.85
Misc Receipts	Sleepy Hollow National Bank	13,736.42
Money Market	Sleepy Hollow National Bank	449,401.29
Occupational Therapy	Sleepy Hollow National Bank	101,318.77
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,255.40
General Fund	Key Bank	12,087.92
Inmates Money Market	Key Bank	286,765.12
Inmates Now Checking	Key Bank	452,148.72
Occupational Therapy Fund	Key Bank	74,869.71
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	5,507.53
Employee Benefit Fund	Bank of America, N.A.	14,660.65
Inmate Funds	Bank of America, N.A.	128,263.03
Inmate Funds Savings	Bank of America, N.A.	103,025.47
Misc Receipts	Bank of America, N.A.	2,553.46
Occupational Therapy	Bank of America, N.A.	29,515.56
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Provident Bank	2,341.52
Employee Benefit Fund	Provident Bank	2,551.05
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Provident Bank	267,237.18
Inmate Occupational Therapy	Provident Bank	35,578.61
Misc. Receipts	Provident Bank	3,683.64
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	6,153.64
ECF Clubhouse	Chemung Canal Trust	18,866.55
Employee Benefit Fund	Chemung Canal Trust	10,362.31
Inmate CD Account	M&T Bank	212,682.26
Inmates Fund	Chemung Canal Trust	201,536.15
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	42,241.84
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds CD	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	635.25
Employee Benefits Fund	National Bank of Coxsackie	5,205.68
Inmates Fund	National Bank of Coxsackie	95,975.61
Inmates Fund Savings Acct	National Bank of Coxsackie	23,441.47
Misc. Revenue	National Bank of Coxsackie	12,220.76
Money Market Acct	National Bank of Coxsackie	129,241.17
Occupational Therapy Acct	National Bank of Coxsackie	9,129.96

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10140 - WOODBOURNE CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	961.73
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
General Fund	Bank of America, N.A.	9,230.18
Inmate Funds Checking	Bank of America, N.A.	254,879.68
Occupational Therapy Account	Bank of America, N.A.	15,352.61
10160 - DEPARTMENT OF CORRECTIONAL SERVICES		
Agency Advance Account	Key Bank	1,912.21
Employee Benefit Fund	Key Bank	23,650.80
Inmate Escrow Account	Key Bank	299,922.53
Misc. Receipts Account	Key Bank	218,313.51
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,638.54
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,276.11
Inmate Funds Account	JPMorgan Chase Bank, N.A.	34,332.53
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	3,787.05
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,044.72
Savings Account	JPMorgan Chase Bank, N.A.	15,391.61
10190 - MONTEREY SHOCK INCARCERATION CORRECTIONAL FACILITY		
Consolidated Advance Account	Community Bank	0.00
Employee Benefit Fund	Community Bank	0.00
Miscellaneous Receipts Account	Community Bank	32.00
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	3,136.90
Agency Advance	Community Bank	1,330.68
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	3,984.04
General Fund	Community Bank	5,101.48
Inmate Funds	Community Bank	14,333.80
Inmate Occupational Therapy Fund	Community Bank	5,083.22
Inmate Savings Money Market	Community Bank	37,210.92
Make A Difference Day	Community Bank	153.24
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,679.63
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	20,300.23
Inmate Fund	JPMorgan Chase Bank, N.A.	78,087.23
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,020.58
Misc. Receipts	JPMorgan Chase Bank, N.A.	21,758.31
Occupational Therapy	JPMorgan Chase Bank, N.A.	26,119.53
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	107,685.83
Misc. Revenue	JPMorgan Chase Bank, N.A.	173.68
Money Market	JPMorgan Chase Bank, N.A.	30,594.16
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	22,332.89
Taconic Advance Account	JPMorgan Chase Bank, N.A.	5,074.79
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,451.20
Employee Benefit Fund Account	Key Bank	5,792.87
Inmate Funds Account	Key Bank	85,410.58
Inmate Key Advantage Account	Key Bank	65,157.31
Inmate Occupational Therapy Account	Key Bank	8,832.55
Miscellaneous Receipts Account	Key Bank	1,123.50
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	732.59
General Fund	Jeff Bank	1,836.07
Inmate Funds	Jeff Bank	102,367.58
Inmate Occupational Therapy	Jeff Bank	32,849.47
Inmate Savings	Jeff Bank	51,073.21
Inmate Savings CD	Hometown Bank	75,450.59
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,557.88
Employee Recreation Fund	M&T Bank	636.71
Inmate Deposit Account	M&T Bank	68,843.42
Inmate Occupational Therapy	M&T Bank	138.22
Misc Fees	M&T Bank	0.00
Work Release Advance Account	M&T Bank	2,529.00
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	5,298.51
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,325.79
Inmate Cash Account	JPMorgan Chase Bank, N.A.	21,666.14
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	143.32
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	928.47

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Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	4,279.58
Inmate Fund	Community Bank	71,075.85
Inmate Savings	Community Bank	35,123.97
Misc. Receipts	Community Bank	10.00
Occupational Therapy	Community Bank	5,540.72
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	3,450.00
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,321.99
General Fund	JPMorgan Chase Bank, N.A.	299.21
Inmate Funds	JPMorgan Chase Bank, N.A.	168,190.34
Inmate Savings	JPMorgan Chase Bank, N.A.	59,563.84
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	3,840.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	10,080.04
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,256.50
EBF Checking	Five Star Bank	8,008.58
EBF Savings	Five Star Bank	3,131.85
Inmate Savings	Five Star Bank	302,611.62
Inmate Spendable	Five Star Bank	38,801.33
Misc Receipts	Five Star Bank	1,006.28
Occupational Therapy	Five Star Bank	40,583.86
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,571.43
Employee Benefit Fund Checking	Bank of America, N.A.	24,625.26
Inmate Funds Checking	First Niagara Bank	114,942.02
Inmate Funds Savings	First Niagara Bank	312,939.83
Miscellaneous Revenue	First Niagara Bank	3,485.93
Occupational Therapy	First Niagara Bank	16,879.45
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	2,232.20
Employee Benefit Account	Alden State Bank	40,596.94
Inmate Savings	Alden State Bank	208,759.67
Inmates Funds	Alden State Bank	98,750.82
Misc. Receipts	Alden State Bank	10,937.17
Occupational Therapy	Alden State Bank	30,341.31
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	10,116.86
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	2,609.60
Employee Benefit Fund	Evans National Bank	26,006.24
Inmate Funds	Evans National Bank	89,517.68
Inmate Funds - CD	Evans National Bank	130,922.72
Inmate Funds Savings	Evans National Bank	64,072.57
Miscellaneous Revenue	Evans National Bank	3,172.09
Occupational Therapy	Evans National Bank	13,544.34
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,854.38
Employee Commission Account	Five Star Bank	9,539.57
Inmate Funds Account	Five Star Bank	56,377.11
Inmate Funds Account - Savings	Five Star Bank	172,905.36
Miscellaneous Receipts Account	Five Star Bank	2,710.46
Occupational Therapy Account	Five Star Bank	14,975.24
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	8,128.98
Employee Activities	Community Bank	10,214.30
Inmate Fund Checking	Community Bank	88,602.80
Inmate Savings	Community Bank	130,268.81
Miscellaneous Revenue	Community Bank	3,263.34
Occupational Therapy	Community Bank	22,478.94
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,084.67
Employee Benefit Fund	Bank of America, N.A.	25,551.61
Inmate Funds	Bank of America, N.A.	0.00
Inmate Funds	First Niagara Bank	89,288.69
Inmate Savings	First Niagara Bank	197,770.11
Misc. Revenue	First Niagara Bank	11,335.31
Occupational Therapy	First Niagara Bank	25,902.43
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	First Niagara Bank	5,239.89
Employee Benefit Fund Account	Bank of America, N.A.	7,988.67
Inmates Fund Account	First Niagara Bank	64,640.57

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Misc receipts Acct	First Niagara Bank	1,184.98
NYS DOCS Marcy Corr Facility	First Niagara Bank	145,823.44
Occupational Therapy fund Acct	First Niagara Bank	17,965.61
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	6,736.97
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,342.52
Moriah Shock Incarceration Occ Therapy	Glens Falls National	3,414.42
Moriah Shock Misc Receipts	Glens Falls National	689.44
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	45,962.47
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	5,379.39
Employee Benefit Account	Key Bank	3,450.08
Inmate Funds	Key Bank	153,329.98
Inmate Occupational Therapy	Key Bank	33,880.26
Inmate Savings	Key Bank	346,839.81
Misc. Receipts	Key Bank	10,783.58
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	4,308.36
Employees Vending Benefit	NBT Bank	9,337.48
Inmates Funds	NBT Bank	133,436.98
Misc Revenues General Fund	NBT Bank	1,432.09
Occupational Therapy	NBT Bank	6,758.71
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	7,282.35
Cert Of Deposit	First National Bank of Groton	85,779.58
Employee Benefit Fund	First National Bank of Groton	3,649.18
Inmate Occupational Therapy Account	First National Bank of Groton	22,057.00
Inmate Spendable	First National Bank of Groton	0.00
Inmate Spendable	First National Bank of Groton	82,297.97
Misc Receipts	First National Bank of Groton	226.47
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	8,271.22
Employee Benefit Fund	Key Bank	11,132.06
Inmate Spendable Funds	Key Bank	46,919.06
Key Public Money Market Checking	Key Bank	306,175.24
Miscellaneous Receipts	Key Bank	51.56
Occupational Therapy	Key Bank	23,365.73
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,505.31
Inmate Accounts	Key Bank	57,206.03
Inmate Savings Account	Key Bank	105,256.16
Miscellaneous Receipts Account	Key Bank	9,446.69
Occupational Therapy	Key Bank	12,022.64
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	4,500.00
Employee Benefit Fund	Community Bank	4,606.32
Inmate Occupation Therapy Acct	Community Bank	10,660.51
Inmate Savings	Community Bank	111,313.87
Inmate Spendable Account	Community Bank	131,604.37
Miscellaneous Receipts Account	Community Bank	4,753.09
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,186.54
Employee Benefit Fund - Sav	Community Bank	7,686.52
Inmate Funds	Community Bank	33,031.59
Inmate Funds - Sav	Community Bank	109,599.68
Miscellaneous Revenue	Community Bank	1,418.32
Occupational Therapy	Community Bank	1,700.28
Occupational Therapy - Sav	Community Bank	5,207.29
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,907.82
Employee Benefit Fund	Bank of America, N.A.	3,488.06
Inmate Fund	Bank of America, N.A.	106,260.28
Inmate Funds Savings	Bank of America, N.A.	12,238.68
Misc. Receipts	Bank of America, N.A.	6,400.16
Occupational Therapy	Bank of America, N.A.	8,920.07
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	1,602.58
Employee Benefit Fund	Chemung Canal Trust	11,512.12
Inmate Funds	Chemung Canal Trust	384,298.99

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Inmate Funds Account	Chemung Canal Trust	80,084.49
Misc. Receipts Account	Chemung Canal Trust	1,241.90
Occupational Therapy Account	Chemung Canal Trust	13,284.05
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,988.59
Employee Benefit Fund	Bank of America, N.A.	4,072.57
Inmate Funds	Bank of America, N.A.	88,420.47
Inmate Savings	Bank of America, N.A.	156,897.21
Miscellaneous Receipts	Bank of America, N.A.	50,673.08
Occupational Therapy	Bank of America, N.A.	16,184.79
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Glens Falls National	2,030.93
Employee Benefit	Glens Falls National	11,333.51
General Fund	Glens Falls National	0.00
Inmate Fund	Glens Falls National	36,708.77
Inmate Funds Account Certificate Of Deposit	Glens Falls National	77,688.06
Inmate Funds Account Savings	Glens Falls National	14,309.22
Occupational Therapy	Glens Falls National	13,028.96
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	7,428.09
Employee Benefit Fund	Five Star Bank	15,484.97
Inmate Occupational Therapy	Five Star Bank	35,179.42
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	50,835.60
Inmate Spendable	Five Star Bank	208,345.74
Misc. Receipts Account	Five Star Bank	44,618.78
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	5,001.72
Inmate Accounts	National Bank of Coxsackie	73,218.50
Inmate Savings	National Bank of Coxsackie	238,054.29
Misc. Receipts	National Bank of Coxsackie	3,736.92
Occupational Therapy	National Bank of Coxsackie	25,712.52
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,128.96
Inmate Funds	Key Bank	149,939.98
Inmates Funds Account	Key Bank	53,670.39
Misc. Receipts Account	Key Bank	160.96
Occupational Therapy Acct	Key Bank	21,043.89
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Bank of America, N.A.	1,885.45
Inmate Funds	Bank of America, N.A.	74,836.01
Miscellaneous Receipts	Bank of America, N.A.	1,765.93
Occupational Therapy	Bank of America, N.A.	23,294.55
Savings	Bank of America, N.A.	100,073.05
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	1,459.50
Employee Benefit Fund	Five Star Bank	8,381.14
Inmate Funds CD	Five Star Bank	16,516.07
Inmate Funds CD	Five Star Bank	20,601.89
Inmate Funds CD	Five Star Bank	47,094.50
Inmate Funds Checking	Five Star Bank	61,392.05
Inmate Savings	Five Star Bank	56,027.68
Miscellaneous Receipts	Five Star Bank	1,570.20
Occupational Therapy	Five Star Bank	10,106.35
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,573.65
Inmate Occupational Therapy	Community Bank	16,012.32
Inmate Savings	Community Bank	239,747.19
Inmate Spendable Fund	Community Bank	144,696.40
Misc Receipts	Community Bank	226.26
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,920.34
Employee Benefit Fund	Community Bank	13,872.47
Inmate Funds	Community Bank	61,769.44
Inmate Occupational Therapy	Community Bank	2,670.11
Misc Receipts	Community Bank	240.48
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	3,200.77
Facility Committees	Key Bank	8,732.72
Inmate Fund	Key Bank	93,289.99
Inmate Fund Savings	Key Bank	294,884.73
Inmate Occupational Therapy Fund	Key Bank	1,662.99

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Miscellaneous Account	Key Bank	0.00
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	1,023.78
Employee Benefit Fund	Bank of America, N.A.	15,988.89
Inmate Funds	Key Bank	62,121.19
Inmate Interest Bearing Account	Key Bank	15,000.49
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	11,990.93
10900 - ATTICA CORRECTIONAL FACILITY - INDUSTRIES		
Attica Correctional Facility Div Of Industries	First Niagara Bank	967.05
10904 - GREAT MEADOW CORRECTIONAL FACILITY - INDUSTRIES		
Cash Advance	Citizens Bank	750.00
10905 - FISHKILL CORRECTIONAL FACILITY - INDUSTRIES		
Agency Advance Account	M&T Bank	856.95
10906 - WALLKILL CORRECTIONAL FACILITY - INDUSTRIES		
Division of Industry	Key Bank	60.86
10908 - GREEN HAVEN CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Key Bank	3,047.70
10909 - ALBION CORRECTIONAL FACILITY - INDUSTRIES		
Petty Cash	Bank of America, N.A.	1,000.00
10910 - EASTERN NEW YORK CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Bank of America, N.A.	837.11
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,852.24
Div of Ind Revenue Acct	Key Bank	22,049.73
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	507.97
Petty Cash	NBT Bank	1,869.01
Student Activity Fund	NBT Bank	4,723.23
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,262,511.96
Endowment - Special Account	Janney Montgomery Scott LLC	11,028.36
Trust's Board Project Account	Key Bank	115,640.54
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	36,784.47
EPIC Provider Receipt Account	Bank of America, N.A.	No report received
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	No report received
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	85,585.53
NYPS Master Funding Account	Bank of America, N.A.	No report received
NYPS Provider Payment Account	Bank of America, N.A.	No report received
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	3,459,867.51
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	12,639.95
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME-ST ALBANS		

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NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	164,306.32
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	54,702.02
Resident Funds	Bank of America, N.A.	No report received
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	13,152.00
Maintenance Acct	NBT Bank	246,851.64
Residence Account	Bank of America, N.A.	843,211.88
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	309.59
Albany Petty Cash Account	Key Bank	130.15
NYC Confidential Account	JPMorgan Chase Bank, N.A.	289.55
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	28,615.99
Exchange Account	Bank of America, N.A.	225,083.12
Fee And Permit Account	Key Bank	1,552,584.60
Min Wage & Claim Funding Acct	Key Bank	216,178.02
Minimum Wage & Wage Claim Acct	Key Bank	1,045,028.30
Misc Receipts	Bank of America, N.A.	129,458.14
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	923,178.44
16000 - PUBLIC SERVICE COMMISSION		
Advance For Travel	Key Bank	No report received
Cable Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Special Fee Account	Key Bank	No report received
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	48,019.79
Driver Improvement Program (DIP)	Key Bank	17,050.31
Emergency Card Funding Account	Bank of America, N.A.	163,578.50
Main Office Advance For Travel	Key Bank	241,605.25
Revenue Unit	Key Bank	104,436.33
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	98,444.75
17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10		
Region 10 Advance For Travel	Citibank	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
CPI Revenue Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
Advance Tax Enforcement	JPMorgan Chase Bank, N.A.	27,523.75
Exchange	Bank of America, N.A.	48.52
Fee Account	Key Bank	517,751.36
IFTA Funding	JPMorgan Chase Bank, N.A.	109,718.04
Misc Tax Account - Exchange	Bank of America, N.A.	69,544.94
Petty Cash	Bank of America, N.A.	44,247.31
Tax Preparer Fees Account	JPMorgan Chase Bank, N.A.	15,300.00
Waste Tire Tax	JPMorgan Chase Bank, N.A.	10,494.16
20050 - DIVISION OF THE LOTTERY		
Concentration	Key Bank	185,381.17
Custody Account	US Bank	No report received
Exchange Account	Key Bank	11,239,793.35
Lottery Prize Account #2	Key Bank	(48.40)
Lottery Subscriptions Account	Key Bank	672,476.22
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		

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Checking- General Fund Acct.	Community Bank	26,989.10
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	112,457.23
Money Market	Bank of America, N.A.	907.01
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	283.81
Petty Cash Account	Key Bank	324.19
Petty Cash Fund	JPMorgan Chase Bank, N.A.	998.22
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Genesee	Bank of Castile	No report received
Hamilton County Fee Account	NBT Bank	No report received
Oswego County Fee Account	NBT Bank	No report received
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	349,853.90
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	68,761.08
Albany Central Main Exchange (Albany Central Office)		
Exchange	M&T Bank	27,907.19
Albany Central Office		
DMV Exchange Acct - Controlled Disb	M&T Bank	0.00
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	6,024.00
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	110,958.17
Administrative Adj	M&T Bank	105,654.36
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	22,590.06
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	135,684.99
Broome-Endicott,Binghamton(BND), Binghamton(BNG), Mobile		
Broome County Fee Account	NBT Bank	No report received
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	492.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	114,783.40
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	163,477.91
Cattaragus-Little Valley		
Cattaragus County Fee Account	Cattaraugus County Bank	0.00
Cattaragus-Olean		
Fee Account - Catt Olean	Key Bank	No report received
Cattaraugus-Delavan		
Dept MV Cattaraugus Co.	M&T Bank	0.00
Cayuga-Auburn		
Fee Account - Cayuga	Key Bank	No report received
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	156,841.71
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	66,721.91
Chautaugua-Jamestown		
County Clerk Fee Acct Chautauqua	Key Bank	No report received
Chautaugua-Mayville		
County Clerk Fee Acct Chautauqua	M&T Bank	0.00
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chautauqua-Dunkirk		
Commissioner Acct- Chautauqua	Community Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	First Niagara Bank	0.00
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	First Niagara Bank	0.00
Chemung-Elmira		
Fee Account - Chemung	Chemung Canal Trust	No report received

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Chenango-Norwich		
Chenango County Fee Account	NBT Bank	No report received
Clinton-Plattsburgh		
Clinton County Clerk Fee Account	Key Bank	No report received
Columbia-Hudson		
Columbia CO Fee Account	Greene County Commercial Bank	No report received
Coney Island D.O.		
Coney Island Exchange	JPMorgan Chase Bank, N.A.	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	12,792.00
Cortland-Cortland		
Fee Account - Cortland	Alliance Bank	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,205,781.95
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	9,392.44
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	3,941,353.44
Delaware-Delhi		
Count Clerk Fee Acct-Delaware	Delaware National Bank	0.00
Delaware-Deposit		
Delaware County Fee Account	NBT Bank	No report received
Delaware-Margaretville		
Delaware County Clerk Fee Account	NBT Bank	No report received
Delaware-Sidney		
County Clerk Fee Account Delaware	NBT Bank	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Dutchess-Beacon		
Commissioners Account	M&T Bank	0.00
Dutchess-Millbrook		
Dutchess County Satellit Off	Bank of Millbrook	0.00
Dutchess-Pawling		
Dutchess County Branch Office	Key Bank	No report received
Dutchess-Poughkeepsie		
DMV- County Clerk Fee Account	TD Bank	No report received
Dutchess-Wappingers Falls		
Fee Account	M&T Bank	0.00
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	35,194.36
Erie- Buffalo,Amherest,Cheektowaga,Erie Cty Mo,Erie Cty-E,Erie Cty CC,Evans Town		
Erie County Fee Acct Buffalo	M&T Bank	0.00
Essex- Elizabethtown and Ticonderoga		
County Clerk Fee Acct-Essex	Champlain National	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	172,107.64
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	75,334.86
Franklin-Malone and Saranac Lake		
Fee Account Franklin	Key Bank	No report received
Fulton-Johnstown		
County Clerk Fee Acct Fulton	Bank of America, N.A.	0.00
County Clerk Fee Acct Fulton	NBT Bank	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	95,436.00
Glendale		
NYS Multi-Agency EAP Of Glendale	HSBC	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Greene-Catskill		
Greene Co Clerk Fee Account	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	1,130,931.46
Herkimer-Herkimer,Old Forge		
Herkimer County	M&T Bank	0.00
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	125,626.20
IRP (Albany Central Office)		
International Registration	M&T Bank	493,623.62
International Registration	Wells Fargo Bank	466,002.50
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	153,744.36
Jefferson-Watertown And Fort Drum		
Jefferson Co Fee Account	Community Bank	No report received

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Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	239,954.00
Lewis-Lowville		
County Clerk Fee Lewis Co.	Community Bank	No report received
Livingston-Geneseo And Dansville		
County Clerk Fee Acct-Livingston	Key Bank	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	870,916.80
Madison-Wampsville		
Madison County Fee Account	JPMorgan Chase Bank, N.A.	0.00
Monroe-Henrietta(RCH),Greece,Irondequoit,Mobile,Mobile2 And Mobile3		
Fee Account Monroe	JPMorgan Chase Bank, N.A.	0.00
Montgomery-Fonda		
County Clerk Fee Montgomery	NBT Bank	No report received
Nassau-Region 1		
Division of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	98,666.95
Niagara-Niagara Falls,Lockport, N. Tonawanda		
Fee Account Niagara	M&T Bank	0.00
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	4,521.08
Oleans-Albion		
Fee Acct - Orleans	Bank of America, N.A.	0.00
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	98,175.17
Oneida County Fee Account	NBT Bank	93,991.95
Oneida-Rome		
Fee Acct - Oneida/Rome	NBT Bank	No report received
Oneinda-Utica And Mobile		
DMV Revenue Account (County)	Bank of Utica	No report received
Ontario-Canandaigua and Geneva		
MV Fee Ontario	Canandaigua National Bank	No report received
Orange-Goshen and Middletown		
Orange County Fee Account	Orange County Trust Bank	No report received
Orange-Newburgh		
Motor Vehicle Fee Acct-Orange	Key Bank	No report received
Orange-Port Jervis		
Orange County Fee Account	Bank of America, N.A.	0.00
Otsego-Cooperstown and Oneonta		
County Clerk Fee Account-Otsego	Key Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	88,825.00
Putnam County		
County Clerk Fee Acct-Putnam	Putnam County National Bank	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,130.00
Rensselaer-Troy,Schodack,Moblie		
Rensselaer County Clerk	M&T Bank	0.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	219,044.89
Saratoga-Ballston Spa,Cliftion Park, Saratoga Springs		
Saratoga Co Clerk	First Niagara Bank	0.00
Schenectady-Schenectady		
County Clerk Fee Acct Schenectady	Bank of America, N.A.	0.00
Schoharie-Schoharie		
Schoharie County Clerk Revenue	NBT Bank	No report received
Schuyler-Watkins Glen		
County Clerk Fee Acct- Watkins Glen	Community Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	HSBC	676,004.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	56,093.93
Seneca-Waterloo		
County Clerk Fee Acct Seneca	Community Bank	No report received
St. Lawrence-Canton,Massena,Gouvernwur,Ogdensburg		
Motor Vehicle Fee Acct-St Lawrence	Community Bank	No report received
Steuben-Bath		
County Clerk Fee Acct- Bath	Five Star Bank	0.00
Steuben-Corning		
County Clerk Fee Acct- Corning	Community Bank	No report received
Steuben-Hornell		
County Clerk Fee Acct-Steuben	Steuben Trust Co.	No report received
Sullivan-Monticello and Mobile		

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Sullivan County Fee Account	Community Bank	0.00
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	285,844.18
Tioga-Owego		
County Clerk Fee Acct - Tioga	Chemung Canal Trust	No report received
Title Escrow Exchange (Albany Central Office)		
Escrow Title	M&T Bank	No report received
Tompkins-Ithaca		
Tompkins County Clerk Fee Acct	Tompkins County Trust	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	9,493.00
TVB Acct		
TVB Acct	Wells Fargo Bank	304,086.45
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	760,886.03
Ulster-Kingston And Mobile		
Motor Vehicle Fee Acct-Ulster	TD Bank	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	86,002.97
Utica D.O.		
Exchange Account	Bank of Utica	3,691.89
Revenue Utica	Bank of Utica	3,224,271.69
Warren-Lake George		
County Clerk Fee Acct-Warrant	TD Bank	No report received
Washington-Fort Edward		
County Clerk Fee Acct-Washington	TD Bank	No report received
Wayne-Lyons		
County Clerk Fee Acct Wayne	Lyons National	No report received
Wyoming-Warsaw		
Wyoming County Clerk Fee Account	Five Star Bank	0.00
Yates-Penn Yan		
Fee Acct - Yates	Community Bank	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,685.00
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	225.05
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	452.80
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	328.00
Brooklynn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,206.41
Brookwood Resid Residential Cash	Key Bank	10,726.05
Buffalo CMSO	M&T Bank	170.00
Capital District CMSO	Key Bank	350.00
Check Exchange	Bank of America, N.A.	721,130.46
CO Independent Living Acct	Bank of America, N.A.	10,430.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	20,556.31
Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	428.40
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	2,739.73
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	4,166.85
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,830.40
Goshen Cash Advance	Bank of America, N.A.	1,697.00
Goshen Residents Account	Bank of America, N.A.	12,714.02
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	1,861.72
Highland Res Ctr Residents Acct	Bank of America, N.A.	6,109.25
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,799.31
Industry Res Account	JPMorgan Chase Bank, N.A.	3,660.85
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	336.80
MacCormick Cash Advance	Tompkins County Trust	800.11
MacCormick Resid Advance	Tompkins County Trust	7,893.35
Manhattan CMSO	JPMorgan Chase Bank, N.A.	500.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	741,417.83
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	14,138.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	11,000.00
Queens CMSO Cash Advance	Bank of America, N.A.	400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	472.98
Red Hook Res Ctr Resident Cash	Key Bank	1,585.41
Red Hook Resid Ctr Cash Advance	Key Bank	358.35
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	750.00
State Central Register	Bank of America, N.A.	87,064.50

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Taberg Cash Advance Account	M&T Bank	251.11
Taberg Residents Account	M&T Bank	137.74
Training Academy Cash Advance	Bank of America, N.A.	0.00
Youth Leadership Cash Advance	NBT Bank	420.02
Youth Leadership Resident Cash	NBT Bank	2,167.13
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	428.37
Title IV D Of Social Security	Key Bank	9,600,334.07
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	(176,096.25)
Loan Services Center Account	Key Bank	142,297.38
Petty Cash/Travel Advance	Key Bank	50,840.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	2,836,574.40
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	51,886.08
28030 - SUNY BUFFALO		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	128,986.64
Imprest Account	Bank of America, N.A.	144,221.57
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	2,681,936.18
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	10,214,061.55
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	249,346.93
LISVH Fees Depository	Sterling Bank	282,543.95
LISVH Residence Fund	Sterling Bank	421,232.81
Payroll Advance	JPMorgan Chase Bank, N.A.	4,540.00
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	8,552.18
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	53,870.54
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	6,149,261.40
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,773.30
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	61,928.74
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	556,448.36
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	106,141.04
Petty Cash	JPMorgan Chase Bank, N.A.	73,662.18
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	863,340.93
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	2,240,303.04
Parking	Key Bank	24,282.68
28150 - SUNY BROCKPORT		
Agency Advance	M&T Bank	12,055.00
Brockport-REOC Account	Key Bank	198,221.23
Concentration Acct	M&T Bank	0.00
Controlled Disb	M&T Bank	5,498.02
28160 - SUNY BUFFALO STATE COLLEGE		
Advance Account	M&T Bank	81,452.27
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	273.14
Students Acct Office	M&T Bank	146,334.53
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	74,083.04
Petty Cash	Key Bank	24,778.22
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	47,995.81
Petty Cash	M&T Bank	32,345.00
28190 - SUNY GENESEO		
Advance Account	Key Bank	48,808.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	228,474.62
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	96,715.55
Petty Cash	JPMorgan Chase Bank, N.A.	11,129.10

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28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	61,247.60
State Revenue	Key Bank	166,203.96
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	46,451.37
Revenue Account	NBT Bank	399,119.47
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	309,303.81
Imprest Account	Key Bank	49,571.11
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	940,835.22
Petty Cash	Glens Falls National	16,948.00
28250 - SUNY POTSDAM		
NYS University College At Potsdam	HSBC	(4,186,826.54)
Petty Cash	HSBC	29,977.00
State Fee Account	Key Bank	0.00
State Fee Reconciliation Account	HSBC	117,605.54
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	93,850.20
Petty Cash/Travel	Key Bank	500.00
SUNY Purchase	Key Bank	0.00
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	4,458.44
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	74,061.44
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	585,379.65
Distribution Center Account	Key Bank	58,785.21
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	137,734.35
Petty Cash Account	Community Bank	33,890.00
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Consolidated Advance	Key Bank	30,000.00
Income Fund	Key Bank	(173,935.46)
International Program Account	Key Bank	0.00
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Agency Advance	Key Bank	23,415.00
Income Fund	Key Bank	61,678.10
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	182,531.37
Petty Cash Fund	Delaware National Bank	21,283.99
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Advance Account	Citibank	11,337.46
Income Fund	Citibank	243,471.21
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	45,363.26
Revenue Account	NBT Bank	39,835.84
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
Forestry	Community Bank	358.72
Regular Account	Key Bank	142,831.25
Revenue Account	M&T Bank	107,883.41
Student Government	Key Bank	233,007.06
28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY-CURRENT		
NYS College Of Environmental	HSBC	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	0.00
Petty Cash Account	JPMorgan Chase Bank, N.A.	9,568.08
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	50,417.44
Revenue EFT Account	JPMorgan Chase Bank, N.A.	5,783.31
28580 - SUNY COLLEGE OF OPTOMETRY		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	113,810.49
Medical Transportation	JPMorgan Chase Bank, N.A.	1,309.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	1,397,267.97

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Petty Cash	Key Bank	4,750.00
Revenue	Key Bank	183,955.17
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Advance for Travel	Key Bank	50,000.00
Fire Tax Account (Main)	Key Bank	27,714.24
General Assessment Account	JPMorgan Chase Bank, N.A.	19,604.43
General Fund	Key Bank	1,100,742.22
Market Stabilization Pool Account	Key Bank	93,792,813.52
Miscellaneous Account	JPMorgan Chase Bank, N.A.	141,789.15
Petty Cash	Key Bank	4,950.19
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,007.70
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	51,283.68
Revenue (SA)	Glens Falls National	858.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	463.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	102,874.25
Revenue (LI)	Suffolk County National	495.96
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	35,633.73
Revenue (GE)	Bank of Castile	46,760.31
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	5,124.38
Revenue (NIA)	Evans National Bank	450.00
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	1,694.53
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	210,838.30
Main Office - Travel	Key Bank	0.00
Main Office Account (ALB)	Key Bank	1,185.00
OPRHP Concentration Account	First Niagara Bank	102,312.97
Petty Cash (ALB)	Key Bank	159,639.27
Revenue (NI, GE, AL, CE, TA)	M&T Bank	69,716.24
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	34,406.36
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	62,171.95
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	40,338.39
Revenue (various)	Wells Fargo Bank	79,928.83
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	352,585.78
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	45,795.78
Statewide Housing Security Deposits	Key Bank	158,075.23
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	6,343.78
Revenue (FL)	Savannah Bank	3,498.89
Revenue (FL-Multi)	Community Bank	3,305.04
Revenue (FL-Multi-Facilities)	Tompkins County Trust	408,836.98
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	3,680.00
Regional Account (AL)	Five Star Bank	92,768.60
Revenue (AL)	Five Star Bank	16,967.03
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	25,137.13
Revenue (CE, SA, TI)	NBT Bank	30,238.33
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	4,174.02
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	14,976.97
Revenue (TI)	Citizens Bank	305.55
Revenue (TI-Multi)	Community Bank	822.08
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	4,356.40
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Med Grant Cont Disb Acct	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	No report received
Petty Cash Manufacturing	Bank of America, N.A.	140.00
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	21,708.29
Facility Holding Account	JPMorgan Chase Bank, N.A.	14,579.62
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,178.41
Patients Cash Account	JPMorgan Chase Bank, N.A.	125,508.43
Security Deposit	JPMorgan Chase Bank, N.A.	5,350.03
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	53,695.29

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Family Care	Banco Popular	13,790.63
Holding Account	Banco Popular	136,221.91
Medicaid Outpatient Travel	Banco Popular	7,987.76
Patient Cash Acct (MM)	Banco Popular	437,545.51
Patient Checking Account	Citibank	89,150.17
Patient Savings Account	Banco Popular	331,621.33
Security Deposit	Banco Popular	2,487.83
Urban Oasis/EBT	Banco Popular	1,357.88
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	M&T Bank	44,239.19
Employee Building & Donation	M&T Bank	19,366.64
Facility Holding	M&T Bank	3,357.41
Patient Cash Checking	M&T Bank	152,077.19
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Hudson Valley National Bank	28,444.14
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	11,173.28
Patient Cash Checking	Hudson Valley National Bank	458,637.80
Patients Money Market	Hudson Valley National Bank	72,665.95
Social Service Tokens	Hudson Valley National Bank	32,980.21
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	15,205.71
Facility Holding	Key Bank	331,764.24
Patients Cash Account	Key Bank	226,005.98
Patients Fund Savings	Key Bank	179,700.86
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	42,405.53
Facility Holding Account	Community Bank	20,871.97
Patients Cash Account	Community Bank	24,252.66
Patients Cash Savings	Community Bank	327,229.29
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	39,673.06
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	24,618.82
Medicaid Travel Account	HSBC	44,728.30
Money Management Account	HSBC	933,676.16
Patient Cash Account	HSBC	227,544.11
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	38,896.11
Exchange Account	JPMorgan Chase Bank, N.A.	99,946.43
Holding Account	JPMorgan Chase Bank, N.A.	27,855.51
Investment CD	Provident Bank	100,103.29
Investment CD	Provident Bank	100,059.69
Investment CD	Provident Bank	100,080.24
Investment CD	Provident Bank	100,080.24
Investment CD	Provident Bank	100,059.69
Investment CD	Provident Bank	100,074.69
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	245,651.50
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	805,233.06
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	No report received
General	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	24,086.80
Clients Count	Key Bank	219,603.51
Holding Account	Key Bank	14,001.78
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	67,289.58
Facility Holding Acct	JPMorgan Chase Bank, N.A.	126,892.77
Family Care Advance Account	JPMorgan Chase Bank, N.A.	16,448.32
Patient Cash	JPMorgan Chase Bank, N.A.	746,244.92
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	First Niagara Bank	13,742.53
Facility Holding	First Niagara Bank	3,105.68
Patient Cash Checking	First Niagara Bank	2,310.67
Patient Cash Savings	First Niagara Bank	85,122.39
Security Deposit	First Niagara Bank	5,377.17
50310 - BRONX PSYCHIATRIC CENTER		

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CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,120.44
Misc. Receipts	JPMorgan Chase Bank, N.A.	28,404.93
Patients Cash MM	JPMorgan Chase Bank, N.A.	84,348.39
Patients Checking Acct	JPMorgan Chase Bank, N.A.	817,940.42
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,168.47
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	2,030.26
Holding Account	Hudson Valley National Bank	32,347.42
Patient Cash Account	Hudson Valley National Bank	54,946.21
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	First Niagara Bank	3,466.71
General Fund	First Niagara Bank	7,783.91
Patient Checking	First Niagara Bank	38,640.52
Patient Savings	First Niagara Bank	200,748.71
Security Deposit	First Niagara Bank	1,581.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	9,510.05
Facility Holding Account	Key Bank	1,500.41
Patients Account	Key Bank	87,135.35
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	6,574.01
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	9,975.73
Facility Holding Checking	JPMorgan Chase Bank, N.A.	78,216.10
Family Care Checking	JPMorgan Chase Bank, N.A.	1,020.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	200,455.77
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	18,548.38
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	M&T Bank	2,333.27
Exchange Account	M&T Bank	2,037.93
Patients Cash Acct	M&T Bank	498.07
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	36,880.52
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	14,236.63
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	11,334.67
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	79,652.90
Queens Adv Acct	HSBC	3,708.43
Queens Childrens Account	HSBC	12,074.38
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	9,288.20
General Fund Account	Chemung Canal Trust	10,780.20
IMMA	Chemung Canal Trust	42,742.55
Patients Fund Account	Chemung Canal Trust	186,329.09
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	5,313.71
Facility Holding Account	Key Bank	99,732.53
Parking Garage Account	Key Bank	199,140.75
Patients Cash Checking Account	Key Bank	108,368.17
Patients Cash Savings Account	Key Bank	375,926.23
51000 - OFFICE OF MENTAL RETARDATION & DEVELOPMENTAL DISABILITIES		
DIS Funded Depreciation Acct	Bank of America, N.A.	549.99
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	0.00
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	6,096,633.56
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	30,567.98
Advance Accounts	Key Bank	29,551.12
Clients Account	Key Bank	107,493.99

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Consumer Cash - Checking	M&T Bank	144,301.67
Consumer Cash - Savings	Bank of America, N.A.	5,013.05
Consumer Cash - Savings	Key Bank	1,726,797.36
Consumer Cash - Savings	M&T Bank	2,390,979.00
EBT Checking Account	Key Bank	838,203.99
Exchange	Bank of America, N.A.	0.00
Exchange Account	Key Bank	13,569.47
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	49,605.61
Electronic Benefits Transfer	M&T Bank	458.51
General Fund	M&T Bank	1,898.27
Patients Cash Checking	M&T Bank	530,728.18
Patients Fund Savings	M&T Bank	2,536,494.14
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	476,266.01
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,652.07
Petty Cash Account	JPMorgan Chase Bank, N.A.	11,665.21
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	49,884.28
CD-Patient Property Funds	Key Bank	3,373,454.27
Exchange Account	M&T Bank	15,252.18
Food Stamp Account	M&T Bank	181,894.11
General Account	Community Bank	91,795.07
Patient Property Funds	M&T Bank	218,717.94
WNY DDSO Perrysburg Client Cash	M&T Bank	176,092.23
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	17,117.86
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	685,594.95
Metro DDSO Client Personal Allowance	JPMorgan Chase Bank, N.A.	100,000.00
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	126,803.15
Patients Cash Account	JPMorgan Chase Bank, N.A.	380,273.68
Patients Money Market Account	JPMorgan Chase Bank, N.A.	1,026,328.92
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	77,441.00
Money Market Account	JPMorgan Chase Bank, N.A.	303,182.68
Patient Fund Account	JPMorgan Chase Bank, N.A.	715,354.53
Petty Cash Fund	JPMorgan Chase Bank, N.A.	10,274.38
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	75,394.85
F.L. Newark Resident Checking	Community Bank	2,753.36

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Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00
Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	442,284.15
General Account	JPMorgan Chase Bank, N.A.	29,448.45
Geneseo Client Cash	Bank of America, N.A.	11,168.75
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	129,479.68
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	195,095.34
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,784,636.88
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	10,850.62
Broome DDSO - Advance Account	M&T Bank	35,151.31
Broome DDSO - General Fund	M&T Bank	38,854.24
Disabled Individuals Savings	First Niagara Bank	2,039,827.60
Disabled Individuals Savings	M&T Bank	25,972.50
OMRDD Broome DDSO EBT Checking	M&T Bank	73,772.96
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	1,871.80
Patient Fees	Bank of America, N.A.	985,196.88
Revenue Account	Bank of America, N.A.	31,714.60
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	1,162.01
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Financial Assistance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
CUNY Admin UAO Travel Advance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Fin. Assist. Direct Deposit	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Imprest Cash Account	JPMorgan Chase Bank, N.A.	No report received
70010 - CUNY BROOKLYN COLLEGE		
Brooklyn College Imprest Fund	HSBC	No report received
70020 - CUNY CITY COLLEGE		
The City College of New York - The City College	Citibank	No report received
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	No report received
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
John Jay College Petty Cash	JPMorgan Chase Bank, N.A.	No report received
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	No report received
70080 - CUNY YORK COLLEGE		
York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
70090 - CUNY GRADUATE SCHOOL		
Graduate Center Imprest Fund	TD Bank	No report received
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	No report received
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

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FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 02/28/2015

ACCOUNT	DESCRIPTION	DEPOSITORY	BALANCE AS OF 02/28/2015
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	1,927.67
0231	Upstate Community Colleges, Series 2005A	Key Bank	96,242.70
0232	Upstate Community Colleges, Series 2005B	Key Bank	88,572.04
0233	Upstate Community Colleges, Series 2005C	Key Bank	86,367.22
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	271,816,812.44
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	27,801.98
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	4,695.37
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	80,626.54
0149	State Advances Repayment Account	Bank of America, N.A.	849.25
1202	DA DFRF MAC #1202 Biinghamton	Key Bank	23,288,367.52
1203	DA DFRF MAC #1203 Oneonta	Key Bank	4,498,433.01
1205	DA DFRF MAC #1205 Delhi	Key Bank	626,144.90
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	5,582,777.48
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	1,734,896.16
1208	DA DFRF MAC #1208 Alfred	Key Bank	621,888.57
1209	DA DFRF Mac #1209 Fredonia	Key Bank	1,289,415.09
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	2,499,405.07
1212	DA DFRF Mac #1212 Oswego	Key Bank	4,520,986.96
1214	DA DFRF Mac #1214 Cortland	Key Bank	4,615,312.15
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	20,874,914.36
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	1,773,130.42
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	1,036,810.11
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	31,891.39
1219	DA DFRF MAC #1219 Maritime	Key Bank	1,949,760.41
1221	DA DFRF MAC #1221 Brockport	Key Bank	4,421,486.56
1222	DA DFRF MAC #1222 Geneseo	Key Bank	3,725,493.21
1223	DA DFRF MAC #1223 Purchase	Key Bank	5,400,117.83
1224	DA DFRF MAC #1224 New Paltz	Key Bank	4,151,389.65
1225	DA DFRF MAC #1225 Canton	Key Bank	295,303.98
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	2,042,013.48
1227	DA DFRF MAC #1227 Potsdam	Key Bank	2,712,773.48
1228	DA DFRF MAC #1228 Morrisville	Key Bank	717,172.24
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	1,495,230.05
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	246,548.92
1239	DA DFRF MAC #1239 Albany	Key Bank	14,365,532.23
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,419,500.00
2203	DA OMRR MAC #2203 Oneonta	Key Bank	1,624,401.78
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,929,900.00
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	2,261,400.00
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	669,009.87
2208	DA OMRR MAC #2208 Alfred	Key Bank	3,401,500.00
2209	DA OMRR MAC #2209 Fredonia	Key Bank	1,271,800.00
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	42,704.62
2212	DA OMRR MAC #2212 Oswego	Key Bank	3,807,400.00
2214	DA OMRR MAC #2214 Cortland	Key Bank	3,272,200.00
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	10,707,700.00
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,074.93
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	192,300.00
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	200,046.41
2219	DA OMRR MAC #2219 Maritime	Key Bank	2,672,600.00
2221	DA OMRR MAC #2221 Brockport	Key Bank	1,123,400.00
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,221,600.00
2223	DA OMRR MAC #2223 Purchase	Key Bank	3,611,200.00
2224	DA OMRR MAC #2224 New Paltz	Key Bank	3,052,400.00
2225	DA OMRR MAC #2225 Canton	Key Bank	267,000.00
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	2,032,600.00
2227	DA OMRR MAC #2227 Potsdam	Key Bank	1,496,901.64
2228	DA OMRR MAC #2228 Morrisville	Key Bank	805,600.00
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	204,339.83
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	1,215,700.00
2239	DA OMRR MAC #2239 Albany	Key Bank	8,966,900.00
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	10,057,953.22
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	11,762,795.16

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NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	13,862.60
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	5,895,386.35
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	3,729,939.63
0371	Series H Commercial Paper	Bank of America, N.A.	297,786.49
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	94,734.94
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,852.51
0423	Escrow Account for USA Industries Inc.	Key Bank	37,254.96
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,771.92
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	367,682.56
0520	Development Account	Key Bank	21,202.85
0521	Development Account II	Key Bank	443,263.89
0522	Repayment Account	Key Bank	1,161,729.61
0523	Recapture Account	Key Bank	121,214.96
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	701.72
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	2,248.62
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	908,429.39
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	13,691.43
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	(110,113.68)
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,773.55
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	28,311.75
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	668,798.27
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	105,811.90
0266	Community Related Programs Operating Account	Key Bank	300,744.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	94,146.88
0271	Pre-Bond Revenue Account	Key Bank	712,914.81
0272	TCAP Account	Key Bank	213.90
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	356,990.69
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	19,818.51
0285	Public Purpose Account	Key Bank	916,620.82
0286	Disbursement Account	Key Bank	718,841.81
0287	Infrastructure Development Fund	Key Bank	17,192.16
0288	Mobile Home Cooperative Fund	Key Bank	18,212.59
0290	Low Rent Lease Account	Key Bank	205,020.93
0292	Homeless Housing Initiatives	Key Bank	139,149.05
0295	Castleton Park Escrow	Key Bank	31,612.09
0296	NYC Contribution PHHF	Key Bank	34,427.61
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	55,130.80
0460	Escrow Account	M&T Bank	1,599,560.21
0461	Housing Modernization Account	M&T Bank	1,147,895.09
0462	General Custodial Account	M&T Bank	2,778,180.61
0463	General Administrative Account	M&T Bank	3,935,347.68
0464	Turnkey Account	M&T Bank	45,887.87
0465	Home Program Account	M&T Bank	2,376,669.01
0466	Homes for Working Families Account	M&T Bank	1,225,370.84
0467	Section 8 Administrative Account	M&T Bank	6,959,667.10
0468	Small Cities Administrative Account	M&T Bank	1,504,857.13
0469	OCR Community Miscellaneous Programs Account	M&T Bank	2,200,452.39
0470	HCV Main Account	Bank of America, N.A.	4,535,853.07
0471	Empire State Relief Fund	M&T Bank	1,623,297.31
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,698,583.95

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0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	2,428,314.69
0476	Voucher Fee Account	Bank of America, N.A.	35,845.83
0477	Five-Year Mainstream Account	Bank of America, N.A.	524,696.75
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	658,300.91
0891	Small Cities Community Development Block Grant Program	M&T Bank	179,690.66
0892	Disaster Recovery Initiative Account	M&T Bank	5,253,249.50
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	144.44
0895	HTFC Storm Recovery Payment	M&T Bank	2,474,862.28
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	125,010.36
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	194,489.10
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	797,281.27
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	901,203.12
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	20,406,970.15
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,716,692.54
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	109,141,530.04
0053	State Insurance Fund	JPMorgan Chase Bank, N.A.	0.00
0054	Disability Benefits Fund	JPMorgan Chase Bank, N.A.	0.00
0054	State Insurance Fund	Bank of America, N.A.	1,561,362.59
0055	Aggregate Trust Fund	JPMorgan Chase Bank, N.A.	0.00
0055	State Insurance Fund	Bank of America, N.A.	2,134,970.47
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	46,043.02
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	387,412.51
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,134.04
0870	Deductions Account	Key Bank	197,667.16
0871	Bio-Tech Incubator at Farmingdale	Key Bank	23,505.65
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	(1,053,856.88)
0007	Special Disability Fund	Bank of America, N.A.	(5,001,226.54)
0008	Special Fund for Disability Benefits	Key Bank	125,806.89
0008	Special Fund for Disability Benefits	Bank of America, N.A.	179,357.41
0009	Special Disability Fund Assessments Receipt	Bank of America, N.A.	0.00
0010	Special Disability Fund Clearing	Bank of America, N.A.	0.00
0011	Uninsured Employers Fund	Bank of America, N.A.	(28,340.85)
0012	WCB Asset Transfer Account - Mac 12	Bank of America, N.A.	53,184.36
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	142,630,206.82

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

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STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Section 8(9-a) of the State Finance Law)

February 2015

THOMAS P. DiNAPOLI
STATE COMPTROLLER

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STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

February 28, 2015

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR			
		MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2014	11 MOS. ENDED FEB. 28, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(5)	$ 2,553.7	$ 27,395.4	$ 3.0	$ 3,247.6	$ 852.2	$ 10,214.3	$ -	$ -	$ 3,408.9	$ 40,857.3	$ 3,158.8	$ 40,400.5	$ 456.8	1.1%
Consumption/Use Taxes		435.8	6,068.0	127.1	1,908.5	400.8	5,480.6	42.7	553.1	1,006.4	14,010.2	1,000.9	13,758.1	252.1	1.8%
Business Taxes		57.7	3,944.3	39.4	1,183.1	-	-	51.2	604.4	148.3	5,731.8	139.1	5,619.7	112.1	2.0%
Other Taxes		49.9	1,044.4	147.9	1,159.7	78.6	855.0	11.9	107.2	288.3	3,166.3	317.7	3,160.9	5.4	0.2%
Miscellaneous Receipts		172.8	7,117.8	1,488.1	15,030.6	63.3	475.6	90.5	2,348.8	1,814.7	24,972.8	2,034.6	21,869.6	3,103.2	14.2%
Federal Receipts		-	1.4	3,911.1	40,941.8	34.7	71.3	285.2	1,879.0	4,231.0	42,893.5	3,214.5	39,932.2	2,961.3	7.4%
Total Receipts		**3,269.9**	**45,571.3**	**5,716.6**	**63,471.3**	**1,429.6**	**17,096.8**	**481.5**	**5,492.5**	**10,897.6**	**131,631.9**	**9,865.6**	**124,741.0**	**6,890.9**	**5.5%**
DISBURSEMENTS:															
Local Assistance Grants:	(3)														
Education		904.3	15,762.1	475.2	9,263.3	-	-	0.1	17.0	1,379.6	25,042.4	1,345.0	23,761.1	1,281.3	5.4%
Environment and Recreation		0.4	4.7	0.8	5.4	-	-	189.3	258.7	190.5	268.8	17.0	428.0	(159.2)	-37.2%
General Government		4.3	938.0	14.8	232.6	-	-	5.1	98.0	24.2	1,268.6	25.4	1,265.6	3.0	0.2%
Public Health:															
Medicaid		1,208.9	11,749.5	2,747.1	31,126.8	-	-	-	-	3,956.0	42,876.3	3,446.5	38,422.7	4,453.6	11.6%
Other Public Health		105.0	774.4	227.7	3,444.4	-	-	4.5	81.1	337.2	4,299.9	448.2	4,921.8	(621.9)	-12.6%
Public Safety		35.1	264.4	52.6	2,263.6	-	-	26.0	26.0	113.7	2,554.0	96.4	2,025.2	528.8	26.1%
Public Welfare		216.7	2,406.7	281.4	3,939.8	-	-	-	81.7	498.1	6,428.2	340.3	6,969.4	(541.2)	-7.8%
Support and Regulate Business		14.8	87.1	7.4	227.9	-	-	11.6	224.3	33.8	539.3	31.6	676.7	(137.4)	-20.3%
Transportation		10.5	97.6	280.9	4,524.6	-	-	24.1	877.7	315.5	5,499.9	338.3	5,229.6	270.3	5.2%
Total Local Assistance Grants		**2,500.0**	**32,084.5**	**4,087.9**	**55,028.4**	**-**	**-**	**260.7**	**1,664.5**	**6,848.6**	**88,777.4**	**6,088.7**	**83,700.1**	**5,077.3**	**6.1%**
Departmental Operations:															
Personal Service		449.9	5,389.9	559.6	6,773.2	-	-	-	-	1,009.5	12,163.1	964.5	11,970.6	192.5	1.6%
Non-Personal Service		151.1	1,515.0	471.0	4,414.5	1.5	26.9	-	-	623.6	5,956.4	611.6	5,850.9	105.5	1.8%
General State Charges		(97.9)	4,492.2	413.9	2,267.1	-	-	-	-	316.0	6,759.3	581.0	6,691.4	67.9	1.0%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	552.2	3,397.4	-	-	552.2	3,397.4	528.6	4,068.6	(671.2)	-16.5%
Capital Projects	(1)	-	-	-	1.2	-	-	453.6	4,982.0	453.6	4,983.2	440.3	5,014.6	(31.4)	-0.6%
Total Disbursements		**3,003.1**	**43,481.6**	**5,532.4**	**68,484.4**	**553.7**	**3,424.3**	**714.3**	**6,646.5**	**9,803.5**	**122,036.8**	**9,214.7**	**117,296.2**	**4,740.6**	**4.0%**
Excess (Deficiency) of Receipts over Disbursements		**266.8**	**2,089.7**	**184.2**	**(5,013.1)**	**875.9**	**13,672.5**	**(232.8)**	**(1,154.0)**	**1,094.1**	**9,595.1**	**650.9**	**7,444.8**	**2,150.3**	**28.9%**
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2)	819.8	14,240.1	318.9	6,527.7	384.9	3,608.0	179.6	1,517.8	1,703.2	25,893.6	1,316.6	25,907.6	(14.0)	-0.1%
Transfers to Other Funds	(2)	(231.2)	(7,347.1)	(462.2)	(2,340.5)	(982.7)	(15,245.1)	(31.7)	(1,019.5)	(1,707.8)	(25,952.2)	(1,319.9)	(25,947.5)	4.7	0.0%
Total Other Financing Sources (Uses)		**588.6**	**6,893.0**	**(143.3)**	**4,187.2**	**(597.8)**	**(11,637.1)**	**147.9**	**498.3**	**(4.6)**	**(58.6)**	**(3.3)**	**(39.9)**	**(18.7)**	**-46.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**855.4**	**8,982.7**	**40.9**	**(825.9)**	**278.1**	**2,035.4**	**(84.9)**	**(655.7)**	**1,089.5**	**9,536.5**	**647.6**	**7,404.9**	**2,131.6**	**28.8%**
Beginning Fund Balances (Deficits)	(4)	**10,362.5**	**2,235.2**	**1,496.1**	**2,362.9**	**1,822.4**	**65.1**	**(1,199.5)**	**(628.7)**	**12,481.5**	**4,034.5**	**10,633.7**	**3,876.4**	**158.1**	**4.1%**
Ending Fund Balances (Deficits)		**$ 11,217.9**	**$ 11,217.9**	**$ 1,537.0**	**$ 1,537.0**	**$ 2,100.5**	**$ 2,100.5**	**$ (1,284.4)**	**$ (1,284.4)**	**$ 13,571.0**	**$ 13,571.0**	**$ 11,281.3**	**$ 11,281.3**	**$ 2,289.7**	**20.3%**

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STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2014	11 MOS. ENDED FEB. 28, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(5)	$ 2,553.7	$ 27,395.4	$ 3.0	$ 3,247.6	$ 852.2	$ 10,214.3	$ 3,408.9	$ 40,857.3	$ 3,158.8	$ 40,400.5	$ 456.8	1.1%
Consumption/Use Taxes		435.8	6,068.0	127.1	1,908.5	400.8	5,480.6	963.7	13,457.1	962.1	13,227.9	229.2	1.7%
Business Taxes		57.7	3,944.3	39.4	1,183.1	-	-	97.1	5,127.4	87.4	5,020.8	106.6	2.1%
Other Taxes		49.9	1,044.4	147.9	1,159.7	78.6	855.0	276.4	3,059.1	305.8	3,053.7	5.4	0.2%
Miscellaneous Receipts		172.8	7,117.8	1,477.1	14,864.6	63.3	475.6	1,713.2	22,458.0	1,862.9	18,363.6	4,094.4	22.3%
Federal Receipts		-	1.4	(0.1)	-	34.7	71.3	34.6	72.7	35.1	71.4	1.3	1.8%
Total Receipts		**3,269.9**	**45,571.3**	**1,794.4**	**22,363.5**	**1,429.6**	**17,096.8**	**6,493.9**	**85,031.6**	**6,412.1**	**80,137.9**	**4,893.7**	**6.1%**
DISBURSEMENTS:													
Local Assistance Grants:	(3)												
Education		904.3	15,762.1	145.6	6,327.7	-	-	1,049.9	22,089.8	1,152.2	20,457.2	1,632.6	8.0%
Environment and Recreation		0.4	4.7	0.7	4.2	-	-	1.1	8.9	1.5	10.0	(1.1)	-11.0%
General Government		4.3	938.0	11.9	176.4	-	-	16.2	1,114.4	22.3	1,153.4	(39.0)	-3.4%
Public Health:													
Medicaid		1,208.9	11,749.5	305.0	4,631.6	-	-	1,513.9	16,381.1	1,362.2	15,799.5	581.6	3.7%
Other Public Health		105.0	774.4	127.4	1,997.7	-	-	232.4	2,772.1	258.2	3,038.9	(266.8)	-8.8%
Public Safety		35.1	264.4	(16.9)	92.4	-	-	18.2	356.8	22.5	257.1	99.7	38.8%
Public Welfare		216.7	2,406.7	(0.3)	4.3	-	-	216.4	2,411.0	107.3	2,449.0	(38.0)	-1.6%
Support and Regulate Business		14.8	87.1	7.3	222.0	-	-	22.1	309.1	19.1	340.3	(31.2)	-9.2%
Transportation		10.5	97.6	275.5	4,471.9	-	-	286.0	4,569.5	294.2	4,480.8	88.7	2.0%
Total Local Assistance Grants		**2,500.0**	**32,084.5**	**856.2**	**17,928.2**	**-**	**-**	**3,356.2**	**50,012.7**	**3,239.5**	**47,986.2**	**2,026.5**	**4.2%**
Departmental Operations:													
Personal Service		449.9	5,389.9	517.2	6,219.4	-	-	967.1	11,609.3	917.9	11,403.7	205.6	1.8%
Non-Personal Service		151.1	1,515.0	306.0	3,229.9	1.5	26.9	458.6	4,771.8	475.6	4,864.9	(93.1)	-1.9%
General State Charges		(97.9)	4,492.2	355.3	1,975.0	-	-	257.4	6,467.2	526.3	6,391.5	75.7	1.2%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	552.2	3,397.4	552.2	3,397.4	528.6	4,068.6	(671.2)	-16.5%
Capital Projects	(1)	-	-	-	1.2	-	-	-	1.2	0.4	5.7	(4.5)	-78.9%
Total Disbursements		**3,003.1**	**43,481.6**	**2,034.7**	**29,353.7**	**553.7**	**3,424.3**	**5,591.5**	**76,259.6**	**5,688.3**	**74,720.6**	**1,539.0**	**2.1%**
Excess (Deficiency) of Receipts over Disbursements		**266.8**	**2,089.7**	**(240.3)**	**(6,990.2)**	**875.9**	**13,672.5**	**902.4**	**8,772.0**	**723.8**	**5,417.3**	**3,354.7**	**61.9%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	819.8	14,240.1	334.2	6,936.9	384.9	3,608.0	1,538.9	24,785.0	1,272.8	25,544.6	(759.6)	-3.0%
Transfers to Other Funds	(2)	(231.2)	(7,347.1)	(87.7)	(515.7)	(982.7)	(15,245.1)	(1,301.6)	(23,107.9)	(1,224.6)	(23,063.9)	44.0	0.2%
Total Other Financing Sources (Uses)		**588.6**	**6,893.0**	**246.5**	**6,421.2**	**(597.8)**	**(11,637.1)**	**237.3**	**1,677.1**	**48.2**	**2,480.7**	**(803.6)**	**-32.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**855.4**	**8,982.7**	**6.2**	**(569.0)**	**278.1**	**2,035.4**	**1,139.7**	**10,449.1**	**772.0**	**7,898.0**	**2,551.1**	**32.3%**
Beginning Fund Balances (Deficits)	(4)	**10,362.5**	**2,235.2**	**1,913.6**	**2,488.8**	**1,822.4**	**65.1**	**14,098.5**	**4,789.1**	**11,485.5**	**4,359.5**	**429.6**	**9.9%**
Ending Fund Balances (Deficits)		**$ 11,217.9**	**$ 11,217.9**	**$ 1,919.8**	**$ 1,919.8**	**$ 2,100.5**	**$ 2,100.5**	**$ 15,238.2**	**$ 15,238.2**	**$ 12,257.5**	**$ 12,257.5**	**$ 2,980.7**	**24.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

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GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
February 2015

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$71.5	million
Urban Development Corporation (Youth Facilities)	7.6	
Housing Finance Agency (HFA)	97.2	
Housing Assistance Fund	14.0	
Dormitory Authority (Mental Hygiene)	426.7	
Dormitory Authority and State University Income Fund	597.3	
Federal Capital Projects	372.2	
State bond and note proceeds	93.9	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$1,349.5	million
General Debt Service Fund	848.7	
Alcohol Beverage Control	14.9	
Banking Services Account	27.6	
Centralized Technical Services	7.0	
Certificates of Participation	15.3	
Court Facilities Incentive Aid Fund	106.8	
Dedicated Highway & Bridge Trust Fund	49.5	
Dedicated Mass Transportation - Non MTA	3.8	
Empire State Stem Cell Trust	5.8	
Financial Crimes Revenue Account	14.3	
Housing Debt Service Fund	2.8	
Indigent Legal Services Fund	33.4	
Mental Hygiene Patient Income Account	787.7	
Mental Hygiene Program Fund	1,071.2	
MTA Financial Assistance Fund	330.0	
MTA Operating Assistance Fund	39.9	
NYC County Courts Operating Fund	5.4	
Railroad Account	6.6	
Spinal Cord Injury Account	5.0	
SUNY - Hospital IFR	78.0	
SUNY - Income Fund	980.2	
Tax Revenue Arrearage Account	3.0	
Transit Authority Fund	36.7	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds ($14.6m), the State University Income Fund ($209.0m), the Mental Hygiene Program Account ($1,295.5m) and Miscellaneous State Special Revenue Account ($0.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of February 28, 2015 - pursuant to a certification of the Budget Director - the reserve amount is $268.2m, which is funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of ($1,696.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds ($118.8m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	$32.9	million
Criminal Justice Improvement Account	8.2	
Dept of Labor - Fee & Penalty Account	8.4	
Federal Dept of Health & Human Services Fund	103.7	
Federal Education Fund	0.7	
Federal USDA/Food and Nutrition Services Fund	24.0	
Legal Services Assistance Account	2.0	
MTA Financial Assistance Account	0.7	
Professional Education Services Account	2.8	
Quality of Care Account	53.0	
Revenue Arrearage Account	22.9	
State Police Motor Vehicle Law Fund	70.0	
State Miscellaneous Special Revenue Fund	7.2	
Statewide Public Safety Communications Account	50.0	
Surplus Property Account	3.0	
SUNY Income Fund	38.9	
Tribal State Compact Fund	15.0	
Unemployment Insurance - Interest & Penalty Account	3.2	
Vital Records Management Fund	2.3	
Youth Facilities Per Diem Account	3.4	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$7,846.5	
Local Government Assistance Tax Fund	2,479.2	million
Sales Tax Revenue Bond Tax Fund	2,653.2	
Clean Water/Clean Air Fund	786.4	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health ($136.0m) and Mental Hygiene ($1,343.9m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($19.2m), the General Debt Service Fund ($870.0m) and the Revenue Bond Tax Fund ($114.7m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	$ -	$ 3,097,339
Medicaid Recoveries -Audit	-	1,877,300
Medicaid Recoveries - Third Parties	-	14,650,907
Pharmacy Rebates	215,158	1,255,168
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	**$ 215,158**	**$20,880,714**

GOVERNMENTAL FUNDS FOOTNOTES

4. Pursuant to Section 70 of the State Finance Law, the SUNY Dormitory Fund (40350-40399) has been reclassified from a Debt Service Fund to a Special Revenue Fund. For the current fiscal year, all the activity will be presented in a Special Revenue Fund using the same fund numbers. The beginning fund balances have been modified to reflect this change.

5. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments were ($424.2m) in June, ($203.3m) in September, ($4.5m) in October, ($25.2m) in November and ($124.1m) in December, ($2,463.3m) in January and ($3.0m) for the month of February.

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STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2014	11 MOS. ENDED FEB. 28, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 4.5	$ 98.4	$ 51.8	$ 412.2	$ 56.3	$ 510.6	$ 38.9	$ 540.4	$ (29.8)	-5.5%
Federal Receipts	3.7	42.7	-	-	3.7	42.7	4.5	1,431.6	(1,388.9)	-97.0%
Unemployment Taxes	226.2	2,228.3	-	-	226.2	2,228.3	260.7	2,738.1	(509.8)	-18.6%
Total Receipts	**234.4**	**2,369.4**	**51.8**	**412.2**	**286.2**	**2,781.6**	**304.1**	**4,710.1**	**(1,928.5)**	**-40.9%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.3	6.0	6.0	79.6	6.3	85.6	9.0	106.6	(21.0)	-19.7%
Non-Personal Service	3.6	108.6	47.1	493.5	50.7	602.1	55.1	591.6	10.5	1.8%
General State Charges	0.2	1.0	11.3	49.8	11.5	50.8	9.0	58.8	(8.0)	-13.6%
Unemployment Benefits	230.0	2,240.4	-	-	230.0	2,240.4	264.6	4,166.6	(1,926.2)	-46.2%
Total Disbursements	**234.1**	**2,356.0**	**64.4**	**622.9**	**298.5**	**2,978.9**	**337.7**	**4,923.6**	**(1,944.7)**	**-39.5%**
Excess (Deficiency) of Receipts Over Disbursements	**0.3**	**13.4**	**(12.6)**	**(210.7)**	**(12.3)**	**(197.3)**	**(33.6)**	**(213.5)**	**16.2**	**7.6%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	3.4	49.8	3.4	49.8	3.4	65.8	(16.0)	-24.3%
Transfers to Other Funds	-	(0.3)	-	(17.7)	-	(18.0)	(0.1)	(18.4)	(0.4)	-2.2%
Total Other Financing Sources (Uses)	**-**	**(0.3)**	**3.4**	**32.1**	**3.4**	**31.8**	**3.3**	**47.4**	**(15.6)**	**-32.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**0.3**	**13.1**	**(9.2)**	**(178.6)**	**(8.9)**	**(165.5)**	**(30.3)**	**(166.1)**	**0.6**	**0.4%**
Beginning Fund Balances (Deficits)	**75.3**	**62.5**	**(242.1)**	**(72.7)**	**(166.8)**	**(10.2)**	**(58.5)**	**77.3**	**(87.5)**	**-113.2%**
Ending Fund Balances (Deficits)	**$ 75.6**	**$ 75.6**	**$ (251.3)**	**$ (251.3)**	**$ (175.7)**	**$ (175.7)**	**$ (88.8)**	**$ (88.8)**	**$ (86.9)**	**-97.9%**

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STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2014	11 MOS. ENDED FEB. 28, 2014	$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 4.6	$ 87.2	$ 0.1	$ 0.9	$ 4.7	$ 88.1	$ 4.5	$ 94.7	$ (6.6)	-7.0%
Total Receipts	**4.6**	**87.2**	**0.1**	**0.9**	**4.7**	**88.1**	**4.5**	**94.7**	**(6.6)**	**-7.0%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	4.6	51.4	-	0.2	4.6	51.6	2.1	50.3	1.3	2.6%
Non-Personal Service	2.6	15.6	-	-	2.6	15.6	0.6	19.6	(4.0)	-20.4%
General State Charges	7.0	28.7	-	0.1	7.0	28.8	-	31.9	(3.1)	-9.7%
Total Disbursements	**14.2**	**95.7**	**-**	**0.3**	**14.2**	**96.0**	**2.7**	**101.8**	**(5.8)**	**-5.7%**
Excess (Deficiency) of Receipts Over Disbursements	**(9.6)**	**(8.5)**	**0.1**	**0.6**	**(9.5)**	**(7.9)**	**1.8**	**(7.1)**	**(0.8)**	**-11.3%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(9.6)**	**(8.5)**	**0.1**	**0.6**	**(9.5)**	**(7.9)**	**1.8**	**(7.1)**	**(0.8)**	**-11.3%**
Beginning Fund Balances (Deficits)	**(2.8)**	**(3.9)**	**11.4**	**10.9**	**8.6**	**7.0**	**(2.3)**	**6.6**	**0.4**	**6.1%**
Ending Fund Balances (Deficits)	**$ (12.4)**	**$ (12.4)**	**$ 11.5**	**$ 11.5**	**$ (0.9)**	**$ (0.9)**	**$ (0.5)**	**$ (0.5)**	**$ (0.4)**	**-80.0%**

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STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 40,746.0	$ 40,800.0	$ 40,857.3	$ 111.3	$ 57.3
Consumption/Use	13,990.0	14,039.0	14,010.2	20.2	(28.8)
Business	5,240.0	5,710.0	5,731.8	491.8	21.8
Other	3,153.0	3,195.0	3,166.3	13.3	(28.7)
Miscellaneous Receipts	21,919.0	24,932.0	24,972.8	3,053.8	40.8
Federal Receipts	41,144.0	41,757.0	42,893.5	1,749.5	1,136.5
Total Receipts	**126,192.0**	**130,433.0**	**131,631.9**	**5,439.9**	**1,198.9**
DISBURSEMENTS:					
Local Assistance Grants	87,427.0	88,772.0	88,777.4	1,350.4	5.4
Departmental Operations	18,375.0	18,120.0	18,119.5	(255.5)	(0.5)
General State Charges	7,124.0	6,919.0	6,759.3	(364.7)	(159.7)
Debt Service	3,395.0	3,400.0	3,397.4	2.4	(2.6)
Capital Projects	5,082.0	4,973.0	4,983.2	(98.8)	10.2
Total Disbursements	**121,403.0**	**122,184.0**	**122,036.8**	**633.8**	**(147.2)**
Excess (Deficiency) of Receipts over Disbursements	**4,789.0**	**8,249.0**	**9,595.1**	**4,806.1**	**1,346.1**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	25,788.0	26,126.0	25,893.6	105.6	(232.4)
Transfers to Other Funds	(25,882.0)	(26,182.0)	(25,952.2)	70.2	(229.8)
Total Other Financing Sources (Uses)	**(94.0)**	**(56.0)**	**(58.6)**	**35.4**	**(2.6)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,695.0**	**8,193.0**	**9,536.5**	**4,841.5**	**1,343.5**
Fund Balances (Deficits) at April 1	**4,035.0**	**4,035.0**	**4,034.5**	**(0.5)**	**(0.5)**
Fund Balances (Deficits) at February 28, 2015	**$ 8,730.0**	**$ 12,228.0**	**$ 13,571.0**	**$ 4,841.0**	**$ 1,343.0**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 40,746.0	$ 40,800.0	$ 40,857.3	$ 111.3	$ 57.3
Consumption/Use	13,450.0	13,492.0	13,457.1	7.1	(34.9)
Business	4,644.0	5,113.0	5,127.4	483.4	14.4
Other	3,045.0	3,087.0	3,059.1	14.1	(27.9)
Miscellaneous Receipts	18,034.0	22,363.0	22,458.0	4,424.0	95.0
Federal Receipts	39.0	41.0	72.7	33.7	31.7
Total Receipts	**79,958.0**	**84,896.0**	**85,031.6**	**5,073.6**	**135.6**
DISBURSEMENTS:					
Local Assistance Grants	50,646.0	50,126.0	50,012.7	(633.3)	(113.3)
Departmental Operations	16,706.0	16,443.0	16,381.1	(324.9)	(61.9)
General State Charges	6,873.0	6,637.0	6,467.2	(405.8)	(169.8)
Debt Service	3,395.0	3,400.0	3,397.4	2.4	(2.6)
Capital Projects	-	-	1.2	1.2	1.2
Total Disbursements	**77,620.0**	**76,606.0**	**76,259.6**	**(1,360.4)**	**(346.4)**
Excess (Deficiency) of Receipts over Disbursements	**2,338.0**	**8,290.0**	**8,772.0**	**6,434.0**	**482.0**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	25,131.0	24,499.0	24,785.0 (****)	(346.0)	286.0
Transfers to Other Funds	(22,457.0)	(23,128.0)	(23,107.9) (****)	650.9	(20.1)
Total Other Financing Sources (Uses)	**2,674.0**	**1,371.0**	**1,677.1**	**(996.9)**	**306.1**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**5,012.0**	**9,661.0**	**10,449.1**	**5,437.1**	**788.1**
Fund Balances (Deficits) at April 1	**4,789.0**	**4,789.0**	**4,789.1**	**0.1**	**0.1**
Fund Balances (Deficits) at February 28, 2015	**$ 9,801.0**	**$ 14,450.0**	**$ 15,238.2**	**$ 5,437.2**	**$ 788.2**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 27,140.0	$ 27,356.0	$ 27,395.4	$ 255.4	$ 39.4
Consumption/Use	6,022.0	6,086.0	6,068.0	46.0	(18.0)
Business	3,483.0	3,923.0	3,944.3	461.3	21.3
Other	1,096.0	1,091.0	1,044.4	(51.6)	(46.6)
Miscellaneous Receipts	2,979.0	7,145.0	7,117.8	4,138.8	(27.2)
Federal Receipts	-	2.0	1.4	1.4	(0.6)
Transfers From:					
PIT in excess of Revenue Bond Debt Service	7,823.0	7,831.0	7,846.5	23.5	15.5
Sales Tax in excess of LGAC / STRBF Debt Service	5,094.0	5,146.0	5,132.4	38.4	(13.6)
Real Estate Taxes in excess of CW/CA Debt Service	712.0	773.0	786.4	74.4	13.4
All Other	745.0	479.0	474.8	(270.2)	(4.2)
Total Receipts and Other Financing Sources	**55,094.0**	**59,832.0**	**59,811.4**	**4,717.4**	**(20.6)**
DISBURSEMENTS:					
Local Assistance Grants	32,909.0	32,124.0	32,084.5	(824.5)	(39.5)
Departmental Operations	7,115.0	6,932.0	6,904.9	(210.1)	(27.1)
General State Charges	5,013.0	4,695.0	4,492.2	(520.8)	(202.8)
Transfers To:					
Debt Service	852.0	852.0	848.7	(3.3)	(3.3)
Capital Projects	356.0	1,398.0	1,349.5	993.5	(48.5)
State Share Medicaid	1,520.0	1,385.0	1,519.2 (***)	(0.8)	134.2
SUNY Operations	978.0	981.0	980.2	2.2	(0.8)
Other Purposes	2,878.0	2,823.0	2,649.5	(228.5)	(173.5)
Total Disbursements and Other Financing Uses	**51,621.0**	**51,190.0**	**50,828.7**	**(792.3)**	**(361.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,473.0**	**8,642.0**	**8,982.7**	**5,509.7**	**340.7**
Fund Balances (Deficits) at April 1	**2,235.0**	**2,235.0**	**2,235.2**	**0.2**	**0.2**
Fund Balances (Deficits) at February 28, 2015	**$ 5,708.0**	**$ 10,877.0**	**$ 11,217.9**	**$ 5,509.9**	**$ 340.9**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

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STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL **(continued)**
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

	SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 3,419.0	$ 3,244.0	$ 3,247.6	$ (171.4)	$ 3.6
Consumption/Use	1,951.0	1,909.0	1,908.5	(42.5)	(0.5)
Business	1,161.0	1,190.0	1,183.1	22.1	(6.9)
Other	1,167.0	1,156.0	1,159.7	(7.3)	3.7
Miscellaneous Receipts	14,681.0	14,940.0	15,030.6	349.6	90.6
Federal Receipts	39,180.0	39,851.0	40,941.8	1,761.8	1,090.8
Transfers from Other Funds(***)	7,201.0	6,963.0	6,527.7	(673.3)	(435.3)
Total Receipts and Other Financing Sources	**68,760.0**	**69,253.0**	**69,999.0**	**1,239.0**	**746.0**
DISBURSEMENTS:					
Local Assistance Grants	52,752.0	54,924.0	55,028.4	2,276.4	104.4
Departmental Operations	11,228.0	11,159.0	11,187.7	(40.3)	28.7
General State Charges	2,111.0	2,224.0	2,267.1	156.1	43.1
Capital Projects	-	-	1.2	1.2	1.2
Transfers to Other Funds(***)	2,757.0	2,450.0	2,340.5	(416.5)	(109.5)
Total Disbursements and Other Financing Uses	**68,848.0**	**70,757.0**	**70,824.9**	**1,976.9**	**67.9**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(88.0)**	**(1,504.0)**	**(825.9)**	**(737.9)**	**678.1**
Fund Balances (Deficits) at April 1	**2,364.0**	**2,364.0**	**2,362.9**	**(1.1)**	**(1.1)**
Fund Balances (Deficits) at February 28, 2015	**$ 2,276.0**	**$ 860.0**	**$ 1,537.0**	**$ (739.0)**	**$ 677.0**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue -State and Federal Funds. The Financial Plan reported transfer amounts that do not include eliminations.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 3,419.0	$ 3,244.0	$ 3,247.6	$ (171.4)	$ 3.6	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,951.0	1,909.0	1,908.5	(42.5)	(0.5)	-	-	-	-	-
Business	1,161.0	1,190.0	1,183.1	22.1	(6.9)	-	-	-	-	-
Other	1,167.0	1,156.0	1,159.7	(7.3)	3.7	-	-	-	-	-
Miscellaneous Receipts	14,649.0	14,771.0	14,864.6	215.6	93.6	32.0	169.0	166.0	134.0	(3.0)
Federal Receipts	-	-	-	-	-	39,180.0	39,851.0	40,941.8	1,761.8	1,090.8
Transfers from Other Funds(***)	7,201.0	6,963.0	6,527.7	(673.3)	(435.3)	-	-	-	-	-
Total Receipts and Other Financing Sources	**29,548.0**	**29,233.0**	**28,891.2**	**(656.8)**	**(341.8)**	**39,212.0**	**40,020.0**	**41,107.8**	**1,895.8**	**1,087.8**
DISBURSEMENTS:										
Local Assistance Grants	17,737.0	18,002.0	17,928.2	191.2	(73.8)	35,015.0	36,922.0	37,100.2	2,085.2	178.2
Departmental Operations	9,559.0	9,482.0	9,449.3	(109.7)	(32.7)	1,669.0	1,677.0	1,738.4	69.4	61.4
General State Charges	1,860.0	1,942.0	1,975.0	115.0	33.0	251.0	282.0	292.1	41.1	10.1
Capital Projects	-	-	1.2	1.2	1.2	-	-	-	-	-
Transfers to Other Funds(***)	594.0	522.0	515.7	(78.3)	(6.3)	2,163.0	1,928.0	1,824.8	(338.2)	(103.2)
Total Disbursements and Other Financing Uses	**29,750.0**	**29,948.0**	**29,869.4**	**119.4**	**(78.6)**	**39,098.0**	**40,809.0**	**40,955.5**	**1,857.5**	**146.5**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(202.0)**	**(715.0)**	**(978.2)**	**(776.2)**	**(263.2)**	**114.0**	**(789.0)**	**152.3**	**38.3**	**941.3**
Fund Balances (Deficits) at April 1	**2,489.0**	**2,489.0**	**2,488.8**	**(0.2)**	**(0.2)**	**(125.0)**	**(125.0)**	**(125.9)**	**(0.9)**	**(0.9)**
Fund Balances (Deficits) at February 28, 2015	**$ 2,287.0**	**$ 1,774.0**	**$ 1,510.6**	**$ (776.4)**	**$ (263.4)**	**$ (11.0)**	**$ (914.0)**	**$ 26.4**	**$ 37.4**	**$ 940.4**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue -State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

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STATE OF NEW YORK **EXHIBIT D**

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL **(continued)**

STATE FISCAL YEAR ENDED MARCH 31, 2015

FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015

(Amounts in millions)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 10,187.0	$ 10,200.0	$ 10,214.3	$ 27.3	$ 14.3
Consumption/Use	5,477.0	5,497.0	5,480.6	3.6	(16.4)
Other	782.0	840.0	855.0	73.0	15.0
Miscellaneous Receipts	406.0	447.0	475.6	69.6	28.6
Federal Receipts	39.0	39.0	71.3	32.3	32.3
Transfers from Other Funds	3,556.0	3,307.0	3,608.0	52.0	301.0
Total Receipts and Other Financing Sources	**20,447.0**	**20,330.0**	**20,704.8**	**257.8**	**374.8**
DISBURSEMENTS:					
Departmental Operations	32.0	29.0	26.9	(5.1)	(2.1)
Debt Service	3,395.0	3,400.0	3,397.4	2.4	(2.6)
Transfers to Other Funds	15,279.0	15,167.0	15,245.1	(33.9)	78.1
Total Disbursements and Other Financing Uses	**18,706.0**	**18,596.0**	**18,669.4**	**(36.6)**	**73.4**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,741.0**	**1,734.0**	**2,035.4**	**294.4**	**301.4**
Fund Balances (Deficits) at April 1	**65.0**	**65.0**	**65.1**	**0.1**	**0.1**
Fund Balances (Deficits) at February 28, 2015	**$ 1,806.0**	**$ 1,799.0**	**$ 2,100.5**	**$ 294.5**	**$ 301.5**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.

(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Consumption/Use	$ 540.0	$ 547.0	$ 553.1	$ 13.1	$ 6.1
Business	596.0	597.0	604.4	8.4	7.4
Other	108.0	108.0	107.2	(0.8)	(0.8)
Miscellaneous Receipts	3,853.0	2,400.0	2,348.8	(1,504.2)	(51.2)
Federal Receipts	1,925.0	1,865.0	1,879.0	(46.0)	14.0
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds(***)	657.0	1,627.0	1,517.8	860.8	(109.2)
Total Receipts and Other Financing Sources	**7,679.0**	**7,144.0**	**7,010.3**	**(668.7)**	**(133.7)**
DISBURSEMENTS:					
Local Assistance Grants	1,766.0	1,724.0	1,664.5	(101.5)	(59.5)
Capital Projects	5,082.0	4,973.0	4,982.0	(100.0)	9.0
Transfers to Other Funds(***)	1,262.0	1,126.0	1,019.5	(242.5)	(106.5)
Total Disbursements and Other Financing Uses	**8,110.0**	**7,823.0**	**7,666.0**	**(444.0)**	**(157.0)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(431.0)**	**(679.0)**	**(655.7)**	**(224.7)**	**23.3**
Fund Balances (Deficits) at April 1	**(629.0)**	**(629.0)**	**(628.7)**	**0.3**	**0.3**
Fund Balances (Deficits) at February 28, 2015	**$ (1,060.0)**	**$ (1,308.0)**	**$ (1,284.4)**	**$ (224.4)**	**$ 23.6**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) Actual reported transfer amounts include eliminations between Capital Projects -State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

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STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2015
FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 540.0	$ 547.0	$ 553.1	$ 13.1	$ 6.1	$ -	$ -	$ -	$ -	$ -
Business	596.0	597.0	604.4	8.4	7.4	-	-	-	-	-
Other	108.0	108.0	107.2	(0.8)	(0.8)	-	-	-	-	-
Miscellaneous Receipts	3,853.0	2,400.0	2,347.7	(1,505.3)	(52.3)	-	-	1.1	1.1	1.1
Federal Receipts	2.0	2.0	2.4	0.4	0.4	1,923.0	1,863.0	1,876.6	(46.4)	13.6
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds(***)	657.0	1,627.0	1,517.8	860.8	(109.2)	-	-	-	-	-
Total Receipts and Other Financing Sources	**5,756.0**	**5,281.0**	**5,132.6**	**(623.4)**	**(148.4)**	**1,923.0**	**1,863.0**	**1,877.7**	**(45.3)**	**14.7**
DISBURSEMENTS:										
Local Assistance Grants	1,183.0	1,052.0	1,032.5	(150.5)	(19.5)	583.0	672.0	632.0	49.0	(40.0)
Capital Projects	4,100.0	3,920.0	3,931.1	(168.9)	11.1	982.0	1,053.0	1,050.9	68.9	(2.1)
Transfers to Other Funds(***)	1,009.0	1,008.0	1,003.9	(5.1)	(4.1)	253.0	118.0	15.6	(237.4)	(102.4)
Total Disbursements and Other Financing Uses	**6,292.0**	**5,980.0**	**5,967.5**	**(324.5)**	**(12.5)**	**1,818.0**	**1,843.0**	**1,698.5**	**(119.5)**	**(144.5)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(536.0)**	**(699.0)**	**(834.9)**	**(298.9)**	**(135.9)**	**105.0**	**20.0**	**179.2**	**74.2**	**159.2**
Fund Balances (Deficits) at April 1	**(420.0)**	**(420.0)**	**(444.3)**	**(24.3)**	**(24.3)**	**(209.0)**	**(209.0)**	**(184.4)**	**24.6**	**24.6**
Fund Balances (Deficits) at February 28, 2015	**$ (956.0)**	**$ (1,119.0)**	**$ (1,279.2)**	**$ (323.2)**	**$ (160.2)**	**$ (104.0)**	**$ (189.0)**	**$ (5.2)**	**$ 98.8**	**$ 183.8**

(*) Source: 2014-15 Enacted Budget dated March 31, 2014.
(**) Source: 2015-16 Executive Budget Financial Plan with 30-day amendments dated February 25, 2015.
(***) Actual reported transfer amounts include eliminations between Capital Projects -State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2015	11 MOS. ENDED FEB. 28, 2015	MONTH OF FEB. 2014	11 MOS. ENDED FEB. 28, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 4,118.6	$ 31,236.1	$ -	$ -	$ -	$ -	$ -	$ -	$ 4,118.6	$ 31,236.1	$ 4,097.5	$ 29,998.6	$ 1,237.5	4.1%
Estimated Payments	81.0	13,661.8	-	-	-	-	-	-	81.0	13,661.8	88.0	14,577.2	(915.4)	-6.3%
Returns	35.8	2,100.9	-	-	-	-	-	-	35.8	2,100.9	35.6	2,278.5	(177.6)	-7.8%
State/City Offsets	(27.2)	(558.0)	-	-	-	-	-	-	(27.2)	(558.0)	(24.3)	(591.8)	(33.8)	-5.7%
Other (Assessments/LLC)	235.9	1,189.0	-	-	-	-	-	-	235.9	1,189.0	114.1	1,006.6	182.4	18.1%
Gross Receipts	**4,444.1**	**47,629.8**	**-**	**-**	**-**	**-**	**-**	**-**	**4,444.1**	**47,629.8**	**4,310.9**	**47,269.1**	**360.7**	**0.8%**
Transfers to School Tax Relief Fund	(3.0)	(3,247.6)	3.0	3,247.6	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(852.2)	(10,214.3)	-	-	852.2	10,214.3	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(1,035.2)	(6,772.5)	-	-	-	-	-	-	(1,035.2)	(6,772.5)	(1,152.1)	(6,868.6)	(96.1)	-1.4%
Total	**2,553.7**	**27,395.4**	**3.0**	**3,247.6**	**852.2**	**10,214.3**	**-**	**-**	**3,408.9**	**40,857.3**	**3,158.8**	**40,400.5**	**456.8**	**1.1%**
CONSUMPTION/USE TAXES														
Sales and Use	401.1	5,506.0	56.4	799.0	400.8	5,480.6	-	-	858.3	11,785.6	861.7	11,426.4	359.2	3.1%
Auto Rental	-	-	-	37.5	-	-	-	61.8	-	99.3	0.1	93.5	5.8	6.2%
Cigarette/Tobacco Products	23.0	330.3	60.6	895.1	-	-	-	-	83.6	1,225.4	79.3	1,358.0	(132.6)	-9.8%
Motor Fuel	-	-	9.2	94.8	-	-	31.7	360.6	40.9	455.4	37.2	435.8	19.6	4.5%
Alcoholic Beverage	11.7	231.7	-	-	-	-	-	-	11.7	231.7	13.1	232.1	(0.4)	-0.2%
Highway Use	-	-	-	-	-	-	11.0	130.7	11.0	130.7	9.2	127.2	3.5	2.8%
Metropolitan Commuter Trans. Taxicab Trip	-	-	0.9	82.1	-	-	-	-	0.9	82.1	0.3	85.1	(3.0)	-3.5%
Total	**435.8**	**6,068.0**	**127.1**	**1,908.5**	**400.8**	**5,480.6**	**42.7**	**553.1**	**1,006.4**	**14,010.2**	**1,000.9**	**13,758.1**	**252.1**	**1.8%**
BUSINESS TAXES														
Corporation Franchise	101.1	1,679.4	13.4	353.1	-	-	-	-	114.5	2,032.5	65.8	2,462.3	(429.8)	-17.5%
Corporation and Utilities	1.6	379.5	(5.4)	87.7	-	-	(0.8)	5.3	(4.6)	472.5	5.0	494.1	(21.6)	-4.4%
Insurance	6.8	808.8	1.6	98.8	-	-	-	-	8.4	907.6	7.9	861.5	46.1	5.4%
Bank	(51.8)	1,076.6	(12.0)	164.6	-	-	-	-	(63.8)	1,241.2	(32.1)	734.8	506.4	68.9%
Petroleum Business	-	-	41.8	478.9	-	-	52.0	599.1	93.8	1,078.0	92.5	1,067.0	11.0	1.0%
Total	**57.7**	**3,944.3**	**39.4**	**1,183.1**	**-**	**-**	**51.2**	**604.4**	**148.3**	**5,731.8**	**139.1**	**5,619.7**	**112.1**	**2.0%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	(0.2)	0.2	100.0%
Estate and Gift	48.6	1,026.5	-	-	-	-	-	-	48.6	1,026.5	105.0	1,182.4	(155.9)	-13.2%
Pari-Mutuel	1.0	16.8	-	-	-	-	-	-	1.0	16.8	1.1	15.4	1.4	9.1%
Real Estate Transfer	-	-	-	-	78.6	855.0	11.9	107.2	90.5	962.2	81.8	856.6	105.6	12.3%
Racing and Exhibitions	0.3	1.1	-	-	-	-	-	-	0.3	1.1	-	0.9	0.2	22.2%
Metropolitan Commuter Trans. Mobility	-	-	147.9	1,159.7	-	-	-	-	147.9	1,159.7	129.8	1,105.8	53.9	4.9%
Total	**49.9**	**1,044.4**	**147.9**	**1,159.7**	**78.6**	**855.0**	**11.9**	**107.2**	**288.3**	**3,166.3**	**317.7**	**3,160.9**	**5.4**	**0.2%**
Total Tax Receipts	**$ 3,097.1**	**$ 38,452.1**	**$ 317.4**	**$ 7,498.9**	**$ 1,331.6**	**$ 16,549.9**	**$ 105.8**	**$ 1,264.7**	**$ 4,851.9**	**$ 63,765.6**	**$ 4,616.5**	**$ 62,939.2**	**$ 826.4**	**1.3%**

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STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 4,034.5	$ 7,574.6	$ 7,114.1	$ 7,739.2	$ 9,848.3	$ 9,668.0	$ 9,214.6	$ 9,125.4	$ 7,543.9	$ 8,698.7	$ 12,481.5		$ 4,034.5	$ 3,876.4	$ 158.1	4.1%
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,760.5	2,421.3	2,361.2	2,563.1	2,371.0	2,392.4	2,525.4	2,327.0	3,664.0	3,731.6	4,118.6		31,236.1	29,998.6	1,237.5	4.1%
Estimated payments	4,040.4	112.1	1,891.6	95.3	72.7	2,228.8	153.1	75.8	1,336.9	3,574.1	81.0		13,661.8	14,577.2	(915.4)	-6.3%
Returns	1,433.5	57.4	38.6	38.6	29.9	53.8	335.3	29.0	34.3	14.7	35.8		2,100.9	2,278.5	(177.6)	-7.8%
State/City Offsets	(124.1)	(26.9)	(13.2)	(10.4)	(10.9)	(33.9)	(210.0)	(73.2)	(15.4)	(12.8)	(27.2)		(558.0)	(591.8)	(33.8)	-5.7%
Other (Assessments/LLC)	112.2	126.9	93.9	85.7	64.1	76.8	85.5	90.8	100.9	116.3	235.9		1,189.0	1,006.6	182.4	18.1%
Gross Receipts	**8,222.5**	**2,690.8**	**4,372.1**	**2,772.3**	**2,526.8**	**4,717.9**	**2,889.3**	**2,449.4**	**5,120.7**	**7,423.9**	**4,444.1**	**-**	**47,629.8**	**47,269.1**	**360.7**	**0.8%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Refunds issued	(2,869.2)	(588.9)	(127.3)	(206.8)	(136.4)	(487.5)	(401.9)	(612.7)	(157.0)	(149.6)	(1,035.2)		(6,772.5)	(6,868.6)	(96.1)	-1.4%
Total Personal Income Tax	**5,353.3**	**2,101.9**	**4,244.8**	**2,565.5**	**2,390.4**	**4,230.4**	**2,487.4**	**1,836.7**	**4,963.7**	**7,274.3**	**3,408.9**	**-**	**40,857.3**	**40,400.5**	**456.8**	**1.1%**
Consumption/Use Taxes:																
Sales and Use	986.5	977.1	1,285.9	1,002.7	995.5	1,323.4	996.8	1,010.8	1,309.8	1,038.8	858.3		11,785.6	11,426.4	359.2	3.1%
Auto Rental	4.0	-	26.2	1.6	0.4	37.7	-	0.1	29.3	-	-		99.3	93.5	5.8	6.2%
Cigarette/Tobacco Products	119.7	111.0	112.4	124.1	120.5	119.3	116.9	104.3	117.3	96.3	83.6		1,225.4	1,358.0	(132.6)	-9.8%
Motor Fuel	41.0	46.3	37.0	40.7	49.3	41.5	41.7	36.2	39.3	41.5	40.9		455.4	435.8	19.6	4.5%
Alcoholic Beverage	19.4	19.0	22.4	26.8	18.7	22.1	18.9	21.8	19.7	31.2	11.7		231.7	232.1	(0.4)	-0.2%
Highway Use	12.9	10.5	11.2	13.3	10.1	12.6	13.7	10.2	13.7	11.5	11.0		130.7	127.2	3.5	2.8%
Metropolitan Commuter Trans. Taxicab Trip	20.9	0.3	0.4	20.4	0.7	0.5	18.8	0.4	0.2	18.6	0.9		82.1	85.1	(3.0)	-3.5%
Total Consumption/Use Taxes	**1,204.4**	**1,164.2**	**1,495.5**	**1,229.6**	**1,195.2**	**1,557.1**	**1,206.8**	**1,183.8**	**1,529.3**	**1,237.9**	**1,006.4**	**-**	**14,010.2**	**13,758.1**	**252.1**	**1.8%**
Business Taxes:																
Corporation Franchise	138.7	52.7	491.2	94.6	25.6	461.0	81.1	25.4	398.3	149.4	114.5		2,032.5	2,462.3	(429.8)	-17.5%
Corporation and Utilities	1.7	3.4	142.7	1.7	(0.6)	153.6	3.8	1.8	167.4	1.6	(4.6)		472.5	494.1	(21.6)	-4.4%
Insurance	4.7	3.4	278.4	2.6	3.9	304.2	(2.5)	8.1	295.8	0.6	8.4		907.6	861.5	46.1	5.4%
Bank	29.3	363.3	526.2	38.5	(85.9)	179.3	0.3	(14.9)	226.5	42.4	(63.8)		1,241.2	734.8	506.4	68.9%
Petroleum Business	98.8	92.3	109.1	94.5	114.3	103.8	94.7	85.0	90.7	101.0	93.8		1,078.0	1,067.0	11.0	1.0%
Total Business Taxes	**273.2**	**515.1**	**1,547.6**	**231.9**	**57.3**	**1,201.9**	**177.4**	**105.4**	**1,178.7**	**295.0**	**148.3**	**-**	**5,731.8**	**5,619.7**	**112.1**	**2.0%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-		-	(0.2)	0.2	100.0%
Estate and Gift	83.7	119.4	67.8	96.7	89.0	104.9	104.4	89.6	97.0	125.4	48.6		1,026.5	1,182.4	(155.9)	-13.2%
Pari-Mutuel	1.0	1.5	2.1	1.4	2.7	2.3	1.4	1.5	1.1	0.8	1.0		16.8	15.4	1.4	9.1%
Real Estate Transfer	73.3	72.9	90.0	81.3	95.9	87.0	92.8	90.5	92.3	95.7	90.5		962.2	856.6	105.6	12.3%
Racing and Exhibitions	0.1	-	-	0.2	0.2	-	0.1	-	0.1	0.1	0.3		1.1	0.9	0.2	22.2%
Metropolitan Commuter Trans. Mobility	128.8	96.2	79.9	98.2	85.1	81.8	101.6	86.1	110.0	144.1	147.9		1,159.7	1,105.8	53.9	4.9%
Total Other Taxes	**286.9**	**290.0**	**239.8**	**277.8**	**272.9**	**276.0**	**300.3**	**267.7**	**300.5**	**366.1**	**288.3**	**-**	**3,166.3**	**3,160.9**	**5.4**	**0.2%**
Total Taxes	**7,117.8**	**4,071.2**	**7,527.7**	**4,304.8**	**3,915.8**	**7,265.4**	**4,171.9**	**3,393.6**	**7,972.2**	**9,173.3**	**4,851.9**	**-**	**63,765.6**	**62,939.2**	**826.4**	**1.3%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.5	1.1	0.9	1.4	0.7	1.0	95.0	136.0	21.0	50.0	46.0		354.6	350.3	4.3	1.2%
Bottle Bill	0.1	-	29.5	0.1	0.2	30.5	0.3	-	20.6	0.3	0.2		81.8	88.6	(6.8)	-7.7%
Assessments:																
Business	73.2	1,099.5	156.8	17.5	69.1	145.7	28.6	37.1	106.0	75.5	11.5		1,820.5	1,090.0	730.5	67.0%
Medical Care	391.5	379.9	365.5	450.7	444.5	468.1	428.4	405.9	448.1	441.9	448.4		4,672.9	4,439.6	233.3	5.3%
Public Utilities	0.5	-	0.3	-	0.4	213.2	0.9	0.5	0.5	-	0.9		217.2	261.3	(44.1)	-16.9%
Other	16.5	17.2	17.6	17.5	18.2	16.8	17.6	17.9	15.7	18.9	17.5		191.4	189.6	1.8	0.9%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.5	4.9	4.7	5.5	4.1	4.9	5.8	4.7	4.8	5.0	5.6		56.5	60.0	(3.5)	-5.8%
Business/Professional:	65.1	70.0	124.0	68.3	99.3	221.6	111.8	88.6	149.6	104.0	118.2		1,220.5	1,243.5	(23.0)	-1.8%
Civil	22.9	17.6	24.8	19.8	4.5	18.9	31.9	15.8	25.8	45.3	19.5		246.8	247.6	(0.8)	-0.3%
Criminal	0.1	1.3	0.8	0.4	0.1	2.1	0.4	1.3	0.8	0.1	1.3		8.7	9.6	(0.9)	-9.4%
Motor Vehicle	128.5	127.1	108.8	114.8	100.8	105.8	100.0	97.4	101.1	103.5	90.5		1,178.3	1,083.8	94.5	8.7%
Recreational/Consumer	13.8	21.9	23.0	31.3	26.6	29.4	38.1	17.7	15.8	17.7	13.5		248.8	182.2	66.6	36.6%
Fines, Penalties and Forfeitures	18.6	764.2	35.9	2,270.4	127.6	372.8	29.6	389.9	268.1	21.9	59.3		4,358.3	846.0	3,512.3	415.2%
Gaming:																
Casino	4.0	1.7	0.5	48.9	-	0.8	51.2	-	41.3	11.7	-		160.1	497.6	(337.5)	-67.8%
Lottery	220.6	181.9	172.5	217.2	173.4	172.4	218.6	196.2	193.5	184.6	198.2		2,129.1	2,199.5	(70.4)	-3.2%
Video Lottery	91.5	71.7	70.1	87.3	71.1	71.3	86.7	66.0	83.8	68.2	65.0		832.7	840.9	(8.2)	-1.0%
Interest Earnings	2.5	2.4	2.6	3.2	2.0	3.4	2.5	2.0	2.6	2.4	2.7		28.3	25.3	3.0	11.9%
Receipts from Public Authorities:																
Bond Proceeds	134.6	48.2	132.6	547.3	2.8	124.9	38.2	335.9	93.1	11.1	15.1		1,483.8	2,500.6	(1,016.8)	-40.7%
Cost Recovery Assessments	-	22.6	4.7	-	-	-	5.9	-	-	-	-		33.2	34.4	(1.2)	-3.5%
Issuance Fees	13.8	0.8	2.4	15.8	2.3	3.3	9.9	4.9	19.5	25.4	0.3		98.4	104.5	(6.1)	-5.8%
Non Bond Related	0.3	6.8	0.6	9.2	0.7	(4.8)	3.2	0.1	0.3	0.4	2.6		19.4	163.0	(143.6)	-88.1%
Receipts from Municipalities	34.6	6.6	8.7	6.2	5.2	7.5	6.4	5.2	15.1	5.3	5.0		105.8	123.4	(17.6)	-14.3%

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STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Rentals	27.5	34.9	29.9	24.2	8.7	2.1	(5.6)	69.8	37.0	14.9	41.2		284.6	222.3	62.3	28.0%
Revenues of State Departments:																
Administrative Recoveries	0.9	8.2	32.5	9.4	8.4	34.3	8.7	9.8	29.9	5.6	7.9		155.6	157.6	(2.0)	-1.3%
Commissions	0.2	4.3	0.2	0.1	-	0.5	0.3	0.8	0.6	0.1	5.7		12.8	6.3	6.5	103.2%
Gifts, Grants and Donations	0.8	0.5	0.1	0.2	0.5	14.0	0.7	0.6	0.1	0.2	(0.3)		17.4	5.4	12.0	222.2%
Indirect Cost Recoveries	2.0	9.2	17.1	3.0	19.7	6.2	5.3	18.2	10.5	2.9	19.6		113.7	134.1	(20.4)	-15.2%
Patient/Client Care Reimbursement	203.2	249.3	173.5	218.5	229.2	104.6	288.6	142.9	204.8	187.7	268.1		2,270.4	2,366.0	(95.6)	-4.0%
Rebates	9.3	9.1	9.8	26.3	9.2	10.5	12.2	13.9	14.7	11.5	10.0		136.5	123.3	13.2	10.7%
Restitution and Settlements	9.6	14.2	(4.0)	(13.0)	(13.5)	(9.7)	306.0	4.3	(31.7)	9.9	1.7		273.8	158.5	115.3	72.7%
Student Loans	6.2	4.5	13.5	7.1	12.2	7.1	2.6	1.9	7.6	8.2	8.1		79.0	78.9	0.1	0.1%
All Other	7.7	5.1	7.6	1.5	5.5	20.8	(3.4)	1.1	(4.9)	11.8	0.9		53.7	116.3	(62.6)	-53.8%
Sales	5.1	(3.0)	1.5	1.8	3.1	11.4	2.6	2.2	2.0	1.6	(5.8)		22.5	24.4	(1.9)	-7.8%
Tuition	72.0	72.8	111.6	105.0	222.5	424.7	201.9	84.4	78.7	295.8	336.3		2,005.7	1,895.2	110.5	5.8%
Total Miscellaneous Receipts	**1,585.2**	**3,256.5**	**1,680.6**	**4,316.9**	**1,659.1**	**2,636.1**	**2,130.9**	**2,173.0**	**1,976.4**	**1,743.4**	**1,814.7**	**-**	**24,972.8**	**21,869.6**	**3,103.2**	**14.2%**
Federal Receipts	2,978.0	3,968.6	4,030.0	3,450.5	4,221.8	4,088.2	3,783.5	3,483.6	4,869.2	3,789.1	4,231.0		42,893.5	39,932.2	2,961.3	7.4%
Total Receipts	**11,681.0**	**11,296.3**	**13,238.3**	**12,072.2**	**9,796.7**	**13,989.7**	**10,086.3**	**9,050.2**	**14,817.8**	**14,705.8**	**10,897.6**	**-**	**131,631.9**	**124,741.0**	**6,890.9**	**5.5%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	763.8	3,474.8	3,405.5	1,129.6	1,034.1	4,612.7	1,638.6	1,798.3	2,499.0	3,306.4	1,379.6		25,042.4	23,761.1	1,281.3	5.4%
Environment and Recreation	2.6	4.3	7.5	11.2	5.7	6.4	8.8	7.3	17.3	7.2	190.5		268.8	428.0	(159.2)	-37.2%
General Government	17.6	24.3	581.7	32.7	63.0	158.0	39.1	30.0	265.6	32.4	24.2		1,268.6	1,265.6	3.0	0.2%
Public Health:																
Medicaid	3,623.6	3,615.8	4,032.0	3,838.2	3,749.3	3,442.6	4,121.0	4,018.9	4,533.8	3,945.1	3,956.0		42,876.3	38,422.7	4,453.6	11.6%
Other Public Health	230.2	334.3	467.2	479.6	489.5	418.4	433.8	294.1	556.8	258.8	337.2		4,299.9	4,921.8	(621.9)	-12.6%
Public Safety	69.8	180.2	57.6	88.3	592.7	68.9	46.6	909.1	218.8	208.3	113.7		2,554.0	2,025.2	528.8	26.1%
Public Welfare	491.9	469.1	725.1	620.9	472.0	1,019.5	496.3	411.5	782.8	441.0	498.1		6,428.2	6,969.4	(541.2)	-7.8%
Support and Regulate Business	12.0	10.3	16.6	244.5	11.8	19.0	28.8	28.7	110.0	23.8	33.8		539.3	676.7	(137.4)	-20.3%
Transportation	213.1	602.2	448.4	388.3	512.8	553.4	393.6	626.3	1,161.1	285.2	315.5		5,499.9	5,229.6	270.3	5.2%
Total Local Assistance Grants	**5,424.6**	**8,715.3**	**9,741.6**	**6,833.3**	**6,930.9**	**10,298.9**	**7,206.6**	**8,124.2**	**10,145.2**	**8,508.2**	**6,848.6**	**-**	**88,777.4**	**83,700.1**	**5,077.3**	**6.1%**
Departmental Operations:																
Personal Service	1,053.3	1,180.3	1,002.9	1,337.6	1,008.0	1,016.9	1,176.4	1,009.6	1,371.7	996.9	1,009.5		12,163.1	11,970.6	192.5	1.6%
Non-Personal Service	444.0	459.6	531.4	489.4	542.7	655.5	648.8	465.4	557.6	538.4	623.6		5,956.4	5,850.9	105.5	1.8%
General State Charges	688.4	842.6	518.7	742.5	590.5	1,178.1	510.7	493.2	458.7	419.9	316.0		6,759.3	6,691.4	67.9	1.0%
Debt Service, Including Payments on Financing Agreements	173.2	216.8	290.8	77.7	396.9	752.3	144.6	93.1	622.3	77.5	552.2		3,397.4	4,068.6	(671.2)	-16.5%
Capital Projects	295.8	340.7	523.2	475.2	490.5	538.1	477.3	472.2	535.6	381.0	453.6		4,983.2	5,014.6	(31.4)	-0.6%
Total Disbursements	**8,079.3**	**11,755.3**	**12,608.6**	**9,955.7**	**9,959.5**	**14,439.8**	**10,164.4**	**10,657.7**	**13,691.1**	**10,921.9**	**9,803.5**	**-**	**122,036.8**	**117,296.2**	**4,740.6**	**4.0%**
Excess (Deficiency) of Receipts over Disbursements	**3,601.7**	**(459.0)**	**629.7**	**2,116.5**	**(162.8)**	**(450.1)**	**(78.1)**	**(1,607.5)**	**1,126.7**	**3,783.9**	**1,094.1**	**-**	**9,595.1**	**7,444.8**	**2,150.3**	**28.9%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers from Other Funds	3,186.7	1,552.5	2,991.5	1,412.6	2,038.0	3,285.7	2,014.9	1,791.7	3,315.3	2,601.5	1,703.2		25,893.6	25,907.6	(14.0)	-0.1%
Transfers to Other Funds	(3,248.3)	(1,554.0)	(2,996.1)	(1,420.0)	(2,055.5)	(3,289.0)	(2,026.0)	(1,765.7)	(3,287.2)	(2,602.6)	(1,707.8)		(25,952.2)	(25,947.5)	4.7	0.0%
Total Other Financing Sources (Uses)	**(61.6)**	**(1.5)**	**(4.6)**	**(7.4)**	**(17.5)**	**(3.3)**	**(11.1)**	**26.0**	**28.1**	**(1.1)**	**(4.6)**	**-**	**(58.6)**	**(39.9)**	**(18.7)**	**-46.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,540.1**	**(460.5)**	**625.1**	**2,109.1**	**(180.3)**	**(453.4)**	**(89.2)**	**(1,581.5)**	**1,154.8**	**3,782.8**	**1,089.5**	**-**	**9,536.5**	**7,404.9**	**2,131.6**	**28.8%**
Ending Fund Balance	**$ 7,574.6**	**$ 7,114.1**	**$ 7,739.2**	**$ 9,848.3**	**$ 9,668.0**	**$ 9,214.6**	**$ 9,125.4**	**$ 7,543.9**	**$ 8,698.7**	**$ 12,481.5**	**$ 13,571.0**	**$ -**	**$ 13,571.0**	**$ 11,281.3**	**$ 2,289.7**	**20.3%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2014-2015
(Amounts in millions)

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 4,789.1	$ 9,035.8	$ 8,094.3	$ 8,739.7	$ 10,815.1	$ 11,121.3	$ 10,526.4	$ 10,507.0	$ 9,563.2	$ 10,261.7	$ 14,098.5		$ 4,789.1	$ 4,359.5	$ 429.6	9.9%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,760.5	2,421.3	2,361.2	2,563.1	2,371.0	2,392.4	2,525.4	2,327.0	3,664.0	3,731.6	4,118.6		31,236.1	29,998.6	1,237.5	4.1%
Estimated payments	4,040.4	112.1	1,891.6	95.3	72.7	2,228.8	153.1	75.8	1,336.9	3,574.1	81.0		13,661.8	14,577.2	(915.4)	-6.3%
Returns	1,433.5	57.4	38.6	38.6	29.9	53.8	335.3	29.0	34.3	14.7	35.8		2,100.9	2,278.5	(177.6)	-7.8%
State/City Offsets	(124.1)	(26.9)	(13.2)	(10.4)	(10.9)	(33.9)	(210.0)	(73.2)	(15.4)	(12.8)	(27.2)		(558.0)	(591.8)	(33.8)	-5.7%
Other (Assessments/LLC)	112.2	126.9	93.9	85.7	64.1	76.8	85.5	90.8	100.9	116.3	235.9		1,189.0	1,006.6	182.4	18.1%
Gross Receipts	8,222.5	2,690.8	4,372.1	2,772.3	2,526.8	4,717.9	2,889.3	2,449.4	5,120.7	7,423.9	4,444.1	-	47,629.8	47,269.1	360.7	0.8%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Refunds issued	(2,869.2)	(588.9)	(127.3)	(206.8)	(136.4)	(487.5)	(401.9)	(612.7)	(157.0)	(149.6)	(1,035.2)		(6,772.5)	(6,868.6)	(96.1)	-1.4%
Total Personal Income Tax	5,353.3	2,101.9	4,244.8	2,565.5	2,390.4	4,230.4	2,487.4	1,836.7	4,963.7	7,274.3	3,408.9	-	40,857.3	40,400.5	456.8	1.1%
Consumption/Use Taxes:																
Sales and Use	986.5	977.1	1,285.9	1,002.7	995.5	1,323.4	996.8	1,010.8	1,309.8	1,038.8	858.3		11,785.6	11,426.4	359.2	3.1%
Auto Rental	1.7	-	9.9	0.6	-	14.2	-	-	11.1	-	-		37.5	35.5	2.0	5.6%
Cigarette/Tobacco Products	119.7	111.0	112.4	124.1	120.5	119.3	116.9	104.3	117.3	96.3	83.6		1,225.4	1,358.0	(132.6)	-9.8%
Motor Fuel	8.4	10.1	7.7	8.5	9.9	8.9	9.2	7.0	8.3	7.6	9.2		94.8	90.8	4.0	4.4%
Alcoholic Beverage	19.4	19.0	22.4	26.8	18.7	22.1	18.9	21.8	19.7	31.2	11.7		231.7	232.1	(0.4)	-0.2%
Highway Use	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	20.9	0.3	0.4	20.4	0.7	0.5	18.8	0.4	0.2	18.6	0.9		82.1	85.1	(3.0)	-3.5%
Total Consumption/Use Taxes	1,156.6	1,117.5	1,438.7	1,183.1	1,145.3	1,488.4	1,160.6	1,144.3	1,466.4	1,192.5	963.7	-	13,457.1	13,227.9	229.2	1.7%
Business Taxes:																
Corporation Franchise	138.7	52.7	491.2	94.6	25.6	461.0	81.1	25.4	398.3	149.4	114.5		2,032.5	2,462.3	(429.8)	-17.5%
Corporation and Utilities	1.6	3.5	140.6	1.6	(0.6)	151.2	3.7	1.7	166.1	1.6	(3.8)		467.2	487.4	(20.2)	-4.1%
Insurance	4.7	3.4	278.4	2.6	3.9	304.2	(2.5)	8.1	295.8	0.6	8.4		907.6	861.5	46.1	5.4%
Bank	29.3	363.3	526.2	38.5	(85.9)	179.3	0.3	(14.9)	226.5	42.4	(63.8)		1,241.2	734.8	506.4	68.9%
Petroleum Business	44.1	40.9	48.4	41.9	51.1	46.0	41.8	37.8	40.3	44.8	41.8		478.9	474.8	4.1	0.9%
Total Business Taxes	218.4	463.8	1,484.8	179.2	(5.9)	1,141.7	124.4	58.1	1,127.0	238.8	97.1	-	5,127.4	5,020.8	106.6	2.1%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-		-	(0.2)	0.2	100.0%
Estate and Gift	83.7	119.4	67.8	96.7	89.0	104.9	104.4	89.6	97.0	125.4	48.6		1,026.5	1,182.4	(155.9)	-13.2%
Pari-Mutuel	1.0	1.5	2.1	1.4	2.7	2.3	1.4	1.5	1.1	0.8	1.0		16.8	15.4	1.4	9.1%
Real Estate Transfer	73.3	72.9	78.1	69.4	84.0	75.1	80.8	78.6	80.4	83.8	78.6		855.0	749.4	105.6	14.1%
Racing and Exhibitions	0.1	-	-	0.2	0.2	-	0.1	-	0.1	0.1	0.3		1.1	0.9	0.2	22.2%
Metropolitan Commuter Trans. Mobility	128.8	96.2	79.9	98.2	85.1	81.8	101.6	86.1	110.0	144.1	147.9		1,159.7	1,105.8	53.9	4.9%
Total Other Taxes	286.9	290.0	227.9	265.9	261.0	264.1	288.3	255.8	288.6	354.2	276.4	-	3,059.1	3,053.7	5.4	0.2%
Total Taxes	7,015.2	3,973.2	7,396.2	4,193.7	3,790.8	7,124.6	4,060.7	3,294.9	7,845.7	9,059.8	4,746.1	-	62,500.9	61,702.9	798.0	1.3%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.5	1.1	0.9	1.4	0.7	1.0	95.0	136.0	21.0	50.0	46.0		354.6	350.3	4.3	1.2%
Bottle Bill	0.1	-	14.5	(7.9)	0.2	30.5	0.3	-	20.6	0.3	0.2		58.8	73.6	(14.8)	-20.1%
Assessments:																
Business	61.0	1,060.7	148.7	7.4	52.2	137.4	20.3	22.9	99.0	66.9	(0.7)		1,675.8	1,003.8	672.0	66.9%
Medical Care	391.5	379.9	365.5	450.7	444.5	468.1	428.4	405.9	448.1	441.9	448.4		4,672.9	4,439.6	233.3	5.3%
Public Utilities	0.5	-	0.3	-	0.4	213.2	0.9	0.5	0.5	-	0.9		217.2	261.3	(44.1)	-16.9%
Other	16.5	17.2	17.6	17.5	18.2	16.8	17.6	17.9	15.7	18.9	17.5		191.4	189.6	1.8	0.9%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.5	4.9	4.7	5.5	4.1	4.9	5.8	4.7	4.8	5.0	5.6		56.5	60.0	(3.5)	-5.8%
Business/Professional	62.8	62.6	117.1	64.7	93.5	216.7	108.0	81.7	146.5	101.7	113.4		1,168.7	1,206.0	(37.3)	-3.1%
Civil	22.9	17.6	24.8	19.8	4.5	18.9	31.9	15.8	25.8	45.3	19.5		246.8	247.5	(0.7)	-0.3%
Criminal	0.1	1.3	0.8	0.4	0.1	2.1	0.4	1.3	0.8	0.1	1.3		8.7	9.6	(0.9)	-9.4%
Motor Vehicle	73.4	65.4	45.8	59.6	46.6	49.4	51.0	47.4	54.2	49.9	42.6		585.3	452.5	132.8	29.3%
Recreational/Consumer	13.8	21.9	23.0	31.3	23.8	24.7	38.1	11.2	15.8	17.7	2.6		223.9	162.8	61.1	37.5%
Fines, Penalties and Forfeitures	15.0	761.2	33.5	2,267.3	124.2	371.1	27.5	387.3	266.7	20.1	57.7		4,331.6	766.5	3,565.1	465.1%
Gaming:																
Casino	4.0	1.7	0.5	48.9	-	0.8	51.2	-	41.3	11.7	-		160.1	497.6	(337.5)	-67.8%
Lottery	220.6	181.9	172.5	217.2	173.4	172.4	218.6	196.2	193.5	184.6	198.2		2,129.1	2,199.5	(70.4)	-3.2%
Video Lottery	91.5	71.7	70.1	87.3	71.1	71.3	86.7	66.0	83.8	68.2	65.0		832.7	840.9	(8.2)	-1.0%
Interest Earnings	2.5	2.3	2.5	3.1	2.0	2.8	2.5	2.0	2.5	2.4	2.6		27.2	24.6	2.6	10.6%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-		-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	22.6	4.7	-	-	-	5.9	-	-	-	-		33.2	34.4	(1.2)	-3.5%
Issuance Fees	13.8	0.8	2.4	15.8	2.3	3.3	9.9	4.9	19.5	25.4	0.3		98.4	104.5	(6.1)	-5.8%
Non Bond Related	0.2	1.8	0.6	0.2	0.7	0.2	3.2	0.1	-	0.2	0.9		8.1	154.9	(146.8)	-94.8%

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GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2014-2015
(Amounts in millions)

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Municipalities	34.5	5.9	8.7	6.1	5.2	7.5	6.2	4.9	10.1	5.3	5.0		99.4	119.1	(19.7)	-16.5%
Rentals	27.3	33.8	29.3	23.8	8.3	1.6	(6.9)	69.1	36.5	14.3	40.2		277.3	212.8	64.5	30.3%
Revenues of State Departments:																
Administrative Recoveries	0.9	8.2	32.4	9.4	8.4	34.3	8.6	9.8	29.9	5.5	7.8		155.2	155.8	(0.6)	-0.4%
Commissions	0.2	4.3	0.2	0.1	-	0.5	0.3	0.8	0.6	0.1	5.7		12.8	6.3	6.5	103.2%
Gifts, Grants and Donations	0.7	0.6	0.1	0.2	0.5	-	0.5	0.1	0.1	0.2	(0.4)		2.6	5.4	(2.8)	-51.9%
Indirect Cost Recoveries	2.0	9.2	17.1	3.0	19.7	6.2	5.3	18.2	10.5	2.9	19.6		113.7	134.1	(20.4)	-15.2%
Patient/Client Care Reimbursement	203.2	249.3	173.5	218.5	229.2	104.6	288.6	142.9	204.8	187.7	268.1		2,270.4	2,366.0	(95.6)	-4.0%
Rebates	1.1	-	0.6	17.2	0.6	0.7	4.0	4.8	5.3	3.7	0.4		38.4	20.5	17.9	87.3%
Restitution and Settlements	9.6	13.1	(4.5)	(13.6)	(13.9)	(10.5)	305.8	3.7	(32.6)	9.3	1.4		267.8	145.5	122.3	84.1%
Student Loans	6.2	4.5	13.5	7.1	12.2	7.1	2.6	1.9	7.6	8.2	8.1		79.0	78.9	0.1	0.1%
All Other	7.6	3.4	6.3	(1.6)	4.7	17.7	(4.6)	(1.2)	(6.1)	11.5	4.8		42.5	99.2	(56.7)	-57.2%
Sales	5.1	(3.1)	1.4	1.7	2.9	2.5	2.4	2.1	1.5	1.5	(5.8)		12.2	23.3	(11.1)	-47.6%
Tuition	72.0	72.8	111.6	105.0	222.5	424.7	201.9	84.4	78.7	295.8	336.3		2,005.7	1,895.2	110.5	5.8%
Total Miscellaneous Receipts	**1,368.6**	**3,078.6**	**1,440.7**	**3,667.1**	**1,562.8**	**2,402.5**	**2,017.9**	**1,743.3**	**1,807.0**	**1,656.3**	**1,713.2**	**-**	**22,458.0**	**18,363.6**	**4,094.4**	**22.3%**
Federal Receipts	0.5	-	-	1.6	35.0	0.2	-	0.1	-	0.7	34.6		72.7	71.4	1.3	1.8%
Total Receipts	**8,384.3**	**7,051.8**	**8,836.9**	**7,862.4**	**5,388.6**	**9,527.3**	**6,078.6**	**5,038.3**	**9,652.7**	**10,716.8**	**6,493.9**	**-**	**85,031.6**	**80,137.9**	**4,893.7**	**6.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	317.0	3,022.6	3,060.3	890.8	868.6	4,504.0	1,449.4	1,601.7	2,273.2	3,052.3	1,049.9		22,089.8	20,457.2	1,632.6	8.0%
Environment and Recreation	0.3	0.1	0.6	2.2	1.3	0.8	0.2	0.1	1.2	1.0	1.1		8.9	10.0	(1.1)	-11.0%
General Government	14.2	21.1	570.9	29.6	49.7	153.5	21.2	15.0	203.0	20.0	16.2		1,114.4	1,153.4	(39.0)	-3.4%
Public Health:																
Medicaid	1,369.8	1,531.4	1,463.0	1,663.4	1,182.2	1,383.6	1,589.6	1,554.0	1,733.5	1,396.7	1,513.9		16,381.1	15,799.5	581.6	3.7%
Other Public Health	83.3	216.6	348.3	340.7	251.4	266.2	296.2	150.7	434.2	152.1	232.4		2,772.1	3,038.9	(266.8)	-8.8%
Public Safety	15.2	27.9	20.0	25.7	21.4	39.7	12.7	26.3	39.0	110.7	18.2		356.8	257.1	99.7	38.8%
Public Welfare	128.2	203.0	236.9	254.5	154.1	244.5	267.1	171.2	333.9	201.2	216.4		2,411.0	2,449.0	(38.0)	-1.6%
Support and Regulate Business	8.0	8.3	10.8	208.2	6.3	7.8	10.3	9.3	4.9	13.1	22.1		309.1	340.3	(31.2)	-9.2%
Transportation	155.2	566.0	337.9	352.3	478.7	402.5	333.6	591.2	852.6	213.5	286.0		4,569.5	4,480.8	88.7	2.0%
Total Local Assistance Grants	**2,091.2**	**5,597.0**	**6,048.7**	**3,767.4**	**3,013.7**	**7,002.6**	**3,980.3**	**4,119.5**	**5,875.5**	**5,160.6**	**3,356.2**	**-**	**50,012.7**	**47,986.2**	**2,026.5**	**4.2%**
Departmental Operations:																
Personal Service	1,004.2	1,135.9	953.3	1,271.5	957.4	968.3	1,128.4	967.7	1,303.2	952.3	967.1		11,609.3	11,403.7	205.6	1.8%
Non-Personal Service	354.7	395.3	477.5	393.3	438.3	466.2	520.9	388.8	437.3	440.9	458.6		4,771.8	4,864.9	(93.1)	-1.9%
General State Charges	679.1	836.0	468.0	739.3	548.2	1,167.9	477.8	487.4	413.2	392.9	257.4		6,467.2	6,391.5	75.7	1.2%
Debt Service, Including Payments on Financing Agreements	173.2	216.8	290.8	77.7	396.9	752.3	144.6	93.1	622.3	77.5	552.2		3,397.4	4,068.6	(671.2)	-16.5%
Capital Projects	0.1	0.2	0.1	0.2	0.2	0.1	0.1	0.1	-	0.1	-		1.2	5.7	(4.5)	-78.9%
Total Disbursements	**4,302.5**	**8,181.2**	**8,238.4**	**6,249.4**	**5,354.7**	**10,357.4**	**6,252.1**	**6,056.6**	**8,651.5**	**7,024.3**	**5,591.5**	**-**	**76,259.6**	**74,720.6**	**1,539.0**	**2.1%**
Excess (Deficiency) of Receipts over Disbursements	**4,081.8**	**(1,129.4)**	**598.5**	**1,613.0**	**33.9**	**(830.1)**	**(173.5)**	**(1,018.3)**	**1,001.2**	**3,692.5**	**902.4**	**-**	**8,772.0**	**5,417.3**	**3,354.7**	**61.9%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	3,161.6	1,534.0	2,889.5	1,729.7	1,901.1	3,204.0	1,847.0	1,716.5	2,782.8	2,479.9	1,538.9		24,785.0	25,544.6	(759.6)	-3.0%
Transfers to Other Funds (**)	(2,996.7)	(1,346.1)	(2,842.6)	(1,267.3)	(1,628.8)	(2,968.8)	(1,692.9)	(1,642.0)	(3,085.5)	(2,335.6)	(1,301.6)		(23,107.9)	(23,063.9)	44.0	0.2%
Total Other Financing Sources (Uses)	**164.9**	**187.9**	**46.9**	**462.4**	**272.3**	**235.2**	**154.1**	**74.5**	**(302.7)**	**144.3**	**237.3**	**-**	**1,677.1**	**2,480.7**	**(803.6)**	**-32.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,246.7**	**(941.5)**	**645.4**	**2,075.4**	**306.2**	**(594.9)**	**(19.4)**	**(943.8)**	**698.5**	**3,836.8**	**1,139.7**	**-**	**10,449.1**	**7,898.0**	**2,551.1**	**32.3%**
Ending Fund Balance	**$ 9,035.8**	**$ 8,094.3**	**$ 8,739.7**	**$ 10,815.1**	**$ 11,121.3**	**$ 10,526.4**	**$ 10,507.0**	**$ 9,563.2**	**$ 10,261.7**	**$ 14,098.5**	**$ 15,238.2**	**$ -**	**$ 15,238.2**	**$ 12,257.5**	**$ 2,980.7**	**24.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

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STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT F

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 2,235.2	$ 5,532.7	$ 4,548.4	$ 5,131.1	$ 6,997.5	$ 6,889.3	$ 8,052.8	$ 7,714.5	$ 6,558.9	$ 8,253.6	$ 10,362.5		$ 2,235.2	$ 1,610.0	$ 625.2	38.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,760.50	2,421.30	2,361.20	2,563.10	2,371.0	2,392.4	2,525.4	2,327.0	3,664.0	3,731.6	4,118.6		31,236.1	29,998.6	1,237.5	4.1%
Estimated payments	4,040.4	112.1	1,891.6	95.3	72.7	2,228.8	153.1	75.8	1,336.9	3,574.1	81.0		13,661.8	14,577.2	(915.4)	-6.3%
Returns	1,433.5	57.4	38.6	38.6	29.9	53.8	335.3	29.0	34.3	14.7	35.8		2,100.9	2,278.5	(177.6)	-7.8%
State/City Offsets	(124.1)	(26.9)	(13.2)	(10.4)	(10.9)	(33.9)	(210.0)	(73.2)	(15.4)	(12.8)	(27.2)		(558.0)	(591.8)	(33.8)	-5.7%
Other (Assessments/LLC)	112.2	126.9	93.9	85.7	64.1	76.8	85.5	90.8	100.9	116.3	235.9		1,189.0	1,006.6	182.4	18.1%
Gross Receipts	**8,222.5**	**2,690.8**	**4,372.1**	**2,772.3**	**2,526.8**	**4,717.9**	**2,889.3**	**2,449.4**	**5,120.7**	**7,423.9**	**4,444.1**	**-**	**47,629.8**	**47,269.1**	**360.7**	**0.8%**
Transfers to School Tax Relief Fund	-	-	(424.2)	-	-	(203.3)	(4.5)	(25.2)	(124.1)	(2,463.3)	(3.0)		(3,247.6)	(3,128.4)	119.2	3.8%
Transfers to Revenue Bond Tax Fund	(1,338.3)	(525.5)	(1,061.2)	(641.4)	(597.6)	(1,057.6)	(621.8)	(459.2)	(1,240.9)	(1,818.6)	(852.2)		(10,214.3)	(10,100.1)	114.2	1.1%
Refunds issued	(2,869.2)	(588.9)	(127.3)	(206.8)	(136.4)	(487.5)	(401.9)	(612.7)	(157.0)	(149.6)	(1,035.2)		(6,772.5)	(6,868.6)	(96.1)	-1.4%
Total Personal Income Tax	**4,015.0**	**1,576.4**	**2,759.4**	**1,924.1**	**1,792.8**	**2,969.5**	**1,861.1**	**1,352.3**	**3,598.7**	**2,992.4**	**2,553.7**	**-**	**27,395.4**	**27,172.0**	**223.4**	**0.8%**
Consumption/Use Taxes:																
Sales and Use	453.6	456.8	602.4	469.6	466.2	620.1	465.9	472.6	612.6	485.1	401.1		5,506.0	5,333.0	173.0	3.2%
Auto Rental	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Cigarette/Tobacco Products	33.5	31.4	31.5	30.2	35.2	32.2	31.1	30.5	28.3	23.4	23.0		330.3	399.3	(69.0)	-17.3%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Alcoholic Beverage	19.4	19.0	22.4	26.8	18.7	22.1	18.9	21.8	19.7	31.2	11.7		231.7	232.1	(0.4)	-0.2%
Highway Use	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Total Consumption/Use Taxes	**506.5**	**507.2**	**656.3**	**526.6**	**520.1**	**674.4**	**515.9**	**524.9**	**660.6**	**539.7**	**435.8**	**-**	**6,068.0**	**5,964.4**	**103.6**	**1.7%**
Business Taxes																
Corporation Franchise	118.0	33.8	426.3	82.1	(2.2)	395.6	68.4	4.0	328.4	123.9	101.1		1,679.4	2,137.6	(458.2)	-21.4%
Corporation and Utilities	1.3	2.2	110.0	1.4	(1.0)	119.1	2.5	1.0	139.9	1.5	1.6		379.5	387.6	(8.1)	-2.1%
Insurance	4.4	4.8	243.9	6.4	1.1	273.8	-	6.9	261.5	(0.8)	6.8		808.8	775.0	33.8	4.4%
Bank	24.7	311.8	462.8	37.1	(84.7)	151.3	16.1	(9.9)	186.7	32.5	(51.8)		1,076.6	620.6	456.0	73.5%
Petroleum Business	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Total Business Taxes	**148.4**	**352.6**	**1,243.0**	**127.0**	**(86.8)**	**939.8**	**87.0**	**2.0**	**916.5**	**157.1**	**57.7**	**-**	**3,944.3**	**3,920.8**	**23.5**	**0.6%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-		-	(0.2)	0.2	100.0%
Estate and Gift	83.7	119.4	67.8	96.7	89.0	104.9	104.4	89.6	97.0	125.4	48.6		1,026.5	1,182.4	(155.9)	-13.2%
Pari-Mutuel	1.0	1.5	2.1	1.4	2.7	2.3	1.4	1.5	1.1	0.8	1.0		16.8	15.4	1.4	9.1%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Racing and Exhibitions	0.1	-	-	0.2	0.2	-	0.1	-	0.1	0.1	0.3		1.1	0.9	0.2	22.2%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Total Other Taxes	**84.8**	**120.9**	**69.9**	**98.3**	**91.9**	**107.2**	**105.9**	**91.1**	**98.2**	**126.3**	**49.9**	**-**	**1,044.4**	**1,198.5**	**(154.1)**	**-12.9%**
Total Taxes	**4,754.7**	**2,557.1**	**4,728.6**	**2,676.0**	**2,318.0**	**4,690.9**	**2,569.9**	**1,970.3**	**5,274.0**	**3,815.5**	**3,097.1**	**-**	**38,452.1**	**38,255.7**	**196.4**	**0.5%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8	-	(0.1)	0.1	(0.1)	0.1	94.2	135.1	20.1	49.1	44.6		343.9	340.7	3.2	0.9%
Bottle Bill	0.1	-	14.5	(7.9)	0.2	30.5	0.3	-	20.6	0.3	0.2		58.8	73.6	(14.8)	-20.1%
Assessments:																
Business	-	1,000.0	-	-	-	-	-	-	-	-	-		1,000.0	290.7	709.3	244.0%
Medical Care	8.5	3.8	9.7	-	8.5	9.6	3.8	5.6	13.6	-	20.1		83.2	79.9	3.3	4.1%
Public Utilities	-	-	-	-	-	167.2	-	2.9	-	-	-		170.1	224.6	(54.5)	-24.3%
Other	-	0.1	-	-	0.1	-	0.1	-	-	0.1	-		0.4	0.3	0.1	33.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.5	4.9	4.7	5.5	4.1	4.9	5.8	4.7	4.8	5.0	5.6		56.5	60.0	(3.5)	-5.8%
Business/Professional	4.1	10.7	30.4	10.3	7.5	27.3	12.6	7.2	31.8	11.4	12.7		166.0	178.8	(12.8)	-7.2%
Civil	18.9	12.6	20.2	15.9	13.8	17.1	29.8	13.9	23.7	18.7	15.4		200.0	203.9	(3.9)	-1.9%
Criminal	0.1	-	0.1	-	0.1	-	0.1	-	0.1	0.1	-		0.6	0.6	-	0.0%
Motor Vehicle	37.0	20.6	6.2	19.6	5.6	6.5	24.0	(1.2)	20.6	9.0	13.5		161.4	-	161.4	100.0%
Recreational/Consumer	1.4	3.5	(0.4)	0.8	3.1	7.0	7.2	(6.9)	(2.3)	(0.7)	1.4		14.1	12.8	1.3	10.2%
Fines, Penalties and Forfeitures	80.4	745.1	19.8	2,258.1	118.3	352.2	14.0	378.6	253.7	15.8	37.4		4,273.4	581.5	3,691.9	634.9%
Interest Earnings	0.2	(0.2)	0.2	1.1	0.1	0.2	0.1	0.1	0.1	-	0.4		2.3	0.2	2.1	1,050.0%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	2.2	4.7	-	-	-	5.9	-	-	-	-		12.8	13.9	(1.1)	-7.9%
Issuance Fees	9.6	0.1	0.1	15.8	2.3	3.3	9.9	4.9	19.5	25.4	0.3		91.2	97.3	(6.1)	-6.3%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-		-	149.4	(149.4)	-100.0%
Receipts from Municipalities	-	-	-	0.1	-	-	-	-	-	-	-		0.1	0.1	-	0.0%
Rentals	0.2	0.1	0.8	0.3	1.3	0.2	0.3	0.1	0.1	0.1	0.9		4.4	3.9	0.5	12.8%
Revenues of State Departments:																
Administrative Recoveries	-	0.1	23.1	0.1	-	26.3	0.1	-	21.0	-	2.1		72.8	70.9	1.9	2.7%
Gifts, Grants and Donations	-	0.1	(0.1)	-	-	-	-	-	-	0.1	(0.1)		-	-	-	0.0%
Indirect Cost Recoveries	2.0	9.2	17.1	3.0	19.7	6.2	5.3	18.2	10.5	2.9	19.6		113.7	134.1	(20.4)	-15.2%
Rebates	-	-	-	-	-	-	-	-	1.3	-	-		1.3	-	1.3	100.0%
Restitution and Settlements	-	4.6	-	-	(0.1)	0.1	304.3	0.2	(35.1)	-	-		274.0	84.3	189.7	225.0%
Student Loans	-	-	-	-	-	-	-	-	-	0.1	-		0.1	0.3	(0.2)	-66.7%
All Other	5.2	(1.7)	4.7	(3.5)	2.9	15.2	(6.5)	(2.8)	(6.3)	8.5	0.6		16.3	36.9	(20.6)	-55.8%
Sales	-	-	0.1	0.1	1.9	(0.3)	0.9	0.5	(1.3)	0.4	(1.9)		0.4	2.1	(1.7)	-81.0%
Total Miscellaneous Receipts	**175.0**	**1,815.8**	**155.8**	**2,319.4**	**189.3**	**673.6**	**512.2**	**561.1**	**396.5**	**146.3**	**172.8**	**-**	**7,117.8**	**2,640.8**	**4,477.0**	**169.5%**

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STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT F

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	0.5	-	-	0.1	-	0.1	-	0.1	-	0.6	-		1.4	0.2	1.2	600.0%
Total Receipts	**4,930.2**	**4,372.9**	**4,884.4**	**4,995.5**	**2,507.3**	**5,364.6**	**3,082.1**	**2,531.5**	**5,670.5**	**3,962.4**	**3,269.9**	**-**	**45,571.3**	**40,896.7**	**4,674.6**	**11.4%**
DISBURSEMENTS:																
Local Assistance Grants																
Education	316.7	3,022.2	2,323.2	890.4	869.0	2,246.8	1,301.8	1,433.9	2,004.0	449.8	904.3		15,762.1	14,296.2	1,465.9	10.3%
Environment and Recreation	0.2	0.1	0.1	2.0	1.3	-	0.2	0.1	0.2	0.1	0.4		4.7	5.8	(1.1)	-19.0%
General Government	2.1	14.3	561.1	5.3	2.1	135.5	10.1	6.8	191.3	5.1	4.3		938.0	919.9	18.1	2.0%
Public Health:																
Medicaid	1,097.2	1,006.4	1,183.0	1,151.0	769.2	996.3	1,009.2	1,220.0	1,186.3	922.0	1,208.9		11,749.5	11,378.4	371.1	3.3%
Other Public Health	7.2	85.9	121.1	71.1	85.7	57.5	43.4	43.4	91.2	62.9	105.0		774.4	693.1	81.3	11.7%
Public Safety	10.0	14.8	9.8	13.4	8.9	27.4	5.9	10.4	25.2	103.5	35.1		264.4	177.1	87.3	49.3%
Public Welfare	127.8	202.4	236.7	254.3	154.1	243.7	266.4	170.9	332.9	200.8	216.7		2,406.7	2,445.2	(38.5)	-1.6%
Support and Regulate Business	7.5	6.3	7.3	8.6	4.5	7.4	7.2	7.8	3.9	11.8	14.8		87.1	94.5	(7.4)	-7.8%
Transportation	-	23.8	-	-	24.9	-	-	23.3	13.0	2.1	10.5		97.6	97.5	0.1	0.1%
Total Local Assistance Grants	**1,568.7**	**4,376.2**	**4,442.3**	**2,396.1**	**1,919.7**	**3,714.6**	**2,644.2**	**2,916.6**	**3,848.0**	**1,758.1**	**2,500.0**	**-**	**32,084.5**	**30,107.7**	**1,976.8**	**6.6%**
Departmental Operations:																
Personal Service	447.4	528.9	447.4	601.9	450.9	448.4	526.2	453.1	590.9	444.9	449.9		5,389.9	5,194.2	195.7	3.8%
Non-Personal Service	82.6	147.4	145.7	133.0	150.5	148.3	171.3	119.1	133.2	132.8	151.1		1,515.0	1,463.6	51.4	3.5%
General State Charges	504.2	647.4	356.4	706.2	147.1	1,128.4	406.1	139.9	305.4	249.0	(97.9)		4,492.2	4,430.6	61.6	1.4%
Total Disbursements	**2,602.9**	**5,699.9**	**5,391.8**	**3,837.2**	**2,668.2**	**5,439.7**	**3,747.8**	**3,628.7**	**4,877.5**	**2,584.8**	**3,003.1**	**-**	**43,481.6**	**41,196.1**	**2,285.5**	**5.5%**
Excess (Deficiency) of Receipts over Disbursements	**2,327.3**	**(1,327.0)**	**(507.4)**	**1,158.3**	**(160.9)**	**(75.1)**	**(665.7)**	**(1,097.2)**	**793.0**	**1,377.6**	**266.8**	**-**	**2,089.7**	**(299.4)**	**2,389.1**	**798.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,338.2	355.2	1,062.6	395.3	266.3	1,223.2	421.9	190.7	1,241.6	875.4	476.1		7,846.5	7,857.3	(10.8)	-0.1%
Transfers from LGAC / STRBTF	419.7	307.2	733.8	460.6	404.2	578.2	490.2	456.8	598.5	495.5	187.7		5,132.4	5,049.1	83.3	1.6%
Transfers from CW/CA Fund	57.1	72.9	77.7	60.2	77.9	69.0	74.6	72.5	74.3	77.7	72.5		786.4	663.1	123.3	18.6%
Transfers from Other Funds	89.7	65.4	57.0	9.3	17.3	131.3	(27.7)	24.2	(4.5)	29.3	83.5		474.8	662.8	(188.0)	-28.4%
Transfers to State Capital Projects	(9.0)	(31.0)	(87.2)	287.6	(169.0)	(164.7)	(172.1)	(173.7)	(530.0)	(122.7)	(177.7)		(1,349.5)	(650.6)	698.9	107.4%
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to General Debt Service	(400.8)	152.2	2.1	(230.5)	11.3	98.8	(202.2)	59.7	31.8	(393.1)	22.0		(848.7)	(1,448.8)	(600.1)	-41.4%
Transfers to All Other State Funds	(524.7)	(579.2)	(755.9)	(274.4)	(555.3)	(697.2)	(257.3)	(688.6)	(510.0)	(230.8)	(75.5)		(5,148.9)	(4,604.2)	544.7	11.8%
Total Other Financing Sources (Uses)	**970.2**	**342.7**	**1,090.1**	**708.1**	**52.7**	**1,238.6**	**327.4**	**(58.4)**	**901.7**	**731.3**	**588.6**	**-**	**6,893.0**	**7,528.7**	**(635.7)**	**-8.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,297.5**	**(984.3)**	**582.7**	**1,866.4**	**(108.2)**	**1,163.5**	**(338.3)**	**(1,155.6)**	**1,694.7**	**2,108.9**	**855.4**	**-**	**8,982.7**	**7,229.3**	**1,753.4**	**24.3%**
Ending Fund Balance	**$ 5,532.7**	**$ 4,548.4**	**$ 5,131.1**	**$ 6,997.5**	**$ 6,889.3**	**$ 8,052.8**	**$ 7,714.5**	**$ 6,558.9**	**$ 8,253.6**	**$ 10,362.5**	**$ 11,217.9**	**$ -**	**$ 11,217.9**	**$ 8,839.3**	**$ 2,378.6**	**26.9%**

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 2,362.9	$ 2,172.6	$ 2,707.5	$ 3,185.9	$ 2,928.7	$ 2,689.1	$ 2,149.0	$ 1,961.9	$ 1,165.7	$ 1,158.6	$ 1,496.1		$ 2,362.9	$ 2,373.3	$ (10.4)	-0.4%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	424.2	-	-	203.3	4.5	25.2	124.1	2,463.3	3.0		3,247.6	3,128.4	119.2	3.8%
Consumption/Use Taxes																
Sales and Use	101.2	64.1	81.6	64.0	63.3	83.6	65.2	65.9	84.8	68.9	56.4		799.0	765.0	34.0	4.4%
Auto Rental	1.7	-	9.9	0.6	-	14.2	-	-	11.1	-	-		37.5	35.5	2.0	5.6%
Cigarette/Tobacco Products	86.2	79.6	80.9	93.9	85.3	87.1	85.8	73.8	89.0	72.9	60.6		895.1	958.7	(63.6)	-6.6%
Motor Fuel	8.4	10.1	7.7	8.5	9.9	8.9	9.2	7.0	8.3	7.6	9.2		94.8	90.8	4.0	4.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Highway Use	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	20.9	0.3	0.4	20.4	0.7	0.5	18.8	0.4	0.2	18.6	0.9		82.1	85.1	(3.0)	-3.5%
Total Consumption/Use Taxes	**218.4**	**154.1**	**180.5**	**187.4**	**159.2**	**194.3**	**179.0**	**147.1**	**193.4**	**168.0**	**127.1**	**-**	**1,908.5**	**1,935.1**	**(26.6)**	**-1.4%**
Business Taxes:																
Corporation Franchise	20.7	18.9	64.9	12.5	27.8	65.4	12.7	21.4	69.9	25.5	13.4		353.1	324.7	28.4	8.7%
Corporation and Utilities	0.3	1.3	30.6	0.2	0.4	32.1	1.2	0.7	26.2	0.1	(5.4)		87.7	99.8	(12.1)	-12.1%
Insurance	0.3	(1.4)	34.5	(3.8)	2.8	30.4	(2.5)	1.2	34.3	1.4	1.6		98.8	86.5	12.3	14.2%
Bank	4.6	51.5	63.4	1.4	(1.2)	28.0	(15.8)	(5.0)	39.8	9.9	(12.0)		164.6	114.2	50.4	44.1%
Petroleum Business	44.1	40.9	48.4	41.9	51.1	46.0	41.8	37.8	40.3	44.8	41.8		478.9	474.8	4.1	0.9%
Total Business Taxes	**70.0**	**111.2**	**241.8**	**52.2**	**80.9**	**201.9**	**37.4**	**56.1**	**210.5**	**81.7**	**39.4**	**-**	**1,183.1**	**1,100.0**	**83.1**	**7.6%**
Other Taxes:																
Metropolitan Commuter Trans. Mobility	128.8	96.2	79.9	98.2	85.1	81.8	101.6	86.1	110.0	144.1	147.9		1,159.7	1,105.8	53.9	4.9%
Total Other Taxes	**128.8**	**96.2**	**79.9**	**98.2**	**85.1**	**81.8**	**101.6**	**86.1**	**110.0**	**144.1**	**147.9**	**-**	**1,159.7**	**1,105.8**	**53.9**	**4.9%**
Total Taxes	**417.2**	**361.5**	**926.4**	**337.8**	**325.2**	**681.3**	**322.5**	**314.5**	**638.0**	**2,857.1**	**317.4**	**-**	**7,498.9**	**7,269.3**	**229.6**	**3.2%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.7	1.1	1.0	1.3	0.8	0.9	0.8	0.9	0.9	0.9	1.4		10.7	9.6	1.1	11.5%
Assessments:																
Business	64.4	91.2	148.8	9.7	61.1	137.6	21.4	29.1	99.2	67.7	4.3		734.5	761.9	(27.4)	-3.6%
Medical Care	383.0	376.1	355.8	450.7	436.0	458.5	424.6	400.3	434.1	441.9	428.3		4,589.3	4,359.7	229.6	5.3%
Public Utilities	0.5	-	0.3	-	0.4	46.0	0.9	(2.4)	0.5	-	0.9		47.1	36.7	10.4	28.3%
Other	16.5	17.1	17.6	17.5	18.1	16.8	17.5	17.9	15.7	18.8	17.5		191.0	189.3	1.7	0.9%
Fees, Licenses and Permits:																
Business/Professional	58.7	51.9	86.7	54.4	86.0	189.4	95.4	74.5	114.7	90.3	100.7		1,002.7	1,027.3	(24.6)	-2.4%
Civil	4.0	5.0	4.6	3.9	(9.3)	1.8	2.1	1.9	2.1	26.6	4.1		46.8	43.6	3.2	7.3%
Criminal	-	1.3	0.7	0.4	-	2.1	0.3	1.3	0.7	-	1.3		8.1	9.0	(0.9)	-10.0%
Motor Vehicle	36.4	44.8	39.6	40.0	41.0	42.9	27.0	48.6	33.6	40.9	29.1		423.9	452.5	(28.6)	-6.3%
Recreational/Consumer	12.4	18.4	23.4	30.5	20.7	17.7	30.9	18.1	18.1	18.4	1.2		209.8	150.0	59.8	39.9%
Fines, Penalties and Forfeitures	(64.7)	16.7	14.2	10.7	6.6	19.3	14.5	9.3	13.9	4.7	20.8		66.0	192.8	(126.8)	-65.8%
Gaming:																
Casino	4.0	1.7	0.5	48.9	-	0.8	51.2	-	41.3	11.7	-		160.1	497.6	(337.5)	-67.8%
Lottery	220.6	181.9	172.5	217.2	173.4	172.4	218.6	196.2	193.5	184.6	198.2		2,129.1	2,199.5	(70.4)	-3.2%
Video Lottery	91.5	71.7	70.1	87.3	71.1	71.3	86.7	66.0	83.8	68.2	65.0		832.7	840.9	(8.2)	-1.0%
Interest Earnings	2.3	2.5	2.2	2.1	1.8	2.6	2.4	1.9	2.4	2.4	2.2		24.8	24.3	0.5	2.1%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-		-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	20.4	-	-	-	-	-	-	-	-	-		20.4	20.5	(0.1)	-0.5%
Issuance Fees	4.2	0.7	2.3	-	-	-	-	-	-	-	-		7.2	7.2	-	0.0%
Non Bond Related	0.2	1.8	0.6	0.2	0.7	0.2	3.2	0.1	-	0.2	0.9		8.1	5.5	2.6	47.3%
Receipts from Municipalities	32.6	5.3	8.7	5.9	4.2	7.5	6.2	3.6	9.8	5.3	3.5		92.6	110.6	(18.0)	-16.3%
Rentals	27.1	33.7	28.5	23.5	7.0	1.4	(7.2)	69.0	36.4	14.2	39.3		272.9	9.0	263.9	2,932.2%
Revenues of State Departments:																
Administrative Recoveries	0.9	8.1	9.4	9.3	8.4	8.0	8.6	9.8	8.9	5.6	5.8		82.8	85.2	(2.4)	-2.8%
Commissions	0.2	4.3	0.2	0.1	-	0.5	0.3	0.8	0.6	0.1	5.7		12.8	6.3	6.5	103.2%
Gifts, Grants and Donations	0.8	0.4	0.2	0.2	0.5	-	0.5	0.1	0.1	0.1	(0.3)		2.6	5.4	(2.8)	-51.9%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Patient/Client Care Reimbursement	158.6	212.4	138.1	172.2	143.3	72.0	257.7	127.2	161.5	152.9	206.3		1,802.2	1,965.6	(163.4)	-8.3%
Rebates	9.3	9.1	9.8	26.3	9.2	10.5	12.2	13.9	13.4	11.5	10.0		135.2	123.3	11.9	9.7%
Restitution and Settlements	9.6	8.5	(4.5)	(13.6)	(13.8)	(10.6)	1.5	3.5	2.5	9.3	1.6		(6.0)	61.2	(67.2)	-109.8%
Student Loans	6.2	4.5	13.5	7.1	12.2	7.1	2.6	1.9	7.6	8.1	8.1		78.9	78.6	0.3	0.4%
All Other	2.4	5.1	1.6	3.5	1.8	5.2	2.2	1.7	0.5	3.0	(0.2)		26.8	66.3	(39.5)	-59.6%
Sales	5.1	(3.1)	1.3	1.6	1.0	2.8	1.5	1.6	2.7	1.2	(3.9)		11.8	21.3	(9.5)	-44.6%
Tuition	72.0	72.8	111.6	105.0	222.5	424.7	201.9	84.4	78.7	295.8	336.3		2,005.7	1,895.2	110.5	5.8%
Total Miscellaneous Receipts	**1,159.5**	**1,265.4**	**1,259.3**	**1,315.9**	**1,304.7**	**1,709.4**	**1,485.5**	**1,181.2**	**1,377.2**	**1,484.4**	**1,488.1**	**-**	**15,030.6**	**15,277.9**	**(247.3)**	**-1.6%**

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	2,865.9	3,840.0	3,921.2	3,224.5	4,035.0	3,880.4	3,611.9	3,314.1	4,671.0	3,666.7	3,911.1		40,941.8	37,660.9	3,280.9	8.7%
Total Receipts	**4,442.6**	**5,466.9**	**6,106.9**	**4,878.2**	**5,664.9**	**6,271.1**	**5,419.9**	**4,809.8**	**6,686.2**	**8,008.2**	**5,716.6**	**-**	**63,471.3**	**60,208.1**	**3,263.2**	**5.4%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	446.6	452.4	1,082.1	226.1	164.1	2,365.6	335.9	364.2	494.6	2,856.5	475.2		9,263.3	9,440.9	(177.6)	-1.9%
Environment and Recreation	0.1	0.1	0.5	0.2	0.2	0.8	-	0.3	1.5	0.9	0.8		5.4	5.2	0.2	3.8%
General Government	14.3	9.7	18.0	25.7	58.6	20.0	12.1	17.9	24.8	16.7	14.8		232.6	306.7	(74.1)	-24.2%
Public Health:																
Medicaid	2,526.4	2,609.4	2,849.0	2,687.2	2,980.1	2,446.3	3,111.8	2,798.9	3,347.5	3,023.1	2,747.1		31,126.8	27,044.3	4,082.5	15.1%
Other Public Health	218.1	246.7	340.6	401.6	398.2	331.6	383.9	242.5	461.9	191.6	227.7		3,444.4	3,814.3	(369.9)	-9.7%
Public Safety	59.8	165.4	47.8	74.9	583.8	41.5	40.7	898.7	193.6	104.8	52.6		2,263.6	1,848.1	415.5	22.5%
Public Welfare	364.1	261.2	468.1	352.7	317.9	775.8	222.4	240.6	415.5	240.1	281.4		3,939.8	4,414.2	(474.4)	-10.7%
Support and Regulate Business	0.5	2.0	5.0	199.6	1.8	2.0	3.1	1.8	1.0	3.7	7.4		227.9	251.8	(23.9)	-9.5%
Transportation	157.4	546.6	344.0	356.3	459.2	406.3	340.0	570.9	848.2	214.8	280.9		4,524.6	4,427.7	96.9	2.2%
Total Local Assistance Grants	**3,787.3**	**4,293.5**	**5,155.1**	**4,324.3**	**4,963.9**	**6,389.9**	**4,449.9**	**5,135.8**	**5,788.6**	**6,652.2**	**4,087.9**	**-**	**55,028.4**	**51,553.2**	**3,475.2**	**6.7%**
Departmental Operations:																
Personal Service	605.9	651.4	555.5	735.7	557.1	568.5	650.2	556.5	780.8	552.0	559.6		6,773.2	6,776.4	(3.2)	0.0%
Non-Personal Service	360.0	311.0	383.2	347.9	389.3	503.0	476.6	344.9	423.0	404.6	471.0		4,414.5	4,358.6	55.9	1.3%
General State Charges	184.2	195.2	162.3	36.3	443.4	49.7	104.6	353.3	153.3	170.9	413.9		2,267.1	2,260.8	6.3	0.3%
Capital Projects	0.1	0.2	0.1	0.2	0.2	0.1	0.1	0.1	-	0.1	-		1.2	5.7	(4.5)	-78.9%
Total Disbursements	**4,937.5**	**5,451.3**	**6,256.2**	**5,444.4**	**6,353.9**	**7,511.2**	**5,681.4**	**6,390.6**	**7,145.7**	**7,779.8**	**5,532.4**	**-**	**68,484.4**	**64,954.7**	**3,529.7**	**5.4%**
Excess (Deficiency) of Receipts over Disbursements	**(494.9)**	**15.6**	**(149.3)**	**(566.2)**	**(689.0)**	**(1,240.1)**	**(261.5)**	**(1,580.8)**	**(459.5)**	**228.4**	**184.2**	**-**	**(5,013.1)**	**(4,746.6)**	**(266.5)**	**-5.6%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	593.4	688.7	782.6	392.9	713.2	899.2	345.6	817.5	643.9	331.8	318.9		6,527.7	6,505.2	22.5	0.3%
Transfers to Other Funds	(288.8)	(169.4)	(154.9)	(83.9)	(263.8)	(199.2)	(271.2)	(32.9)	(191.5)	(222.7)	(462.2)		(2,340.5)	(2,595.5)	(255.0)	-9.8%
Total Other Financing Sources (Uses)	**304.6**	**519.3**	**627.7**	**309.0**	**449.4**	**700.0**	**74.4**	**784.6**	**452.4**	**109.1**	**(143.3)**	**-**	**4,187.2**	**3,909.7**	**277.5**	**7.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(190.3)**	**534.9**	**478.4**	**(257.2)**	**(239.6)**	**(540.1)**	**(187.1)**	**(796.2)**	**(7.1)**	**337.5**	**40.9**	**-**	**(825.9)**	**(836.9)**	**11.0**	**1.3%**
Ending Fund Balance	**$ 2,172.6**	**$ 2,707.5**	**$ 3,185.9**	**$ 2,928.7**	**$ 2,689.1**	**$ 2,149.0**	**$ 1,961.9**	**$ 1,165.7**	**$ 1,158.6**	**$ 1,496.1**	**$ 1,537.0**	**$ -**	**$ 1,537.0**	**$ 1,536.4**	**$ 0.6**	**0.0%**

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Personal Income Tax	$ -	$ -	$ 424.2	$ -	$ -	$ 203.3	$ 4.5	$ 25.2	$ 124.1	$ 2,463.3	$ 3.0		$ -	$ 3,247.6	$ 3,128.4	$ 119.2	3.8%
Consumption/Use Taxes:																	
Sales and Use	101.2	64.1	81.6	64.0	63.3	83.6	65.2	65.9	84.8	68.9	56.4		-	799.0	765.0	34.0	4.4%
Auto Rental	1.7	-	9.9	0.6	-	14.2	-	-	11.1	-	-		-	37.5	35.5	2.0	5.6%
Cigarette/Tobacco Products	86.2	79.6	80.9	93.9	85.3	87.1	85.8	73.8	89.0	72.9	60.6		-	895.1	958.7	(63.6)	-6.6%
Motor Fuel	8.4	10.1	7.7	8.5	9.9	8.9	9.2	7.0	8.3	7.6	9.2		-	94.8	90.8	4.0	4.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Highway Use	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	20.9	0.3	0.4	20.4	0.7	0.5	18.8	0.4	0.2	18.6	0.9		-	82.1	85.1	(3.0)	-3.5%
Total Consumption/Use Taxes	**218.4**	**154.1**	**180.5**	**187.4**	**159.2**	**194.3**	**179.0**	**147.1**	**193.4**	**168.0**	**127.1**	**-**	**-**	**1,908.5**	**1,935.1**	**(26.6)**	**-1.4%**
Business Taxes																	
Corporation Franchise	20.7	18.9	64.9	12.5	27.8	65.4	12.7	21.4	69.9	25.5	13.4		-	353.1	324.7	28.4	8.7%
Corporation and Utilities	0.3	1.3	30.6	0.2	0.4	32.1	1.2	0.7	26.2	0.1	(5.4)		-	87.7	99.8	(12.1)	-12.1%
Insurance	0.3	(1.4)	34.5	(3.8)	2.8	30.4	(2.5)	1.2	34.3	1.4	1.6		-	98.8	86.5	12.3	14.2%
Bank	4.6	51.5	63.4	1.4	(1.2)	28.0	(15.8)	(5.0)	39.8	9.9	(12.0)		-	164.6	114.2	50.4	44.1%
Petroleum Business	44.1	40.9	48.4	41.9	51.1	46.0	41.8	37.8	40.3	44.8	41.8		-	478.9	474.8	4.1	0.9%
Total Business Taxes	**70.0**	**111.2**	**241.8**	**52.2**	**80.9**	**201.9**	**37.4**	**56.1**	**210.5**	**81.7**	**39.4**	**-**	**-**	**1,183.1**	**1,100.0**	**83.1**	**7.6%**
Other Taxes																	
Metropolitan Commuter Trans. Mobility	128.8	96.2	79.9	98.2	85.1	81.8	101.6	86.1	110.0	144.1	147.9		-	1,159.7	1,105.8	53.9	4.9%
Total Other Taxes	**128.8**	**96.2**	**79.9**	**98.2**	**85.1**	**81.8**	**101.6**	**86.1**	**110.0**	**144.1**	**147.9**	**-**	**-**	**1,159.7**	**1,105.8**	**53.9**	**4.9%**
Total Taxes	**417.2**	**361.5**	**926.4**	**337.8**	**325.2**	**681.3**	**322.5**	**314.5**	**638.0**	**2,857.1**	**317.4**	**-**	**-**	**7,498.9**	**7,269.3**	**229.6**	**3.2%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.7	1.1	1.0	1.3	0.8	0.9	0.8	0.9	0.9	0.9	1.4		-	10.7	9.6	1.1	11.5%
Assessments:																	
Business	61.0	60.7	148.7	7.4	52.2	137.4	20.3	22.9	99.0	66.9	(0.7)		-	675.8	713.1	(37.3)	-5.2%
Medical Care	383.0	376.1	355.8	450.7	436.0	458.5	424.6	400.3	434.1	441.9	428.3		-	4,589.3	4,359.7	229.6	5.3%
Public Utilities	0.5	-	0.3	-	0.4	46.0	0.9	(2.4)	0.5	-	0.9		-	47.1	36.7	10.4	28.3%
Other	16.5	17.1	17.6	17.5	18.1	16.8	17.5	17.9	15.7	18.8	17.5		-	191.0	189.3	1.7	0.9%
Fees, Licenses and Permits:																	
Business/Professional	58.7	51.9	86.7	54.4	86.0	189.4	95.4	74.5	114.7	90.3	100.7		-	1,002.7	1,027.2	(24.5)	-2.4%
Civil	4.0	5.0	4.6	3.9	(9.3)	1.8	2.1	1.9	2.1	26.6	4.1		-	46.8	43.6	3.2	7.3%
Criminal	-	1.3	0.7	0.4	-	2.1	0.3	1.3	0.7	-	1.3		-	8.1	9.0	(0.9)	-10.0%
Motor Vehicle	36.4	44.8	39.6	40.0	41.0	42.9	27.0	48.6	33.6	40.9	29.1		-	423.9	452.5	(28.6)	-6.3%
Recreational/Consumer	12.4	18.4	23.4	30.5	20.7	17.7	30.9	18.1	18.1	18.4	1.2		-	209.8	150.0	59.8	39.9%
Fines, Penalties and Forfeitures	(65.4)	16.1	13.7	9.2	5.9	18.9	13.5	8.7	13.0	4.3	20.3		-	58.2	185.0	(126.8)	-68.5%
Gaming:																	
Casino	4.0	1.7	0.5	48.9	-	0.8	51.2	-	41.3	11.7	-		-	160.1	497.6	(337.5)	-67.8%
Lottery	220.6	181.9	172.5	217.2	173.4	172.4	218.6	196.2	193.5	184.6	198.2		-	2,129.1	2,199.5	(70.4)	-3.2%
Video Lottery	91.5	71.7	70.1	87.3	71.1	71.3	86.7	66.0	83.8	68.2	65.0		-	832.7	840.9	(8.2)	-1.0%
Interest Earnings	2.3	2.5	2.2	2.0	1.8	2.6	2.4	1.9	2.4	2.4	2.2		-	24.7	24.1	0.6	2.5%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-		-	-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	20.4	-	-	-	-	-	-	-	-	-		-	20.4	20.5	(0.1)	-0.5%
Issuance Fees	4.2	0.7	2.3	-	-	-	-	-	-	-	-		-	7.2	7.2	-	0.0%
Non Bond Related	0.2	1.8	0.6	0.2	0.7	0.2	3.2	0.1	-	0.2	0.9		-	8.1	5.5	2.6	47.3%
Receipts from Municipalities	32.6	5.3	8.7	5.9	4.2	7.5	6.2	3.6	9.8	5.3	3.5		-	92.6	110.6	(18.0)	-16.3%
Rentals	27.1	33.7	28.5	23.5	7.0	1.4	(7.2)	69.0	36.4	14.2	39.3		-	272.9	9.0	263.9	2,932.2%
Revenues of State Departments:																	
Administrative Recoveries	0.9	8.1	9.3	9.3	8.4	8.0	8.5	9.8	8.9	5.5	5.7		-	82.4	84.9	(2.5)	-2.9%
Commissions	0.2	4.3	0.2	0.1	-	0.5	0.3	0.8	0.6	0.1	5.7		-	12.8	6.3	6.5	103.2%
Gifts, Grants and Donations	0.7	0.5	0.2	0.2	0.5	-	0.5	0.1	0.1	0.1	(0.3)		-	2.6	5.4	(2.8)	-51.9%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Patient/Client Care Reimbursement	158.6	212.4	138.1	172.2	143.3	72.0	257.7	127.2	161.5	152.9	206.3		-	1,802.2	1,965.6	(163.4)	-8.3%
Rebates	1.1	-	0.6	17.2	0.6	0.7	4.0	4.8	4.0	3.7	0.4		-	37.1	20.5	16.6	81.0%
Restitution and Settlements	9.6	8.5	(4.5)	(13.6)	(13.8)	(10.6)	1.5	3.5	2.5	9.3	1.4		-	(6.2)	61.2	(67.4)	-110.1%
Student Loans	6.2	4.5	13.5	7.1	12.2	7.1	2.6	1.9	7.6	8.1	8.1		-	78.9	78.6	0.3	0.4%
All Other	2.4	5.1	1.6	1.9	1.8	2.5	1.9	1.6	0.2	3.0	4.2		-	26.2	62.3	(36.1)	-57.9%
Sales	5.1	(3.1)	1.3	1.6	1.0	2.8	1.5	1.6	2.7	1.1	(3.9)		-	11.7	21.2	(9.5)	-44.8%
Tuition	72.0	72.8	111.6	105.0	222.5	424.7	201.9	84.4	78.7	295.8	336.3		-	2,005.7	1,895.2	110.5	5.8%
Total Miscellaneous Receipts	**1,147.1**	**1,225.3**	**1,249.4**	**1,301.3**	**1,286.5**	**1,696.3**	**1,474.8**	**1,165.2**	**1,366.4**	**1,475.2**	**1,477.1**	**-**	**-**	**14,864.6**	**15,113.8**	**(249.2)**	**-1.6%**

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	-	-	-	-	-	-	-	-	0.1	(0.1)		-	-	0.3	(0.3)	-100.0%
Total Receipts	**1,564.3**	**1,586.8**	**2,175.8**	**1,639.1**	**1,611.7**	**2,377.6**	**1,797.3**	**1,479.7**	**2,004.4**	**4,332.4**	**1,794.4**	**-**	**-**	**22,363.5**	**22,383.4**	**(19.9)**	**-0.1%**
DISBURSEMENTS:																	
Local Assistance Grants																	
Education	0.3	0.4	737.1	0.4	(0.4)	2,257.2	147.6	167.8	269.2	2,602.5	145.6		-	6,327.7	6,161.0	166.7	2.7%
Environment and Recreation	0.1	-	0.5	0.2	-	0.8	-	-	1.0	0.9	0.7		-	4.2	4.2	-	0.0%
General Government	12.1	6.8	9.8	24.3	47.6	18.0	11.1	8.2	11.7	14.9	11.9		-	176.4	233.5	(57.1)	-24.5%
Public Health:																	
Medicaid	272.6	525.0	280.0	512.4	413.0	387.3	580.4	334.0	547.2	474.7	305.0		-	4,631.6	4,421.1	210.5	4.8%
Other Public Health	76.1	130.7	227.2	269.6	165.7	208.7	252.8	107.3	343.0	89.2	127.4		-	1,997.7	2,345.8	(348.1)	-14.8%
Public Safety	5.2	13.1	10.2	12.3	12.5	12.3	6.8	15.9	13.8	7.2	(16.9)		-	92.4	80.0	12.4	15.5%
Public Welfare	0.4	0.6	0.2	0.2	-	0.8	0.7	0.3	1.0	0.4	(0.3)		-	4.3	3.8	0.5	13.2%
Support and Regulate Business	0.5	2.0	3.5	199.6	1.8	0.4	3.1	1.5	1.0	1.3	7.3		-	222.0	245.8	(23.8)	-9.7%
Transportation	155.2	542.2	337.9	352.3	453.8	402.5	333.6	567.9	839.6	211.4	275.5		-	4,471.9	4,383.3	88.6	2.0%
Total Local Assistance Grants	**522.5**	**1,220.8**	**1,606.4**	**1,371.3**	**1,094.0**	**3,288.0**	**1,336.1**	**1,202.9**	**2,027.5**	**3,402.5**	**856.2**	**-**	**-**	**17,928.2**	**17,878.5**	**49.7**	**0.3%**
Departmental Operations:																	
Personal Service	556.8	607.0	505.9	669.6	506.5	519.9	602.2	514.6	712.3	507.4	517.2		-	6,219.4	6,209.5	9.9	0.2%
Non-Personal Service	270.7	246.7	329.3	251.8	284.9	313.7	348.7	268.3	302.7	307.1	306.0		-	3,229.9	3,372.6	(142.7)	-4.2%
General State Charges	174.9	188.6	111.6	33.1	401.1	39.5	71.7	347.5	107.8	143.9	355.3		-	1,975.0	1,960.9	14.1	0.7%
Capital Projects	0.1	0.2	0.1	0.2	0.2	0.1	0.1	0.1	-	0.1	-		-	1.2	5.7	(4.5)	-78.9%
Total Disbursements	**1,525.0**	**2,263.3**	**2,553.3**	**2,326.0**	**2,286.7**	**4,161.2**	**2,358.8**	**2,333.4**	**3,150.3**	**4,361.0**	**2,034.7**	**-**	**-**	**29,353.7**	**29,427.2**	**(73.5)**	**-0.2%**
Excess (Deficiency) of Receipts over Disbursements	**39.3**	**(676.5)**	**(377.5)**	**(686.9)**	**(675.0)**	**(1,783.6)**	**(561.5)**	**(853.7)**	**(1,145.9)**	**(28.6)**	**(240.3)**	**-**	**-**	**(6,990.2)**	**(7,043.8)**	**53.6**	**0.8%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	603.7	743.5	807.3	423.4	725.0	1,016.4	355.0	909.7	672.1	346.6	334.2		(409.2)	6,527.7	6,505.2	22.5	0.3%
Transfers to Other Funds	(115.4)	(45.8)	(81.7)	(12.8)	3.6	(59.0)	(21.6)	12.2	(68.0)	(39.5)	(87.7)		-	(515.7)	(797.7)	(282.0)	-35.4%
Total Other Financing Sources (Uses)	**488.3**	**697.7**	**725.6**	**410.6**	**728.6**	**957.4**	**333.4**	**921.9**	**604.1**	**307.1**	**246.5**	**-**	**(409.2)**	**6,012.0**	**5,707.5**	**304.5**	**5.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ 527.6**	**$ 21.2**	**$ 348.1**	**$ (276.3)**	**$ 53.6**	**$ (826.2)**	**$ (228.1)**	**$ 68.2**	**$ (541.8)**	**$ 278.5**	**$ 6.2**	**$ -**	**$ (409.2)**	**$ (978.2)**	**$ (1,336.3)**	**$ 358.1**	**26.8%**

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal Funds.

From the digital collections of the New York State Library.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -		$ -	$ -	$ -	$ -	0.0%
Assessments:																	
Business	3.4	30.5	0.1	2.3	8.9	0.2	1.1	6.2	0.2	0.8	5.0		-	58.7	48.8	9.9	20.3%
Medical Care	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-	-	-	-	-	-	-	-		-	-	0.1	(0.1)	-100.0%
Civil	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.6	0.5	1.5	0.7	0.4	1.0	0.6	0.9	0.4	0.5		-	7.8	7.8	-	0.0%
Interest Earnings	-	-	-	0.1	-	-	-	-	-	-	-		-	0.1	0.2	(0.1)	-50.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	0.1	-	-	-	0.1	-	-	0.1	0.1		-	0.4	0.3	0.1	33.3%
Commissions	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Gifts, Grants and Donations	0.1	(0.1)	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Rebates	8.2	9.1	9.2	9.1	8.6	9.8	8.2	9.1	9.4	7.8	9.6		-	98.1	102.8	(4.7)	-4.6%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	0.2		-	0.2	-	0.2	100.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
All Other	-	-	-	1.6	-	2.7	0.3	0.1	0.3	-	(4.4)		-	0.6	4.0	(3.4)	-85.0%
Sales	-	-	-	-	-	-	-	-	-	0.1	-		-	0.1	0.1	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Total Miscellaneous Receipts	**12.4**	**40.1**	**9.9**	**14.6**	**18.2**	**13.1**	**10.7**	**16.0**	**10.8**	**9.2**	**11.0**	**-**	**-**	**166.0**	**164.1**	**1.9**	**1.2%**
Federal Receipts	2,865.9	3,840.0	3,921.2	3,224.5	4,035.0	3,880.4	3,611.9	3,314.1	4,671.0	3,666.6	3,911.2		-	40,941.8	37,660.6	3,281.2	8.7%
Total Receipts	**2,878.3**	**3,880.1**	**3,931.1**	**3,239.1**	**4,053.2**	**3,893.5**	**3,622.6**	**3,330.1**	**4,681.8**	**3,675.8**	**3,922.2**	**-**	**-**	**41,107.8**	**37,824.7**	**3,283.1**	**8.7%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	446.3	452.0	345.0	225.7	164.5	108.4	188.3	196.4	225.4	254.0	329.6		-	2,935.6	3,279.9	(344.3)	-10.5%
Environment and Recreation	-	0.1	-	-	0.2	-	-	0.3	0.5	-	0.1		-	1.2	1.0	0.2	20.0%

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT G

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
General Government	2.2	2.9	8.2	1.4	11.0	2.0	1.0	9.7	13.1	1.8	2.9		-	56.2	73.2	(17.0)	-23.2%
Public Health:																	
Medicaid	2,253.8	2,084.4	2,569.0	2,174.8	2,567.1	2,059.0	2,531.4	2,464.9	2,800.3	2,548.4	2,442.1		-	26,495.2	22,623.2	3,872.0	17.1%
Other Public Health	142.0	116.0	113.4	132.0	232.5	122.9	131.1	135.2	118.9	102.4	100.3		-	1,446.7	1,468.5	(21.8)	-1.5%
Public Safety	54.6	152.3	37.6	62.6	571.3	29.2	33.9	882.8	179.8	97.6	69.5		-	2,171.2	1,768.1	403.1	22.8%
Public Welfare	363.7	260.6	467.9	352.5	317.9	775.0	221.7	240.3	414.5	239.7	281.7		-	3,935.5	4,410.4	(474.9)	-10.8%
Support and Regulate Business	-	-	1.5	-	-	1.6	-	0.3	-	2.4	0.1		-	5.9	6.0	(0.1)	-1.7%
Transportation	2.2	4.4	6.1	4.0	5.4	3.8	6.4	3.0	8.6	3.4	5.4		-	52.7	44.4	8.3	18.7%
Total Local Assistance Grants	**3,264.8**	**3,072.7**	**3,548.7**	**2,953.0**	**3,869.9**	**3,101.9**	**3,113.8**	**3,932.9**	**3,761.1**	**3,249.7**	**3,231.7**	**-**	**-**	**37,100.2**	**33,674.7**	**3,425.5**	**10.2%**
Departmental Operations:																	
Personal Service	49.1	44.4	49.6	66.1	50.6	48.6	48.0	41.9	68.5	44.6	42.4		-	553.8	566.9	(13.1)	-2.3%
Non-Personal Service	89.3	64.3	53.9	96.1	104.4	189.3	127.9	76.6	120.3	97.5	165.0		-	1,184.6	986.0	198.6	20.1%
General State Charges	9.3	6.6	50.7	3.2	42.3	10.2	32.9	5.8	45.5	27.0	58.6		-	292.1	299.9	(7.8)	-2.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Total Disbursements	**3,412.5**	**3,188.0**	**3,702.9**	**3,118.4**	**4,067.2**	**3,350.0**	**3,322.6**	**4,057.2**	**3,995.4**	**3,418.8**	**3,497.7**	**-**	**-**	**39,130.7**	**35,527.5**	**3,603.2**	**10.1%**
Excess (Deficiency) of Receipts over Disbursements	**(534.2)**	**692.1**	**228.2**	**120.7**	**(14.0)**	**543.5**	**300.0**	**(727.1)**	**686.4**	**257.0**	**424.5**	**-**	**-**	**1,977.1**	**2,297.2**	**(320.1)**	**-13.9%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Transfers to Other Funds	(183.7)	(178.4)	(97.9)	(101.6)	(279.2)	(257.4)	(259.0)	(137.3)	(151.7)	(198.0)	(389.8)		409.2	(1,824.8)	(1,797.8)	27.0	1.5%
Total Other Financing Sources (Uses)	**(183.7)**	**(178.4)**	**(97.9)**	**(101.6)**	**(279.2)**	**(257.4)**	**(259.0)**	**(137.3)**	**(151.7)**	**(198.0)**	**(389.8)**	**-**	**409.2**	**(1,824.8)**	**(1,797.8)**	**27.0**	**1.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (717.9)**	**$ 513.7**	**$ 130.3**	**$ 19.1**	**$ (293.2)**	**$ 286.1**	**$ 41.0**	**$ (864.4)**	**$ 534.7**	**$ 59.0**	**$ 34.7**	**$ -**	**$ 409.2**	**$ 152.3**	**$ 499.4**	**$ (347.1)**	**-69.5%**

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State Funds.

From the digital collections of the New York State Library.

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT H

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 65.1	$ 486.7	$ 508.3	$ 222.9	$ 708.2	$ 1,069.0	$ 136.8	$ 683.8	$ 827.4	$ 373.0	$ 1,822.4		$ 65.1	$ 379.1	$ (314.0)	-82.8%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,338.3	525.5	1,061.2	641.4	597.6	1,057.6	621.8	459.2	1,240.9	1818.6	852.2		10,214.3	10,100.1	114.2	1.1%
Consumption/Use Taxes:																
Sales and Use	431.7	456.2	601.9	469.1	466.0	619.7	465.7	472.3	612.4	484.8	400.8		5,480.6	5,328.4	152.2	2.9%
Total Consumption/Use Taxes	431.7	456.2	601.9	469.1	466.0	619.7	465.7	472.3	612.4	484.8	400.8	-	5,480.6	5,328.4	152.2	2.9%
Other Taxes:																
Real Estate Transfer	73.3	72.9	78.1	69.4	84.0	75.1	80.8	78.6	80.4	83.8	78.6		855.0	749.4	105.6	14.1%
Total Other Taxes	73.3	72.9	78.1	69.4	84.0	75.1	80.8	78.6	80.4	83.8	78.6	-	855.0	749.4	105.6	14.1%
Total Taxes	1,843.3	1,054.6	1,741.2	1,179.9	1,147.6	1,752.4	1,168.3	1,010.1	1,933.7	2,387.2	1,331.6	-	16,549.9	16,177.9	372.0	2.3%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	0.4	-	-		0.4	-	0.4	100.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Interest Earnings	-	-	0.1	-	0.1	-	-	-	-	-	-		0.2	0.3	(0.1)	-33.3%
Receipts from Municipalities	1.9	0.6	-	0.1	1.0	-	-	1.3	0.3	-	1.5		6.7	8.4	(1.7)	-20.2%
Rentals	-	-	-	-	-	-	-	-	-	-	-		-	199.9	(199.9)	-100.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	44.6	36.9	35.4	46.3	85.9	32.6	30.9	15.7	43.3	34.8	61.8		468.2	400.4	67.8	16.9%
Sales	-	-	-	-	-	-	-	-	0.1	-	-		0.1	-	0.1	100.0%
Total Miscellaneous Receipts	46.5	37.5	35.5	46.4	87.0	32.6	30.9	17.0	44.1	34.8	63.3	-	475.6	609.0	(133.4)	-21.9%
Federal Receipts	-	-	-	1.5	35.0	0.1	-	-	-	-	34.7		71.3	70.9	0.4	0.6%
Total Receipts	1,889.8	1,092.1	1,776.7	1,227.8	1,269.6	1,785.1	1,199.2	1,027.1	1,977.8	2,422.0	1,429.6	-	17,096.8	16,857.8	239.0	1.4%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	1.4	1.2	2.5	8.5	2.9	4.2	0.9	1.4	1.4	1.0	1.5		26.9	28.7	(1.8)	-6.3%
Debt Service, Including Payments On Financing Agreements	173.2	216.8	290.8	77.7	396.9	752.3	144.6	93.1	622.3	77.5	552.2		3,397.4	4,068.6	(671.2)	-16.5%
Total Disbursements	174.6	218.0	293.3	86.2	399.8	756.5	145.5	94.5	623.7	78.5	553.7	-	3,424.3	4,097.3	(673.0)	-16.4%
Excess (Deficiency) of Receipts over Disbursements	1,715.2	874.1	1,483.4	1,141.6	869.8	1,028.6	1,053.7	932.6	1,354.1	2,343.5	875.9	-	13,672.5	12,760.5	912.0	7.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	653.2	(10.2)	151.1	380.9	410.4	185.9	533.0	62.6	200.8	655.4	384.9		3,608.0	4,248.2	(640.2)	-15.1%
Transfers to Other Funds	(1,946.8)	(842.3)	(1,919.9)	(1,037.2)	(919.4)	(2,146.7)	(1,039.7)	(851.6)	(2,009.3)	(1,549.5)	(982.7)		(15,245.1)	(15,562.6)	(317.5)	-2.0%
Total Other Financing Sources (Uses)	(1,293.6)	(852.5)	(1,768.8)	(656.3)	(509.0)	(1,960.8)	(506.7)	(789.0)	(1,808.5)	(894.1)	(597.8)	-	(11,637.1)	(11,314.4)	(322.7)	-2.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	421.6	21.6	(285.4)	485.3	360.8	(932.2)	547.0	143.6	(454.4)	1,449.4	278.1	-	2,035.4	1,446.1	589.3	40.8%
Ending Fund Balance	$ 486.7	$ 508.3	$ 222.9	$ 708.2	$ 1,069.0	$ 136.8	$ 683.8	$ 827.4	$ 373.0	$ 1,822.4	$ 2,100.5	$ -	$ 2,100.5	$ 1,825.2	$ 275.3	15.1%

From the digital collections of the New York State Library.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT I

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (628.7)	$ (617.4)	$ (650.1)	$ (800.7)	$ (786.1)	$ (979.4)	$ (1,124.0)	$ (1,234.8)	$ (1,008.1)	$ (1,086.5)	$ (1,199.5)		$ (628.7)	$ (486.0)	$ (142.7)	-29.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	2.3	-	16.3	1.0	0.4	23.5	-	0.1	18.2	-	-		61.8	58.0	3.8	6.6%
Motor Fuel	32.6	36.2	29.3	32.2	39.4	32.6	32.5	29.2	31.0	33.9	31.7		360.6	345.0	15.6	4.5%
Highway Use	12.9	10.5	11.2	13.3	10.1	12.6	13.7	10.2	13.7	11.5	11.0		130.7	127.2	3.5	2.8%
Total Consumption/Use Taxes	47.8	46.7	56.8	46.5	49.9	68.7	46.2	39.5	62.9	45.4	42.7	-	553.1	530.2	22.9	4.3%
Business Taxes:																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Corporation and Utilities	0.1	(0.1)	2.1	0.1	-	2.4	0.1	0.1	1.3	-	(0.8)		5.3	6.7	(1.4)	-20.9%
Petroleum Business	54.7	51.4	60.7	52.6	63.2	57.8	52.9	47.2	50.4	56.2	52.0		599.1	592.2	6.9	1.2%
Total Business Taxes	54.8	51.3	62.8	52.7	63.2	60.2	53.0	47.3	51.7	56.2	51.2	-	604.4	598.9	5.5	0.9%
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9		107.2	107.2	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	-	107.2	107.2	-	0.0%
Total Taxes	102.6	98.0	131.5	111.1	125.0	140.8	111.2	98.7	126.5	113.5	105.8	-	1,264.7	1,236.3	28.4	2.3%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	15.0	8.0	-	-	-	-	-	-	-		23.0	15.0	8.0	53.3%
Assessments:																
Business	8.8	8.3	8.0	7.8	8.0	8.1	7.2	8.0	6.8	7.8	7.2		86.0	37.4	48.6	129.9%
Fees, Licenses and Permits:																
Business/Professional	2.3	7.4	6.9	3.6	5.8	4.9	3.8	6.9	3.1	2.3	4.8		51.8	37.4	14.4	38.5%
Civil	-	-	-	-	-	-	-	-	-	-	-		-	0.1	(0.1)	-100.0%
Motor Vehicle	55.1	61.7	63.0	55.2	54.2	56.4	49.0	50.0	46.9	53.6	47.9		593.0	631.3	(38.3)	-6.1%
Recreational/Consumer	-	-	-	-	2.8	4.7	-	6.5	-	-	10.9		24.9	19.4	5.5	28.4%
Fines, Penalties and Forfeitures	2.9	2.4	1.9	1.6	2.7	1.3	1.1	2.0	0.5	1.4	1.1		18.9	71.7	(52.8)	-73.6%
Interest Earnings	-	0.1	0.1	-	-	0.6	-	-	0.1	-	0.1		1.0	0.5	0.5	100.0%
Receipts from Public Authorities:																
Bond Proceeds	134.6	48.2	132.6	547.3	2.8	124.9	38.2	335.9	93.1	11.1	15.1		1,483.8	2,478.6	(994.8)	-40.1%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Non Bond Related	0.1	5.0	-	9.0	-	(5.0)	-	-	0.3	0.2	1.7		11.3	8.1	3.2	39.5%
Receipts from Municipalities	0.1	0.7	-	0.1	-	-	0.2	0.3	5.0	-	-		6.4	4.3	2.1	48.8%
Rentals	0.2	1.1	0.6	0.4	0.4	0.5	1.3	0.7	0.5	0.6	1.0		7.3	9.5	(2.2)	-23.2%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	1.5	(1.5)	-100.0%
Gifts, Grants and Donations	-	-	-	-	-	14.0	0.2	0.5	-	-	0.1		14.8	-	14.8	100.0%
Restitution and Settlements	-	1.1	0.5	0.6	0.4	0.8	0.2	0.6	0.9	0.6	0.1		5.8	13.0	(7.2)	-55.4%
All Other	0.1	1.7	1.3	1.5	0.8	0.4	0.9	2.2	0.9	0.3	0.5		10.6	13.1	(2.5)	-19.1%
Sales	-	0.1	0.1	0.1	0.2	8.9	0.2	0.1	0.5	-	-		10.2	1.0	9.2	920.0%
Total Miscellaneous Receipts	204.2	137.8	230.0	635.2	78.1	220.5	102.3	413.7	158.6	77.9	90.5	-	2,348.8	3,341.9	(993.1)	-29.7%
Federal Receipts	111.6	128.6	108.8	224.4	151.8	207.6	171.6	169.4	198.2	121.8	285.2		1,879.0	2,200.2	(321.2)	-14.6%
Total Receipts	418.4	364.4	470.3	970.7	354.9	568.9	385.1	681.8	483.3	313.2	481.5	-	5,492.5	6,778.4	(1,285.9)	-19.0%

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STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT I

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.5	0.2	0.2	13.1	1.0	0.3	0.9	0.2	0.4	0.1	0.1		17.0	24.0	(7.0)	-29.2%
Environment and Recreation	2.3	4.1	6.9	9.0	4.2	5.6	8.6	6.9	15.6	6.2	189.3		258.7	417.0	(158.3)	-38.0%
General Government	1.2	0.3	2.6	1.7	2.3	2.5	16.9	5.3	49.5	10.6	5.1		98.0	39.0	59.0	151.3%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Other Public Health	4.9	1.7	5.5	6.9	5.6	29.3	6.5	8.2	3.7	4.3	4.5		81.1	414.4	(333.3)	-80.4%
Public Safety	-	-	-	-	-	-	-	-	-	-	26.0		26.0	-	26.0	100.0%
Public Welfare	-	5.5	20.3	13.9	-	-	7.5	-	34.4	0.1	-		81.7	110.0	(28.3)	-25.7%
Support and Regulate Business	4.0	2.0	4.3	36.3	5.5	9.6	18.5	19.1	105.1	8.3	11.6		224.3	330.4	(106.1)	-32.1%
Transportation	55.7	31.8	104.4	32.0	28.7	147.1	53.6	32.1	299.9	68.3	24.1		877.7	704.4	173.3	24.6%
Total Local Assistance Grants	**68.6**	**45.6**	**144.2**	**112.9**	**47.3**	**194.4**	**112.5**	**71.8**	**508.6**	**97.9**	**260.7**	**-**	**1,664.5**	**2,039.2**	**(374.7)**	**-18.4%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Capital Projects	295.7	340.5	523.1	475.0	490.3	538.0	477.2	472.1	535.6	380.9	453.6		4,982.0	5,008.9	(26.9)	-0.5%
Total Disbursements	**364.3**	**386.1**	**667.3**	**587.9**	**537.6**	**732.4**	**589.7**	**543.9**	**1,044.2**	**478.8**	**714.3**	**-**	**6,646.5**	**7,048.1**	**(401.6)**	**-5.7%**
Excess (Deficiency) of Receipts over Disbursements	**54.1**	**(21.7)**	**(197.0)**	**382.8**	**(182.7)**	**(163.5)**	**(204.6)**	**137.9**	**(560.9)**	**(165.6)**	**(232.8)**	**-**	**(1,154.0)**	**(269.7)**	**(884.3)**	**-327.9%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers from Other Funds	35.4	73.3	126.7	(286.6)	148.7	198.9	177.3	167.4	560.7	136.4	179.6		1,517.8	921.9	595.9	64.6%
Transfers to Other Funds	(78.2)	(84.3)	(80.3)	(81.6)	(159.3)	(180.0)	(83.5)	(78.6)	(78.2)	(83.8)	(31.7)		(1,019.5)	(1,085.8)	(66.3)	-6.1%
Total Other Financing Sources (Uses)	**(42.8)**	**(11.0)**	**46.4**	**(368.2)**	**(10.6)**	**18.9**	**93.8**	**88.8**	**482.5**	**52.6**	**147.9**	**-**	**498.3**	**(163.9)**	**662.2**	**404.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**11.3**	**(32.7)**	**(150.6)**	**14.6**	**(193.3)**	**(144.6)**	**(110.8)**	**226.7**	**(78.4)**	**(113.0)**	**(84.9)**	**-**	**(655.7)**	**(433.6)**	**(222.1)**	**-51.2%**
Ending Fund Balance	**$ (617.4)**	**$ (650.1)**	**$ (800.7)**	**$ (786.1)**	**$ (979.4)**	**$ (1,124.0)**	**$ (1,234.8)**	**$ (1,008.1)**	**$ (1,086.5)**	**$ (1,199.5)**	**$ (1,284.4)**	**$ -**	**$ (1,284.4)**	**$ (919.6)**	**$ (364.8)**	**-39.7%**

From the digital collections of the New York State Library.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT I

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	$ 2.3	$ -	$ 16.3	$ 1.0	$ 0.4	$ 23.5	$ -	$ 0.1	$ 18.2	$ -	$ -		$ -	$ 61.8	$ 58.0	$ 3.8	6.6%
Motor Fuel	32.6	36.2	29.3	32.2	39.4	32.6	32.5	29.2	31.0	33.9	31.7		-	360.6	345.0	15.6	4.5%
Highway Use	12.9	10.5	11.2	13.3	10.1	12.6	13.7	10.2	13.7	11.5	11.0		-	130.7	127.2	3.5	2.8%
Total Consumption/Use Taxes	47.8	46.7	56.8	46.5	49.9	68.7	46.2	39.5	62.9	45.4	42.7	-	-	553.1	530.2	22.9	4.3%
Business Taxes																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Corporation and Utilities	0.1	(0.1)	2.1	0.1	-	2.4	0.1	0.1	1.3	-	(0.8)		-	5.3	6.7	(1.4)	-20.9%
Petroleum Business	54.7	51.4	60.7	52.6	63.2	57.8	52.9	47.2	50.4	56.2	52.0		-	599.1	592.2	6.9	1.2%
Total Business Taxes	54.8	51.3	62.8	52.7	63.2	60.2	53.0	47.3	51.7	56.2	51.2	-	-	604.4	598.9	5.5	0.9%
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9		-	107.2	107.2	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	-	-	107.2	107.2	-	0.0%
Total Taxes	102.6	98.0	131.5	111.1	125.0	140.8	111.2	98.7	126.5	113.5	105.8	-	-	1,264.7	1,236.3	28.4	2.3%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	15.0	8.0	-	-	-	-	-	-	-		-	23.0	15.0	8.0	53.3%
Assessments:																	
Business	8.8	8.3	8.0	7.8	8.0	8.1	7.2	8.0	6.8	7.8	7.2		-	86.0	37.4	48.6	129.9%
Fees, Licenses and Permits:																	
Business/Professional	2.3	7.4	6.9	3.6	5.8	4.9	3.8	6.9	3.1	2.3	4.8		-	51.8	37.4	14.4	38.5%
Civil	-	-	-	-	-	-	-	-	-	-	-		-	-	0.1	(0.1)	-100.0%
Motor Vehicle	55.1	61.7	63.0	55.2	54.2	56.4	49.0	50.0	46.9	53.6	47.9		-	593.0	631.3	(38.3)	-6.1%
Recreational/Consumer	-	-	-	-	2.8	4.7	-	6.5	-	-	10.9		-	24.9	19.4	5.5	28.4%
Fines, Penalties and Forfeitures	2.9	2.4	1.9	1.6	2.7	1.3	1.1	2.0	0.5	1.4	1.1		-	18.9	71.7	(52.8)	-73.6%
Interest Earnings	-	0.1	0.1	-	-	0.6	-	-	0.1	-	0.1		-	1.0	0.5	0.5	100.0%
Receipts from Public Authorities:																	
Bond Proceeds	134.6	48.2	132.6	547.3	2.8	124.9	38.2	335.9	93.1	11.1	15.1		-	1,483.8	2,478.6	(994.8)	-40.1%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Non Bond Related	0.1	5.0	-	9.0	-	(5.0)	-	-	0.3	0.2	1.7		-	11.3	8.1	3.2	39.5%
Receipts from Municipalities	0.1	0.7	-	0.1	-	-	0.2	0.3	5.0	-	-		-	6.4	4.3	2.1	48.8%
Rentals	0.1	1.1	0.5	0.4	0.3	0.5	0.9	0.7	0.4	0.5	1.0		-	6.4	8.1	(1.7)	-21.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	-	1.5	(1.5)	-100.0%
Gifts, Grants and Donations	-	-	-	-	-	14.0	0.2	0.5	-	-	0.1		-	14.8	-	14.8	100.0%
Restitution and Settlements	-	1.1	0.5	0.6	0.4	0.8	0.2	0.6	0.9	0.6	0.1		-	5.8	13.0	(7.2)	-55.4%
All Other	0.1	1.7	1.3	1.5	0.8	0.4	0.9	2.2	0.9	0.3	0.5		-	10.6	12.6	(2.0)	-15.9%
Sales	-	0.1	0.1	0.1	0.2	8.8	0.1	0.1	0.5	-	-		-	10.0	0.8	9.2	1,150.0%
Total Miscellaneous Receipts	204.1	137.8	229.9	635.2	78.0	220.4	101.8	413.7	158.5	77.8	90.5	-	-	2,347.7	3,339.8	(992.1)	-29.7%
Federal Receipts	-	-	-	-	-	2.5	-	-	-	-	(0.1)		-	2.4	2.5	(0.1)	-4.0%
Total Receipts	306.7	235.8	361.4	746.3	203.0	363.7	213.0	512.4	285.0	191.3	196.2	-	-	3,614.8	4,578.6	(963.8)	-21.1%

From the digital collections of the New York State Library.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT I

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.5	0.2	0.2	13.1	1.0	0.3	0.9	0.2	0.4	0.1	0.1		-	17.0	24.0	(7.0)	-29.2%
Environment and Recreation	2.3	4.1	6.9	9.0	4.2	5.6	8.6	7.0	15.6	6.2	39.7		-	109.2	124.8	(15.6)	-12.5%
General Government	1.2	0.3	2.6	1.7	2.3	2.5	16.9	5.3	49.5	10.6	5.1		-	98.0	39.0	59.0	151.3%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Other Public Health	4.9	1.7	5.5	6.9	5.6	3.1	6.5	8.2	3.7	4.3	4.5		-	54.9	392.5	(337.6)	-86.0%
Public Safety	-	-	-	-	-	-	-	-	-	-	26.0		-	26.0	-	26.0	100.0%
Public Welfare	-	5.5	20.3	13.9	-	-	7.5	-	34.4	0.1	-		-	81.7	110.0	(28.3)	-25.7%
Support and Regulate Business	4.0	2.0	4.3	36.3	5.5	9.6	18.5	19.1	105.1	8.3	11.6		-	224.3	330.4	(106.1)	-32.1%
Transportation	4.5	1.1	38.5	2.9	3.6	102.7	7.3	5.6	237.2	11.7	6.3		-	421.4	80.7	340.7	422.2%
Total Local Assistance Grants	**17.4**	**14.9**	**78.3**	**83.8**	**22.2**	**123.8**	**66.2**	**45.4**	**445.9**	**41.3**	**93.3**	**-**	**-**	**1,032.5**	**1,101.4**	**(68.9)**	**-6.3%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Capital Projects	229.5	261.6	407.6	359.7	370.6	433.4	354.5	350.9	451.8	298.5	413.0		-	3,931.1	3,956.8	(25.7)	-0.6%
Total Disbursements	**246.9**	**276.5**	**485.9**	**443.5**	**392.8**	**557.2**	**420.7**	**396.3**	**897.7**	**339.8**	**506.3**	**-**	**-**	**4,963.6**	**5,058.2**	**(94.6)**	**-1.9%**
Excess (Deficiency) of Receipts over Disbursements	**59.8**	**(40.7)**	**(124.5)**	**302.8**	**(189.8)**	**(193.5)**	**(207.7)**	**116.1**	**(612.7)**	**(148.5)**	**(310.1)**	**-**	**-**	**(1,348.8)**	**(479.6)**	**(869.2)**	**-181.2%**
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Transfers from Other Funds	35.4	171.9	131.3	(286.6)	148.7	198.9	177.3	167.4	560.7	136.4	443.4		(367.0)	1,517.8	921.9	595.9	64.6%
Transfers to Other Funds	(78.2)	(78.6)	(80.3)	(81.6)	(154.0)	(180.0)	(81.3)	(78.6)	(78.2)	(81.4)	(31.7)		-	(1,003.9)	(1,085.8)	81.9	7.5%
Total Other Financing Sources (Uses)	**(42.8)**	**93.3**	**51.0**	**(368.2)**	**(5.3)**	**18.9**	**96.0**	**88.8**	**482.5**	**55.0**	**411.7**	**-**	**(367.0)**	**513.9**	**(163.9)**	**677.8**	**413.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ 17.0**	**$ 52.6**	**$ (73.5)**	**$ (65.4)**	**$ (195.1)**	**$ (174.6)**	**$ (111.7)**	**$ 204.9**	**$ (130.2)**	**$ (93.5)**	**$ 101.6**	**$ -**	**$ (367.0)**	**$ (834.9)**	**$ (643.5)**	**$ (191.4)**	**-29.7%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal Funds.

From the digital collections of the New York State Library.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT I

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -		$ -	$ -	$ -	$ -	0.0%
Assessments:																	
Business	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Rentals	0.1	-	0.1	-	0.1	-	0.4	-	0.1	0.1	-		-	0.9	1.4	(0.5)	-35.7%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-		-	-	0.5	(0.5)	-100.0%
Sales	-	-	-	-	-	0.1	0.1	-	-	-	-		-	0.2	0.2	-	0.0%
Total Miscellaneous Receipts	**0.1**	**-**	**0.1**	**-**	**0.1**	**0.1**	**0.5**	**-**	**0.1**	**0.1**	**-**	**-**	**-**	**1.1**	**2.1**	**(1.0)**	**-47.6%**
Federal Receipts	111.6	128.6	108.8	224.4	151.8	205.1	171.6	169.4	198.2	121.8	285.3		-	1,876.6	2,197.7	(321.1)	-14.6%
Total Receipts	**111.7**	**128.6**	**108.9**	**224.4**	**151.9**	**205.2**	**172.1**	**169.4**	**198.3**	**121.9**	**285.3**	**-**	**-**	**1,877.7**	**2,199.8**	**(322.1)**	**-14.6%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	(0.1)	-	-	149.6		-	149.5	292.2	(142.7)	-48.8%
General Government	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	26.2	-	-	-	-	-		-	26.2	21.9	4.3	19.6%
Public Safety	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Transportation	51.2	30.7	65.9	29.1	25.1	44.4	46.3	26.5	62.7	56.6	17.8		-	456.3	623.7	(167.4)	-26.8%
Total Local Assistance Grants	**51.2**	**30.7**	**65.9**	**29.1**	**25.1**	**70.6**	**46.3**	**26.4**	**62.7**	**56.6**	**167.4**	**-**	**-**	**632.0**	**937.8**	**(305.8)**	**-32.6%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Capital Projects	66.2	78.9	115.5	115.3	119.7	104.6	122.7	121.2	83.8	82.4	40.6		-	1,050.9	1,052.1	(1.2)	-0.1%
Total Disbursements	**117.4**	**109.6**	**181.4**	**144.4**	**144.8**	**175.2**	**169.0**	**147.6**	**146.5**	**139.0**	**208.0**	**-**	**-**	**1,682.9**	**1,989.9**	**(307.0)**	**-15.4%**
Excess (Deficiency) of Receipts over Disbursements	**(5.7)**	**19.0**	**(72.5)**	**80.0**	**7.1**	**30.0**	**3.1**	**21.8**	**51.8**	**(17.1)**	**77.3**	**-**	**-**	**194.8**	**209.9**	**(15.1)**	**-7.2%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%
Transfers to Other Funds	-	(104.3)	(4.6)	-	(5.3)	-	(2.2)	-	-	(2.4)	(263.8)		367.0	(15.6)	-	(15.6)	-100.0%
Total Other Financing Sources (Uses)	**-**	**(104.3)**	**(4.6)**	**-**	**(5.3)**	**-**	**(2.2)**	**-**	**-**	**(2.4)**	**(263.8)**	**-**	**367.0**	**(15.6)**	**-**	**(15.6)**	**-100.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (5.7)**	**$ (85.3)**	**$ (77.1)**	**$ 80.0**	**$ 1.8**	**$ 30.0**	**$ 0.9**	**$ 21.8**	**$ 51.8**	**$ (19.5)**	**$ (186.5)**	**$ -**	**$ 367.0**	**$ 179.2**	**$ 209.9**	**$ (30.7)**	**-14.6%**

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State Funds.

From the digital collections of the New York State Library.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT J

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 62.5	$ 87.3	$ 96.3	$ 78.5	$ 105.0	$ 136.0	$ 57.0	$ 76.4	$ 74.2	$ 66.6	$ 75.3		$ 62.5	$ 83.7	$ (21.2)	-25.3%
RECEIPTS:																
Miscellaneous Receipts	4.8	5.3	5.7	14.0	35.7	9.4	7.3	3.6	4.0	4.1	4.5		98.4	145.2	(46.8)	-32.2%
Federal Receipts	9.2	2.8	2.4	3.0	4.7	4.2	3.3	3.0	3.6	2.8	3.7		42.7	1,431.6	(1,388.9)	-97.0%
Unemployment Taxes	244.8	185.9	172.3	217.1	183.5	175.8	181.8	165.0	234.4	241.5	226.2		2,228.3	2,738.1	(509.8)	-18.6%
Total Receipts	258.8	194.0	180.4	234.1	223.9	189.4	192.4	171.6	242.0	248.4	234.4	-	2,369.4	4,314.9	(1,945.5)	-45.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.4	0.4	0.7	0.4	2.0	0.6	-	0.5	0.3	0.3		6.0	6.0	-	0.0%
Non-Personal Service	2.4	4.2	4.3	4.0	5.9	68.0	5.4	3.6	3.7	3.5	3.6		108.6	132.6	(24.0)	-18.1%
General State Charges	-	-	0.2	-	0.1	0.4	-	0.1	-	-	0.2		1.0	1.9	(0.9)	-47.4%
Unemployment Benefits	231.2	180.4	193.3	202.9	186.5	197.7	167.0	170.1	245.4	235.9	230.0		2,240.4	4,166.6	(1,926.2)	-46.2%
Total Disbursements	234.0	185.0	198.2	207.6	192.9	268.1	173.0	173.8	249.6	239.7	234.1	-	2,356.0	4,307.1	(1,951.1)	-45.3%
Excess (Deficiency) of Receipts over Disbursements	24.8	9.0	(17.8)	26.5	31.0	(78.7)	19.4	(2.2)	(7.6)	8.7	0.3	-	13.4	7.8	5.6	71.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	(0.3)	-	-	-	-	-		(0.3)	-	0.3	100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	(0.3)	-	-	-	-	-	-	(0.3)	-	0.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	24.8	9.0	(17.8)	26.5	31.0	(79.0)	19.4	(2.2)	(7.6)	8.7	0.3	-	13.1	7.8	5.9	75.6%
Ending Fund Balance	$ 87.3	$ 96.3	$ 78.5	$105.0	$ 136.0	$ 57.0	$ 76.4	$ 74.2	$ 66.6	$ 75.3	$ 75.6	$ -	$ 75.6	$ 91.5	$ (15.9)	-17.4%

From the digital collections of the New York State Library.

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT K

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (72.7)	$ (86.1)	$ (116.6)	$ (152.2)	$ (162.6)	$ (143.0)	$ (183.4)	$ (205.4)	$ (216.2)	$ (241.9)	$ (242.1)		$ (72.7)	$ (6.4)	$ (66.3)	-1,035.9%
RECEIPTS:																
Miscellaneous Receipts	22.3	36.1	42.4	30.1	38.1	50.8	31.0	34.1	35.7	39.8	51.8		412.2	395.2	17.0	4.3%
Total Receipts	**22.3**	**36.1**	**42.4**	**30.1**	**38.1**	**50.8**	**31.0**	**34.1**	**35.7**	**39.8**	**51.8**	**-**	**412.2**	**395.2**	**17.0**	**4.3%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	6.7	7.1	9.1	9.4	6.4	6.4	7.0	6.4	9.0	6.1	6.0		79.6	100.6	(21.0)	-20.9%
Non-Personal Service	30.6	55.8	62.3	30.4	27.1	77.3	44.2	31.7	52.1	34.9	47.1		493.5	459.0	34.5	7.5%
General State Charges	2.0	5.1	7.1	1.3	1.9	5.8	3.8	9.6	1.9	-	11.3		49.8	56.9	(7.1)	-12.5%
Total Disbursements	**39.3**	**68.0**	**78.5**	**41.1**	**35.4**	**89.5**	**55.0**	**47.7**	**63.0**	**41.0**	**64.4**	**-**	**622.9**	**616.5**	**6.4**	**1.0%**
Excess (Deficiency) of Receipts over Disbursements	**(17.0)**	**(31.9)**	**(36.1)**	**(11.0)**	**2.7**	**(38.7)**	**(24.0)**	**(13.6)**	**(27.3)**	**(1.2)**	**(12.6)**	**-**	**(210.7)**	**(221.3)**	**10.6**	**4.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.6	1.4	1.6	0.6	16.9	14.7	2.0	2.8	1.8	1.0	3.4		49.8	65.8	(16.0)	-24.3%
Transfers to Other Funds	-	-	(1.1)	-	-	(16.4)	-	-	(0.2)	-	-		(17.7)	(18.4)	(0.7)	-3.8%
Total Other Financing Sources (Uses)	**3.6**	**1.4**	**0.5**	**0.6**	**16.9**	**(1.7)**	**2.0**	**2.8**	**1.6**	**1.0**	**3.4**	**-**	**32.1**	**47.4**	**(15.3)**	**-32.3%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**(13.4)**	**(30.5)**	**(35.6)**	**(10.4)**	**19.6**	**(40.4)**	**(22.0)**	**(10.8)**	**(25.7)**	**(0.2)**	**(9.2)**	**-**	**(178.6)**	**(173.9)**	**(4.7)**	**-2.7%**
Ending Fund Balance	$ (86.1)	$ (116.6)	$ (152.2)	$ (162.6)	$ (143.0)	$ (183.4)	$ (205.4)	$ (216.2)	$ (241.9)	$ (242.1)	$ (251.3)	$ -	$ (251.3)	$ (180.3)	$ (71.0)	-39.4%

From the digital collections of the New York State Library.

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT L

	2014									2015			11 Months Ended February 28			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (3.9)	$ (4.4)	$ (0.2)	$ (0.8)	$ (1.8)	$ (0.1)	$ (1.2)	$ (1.7)	$ (4.5)	$ (2.0)	$ (2.8)		$ (3.9)	$ (3.7)	$ (0.2)	-5.4%
RECEIPTS:																
Miscellaneous Receipts	4.8	14.7	4.6	6.8	15.0	4.6	4.5	16.1	6.8	4.7	4.6		87.2	93.8	(6.6)	-7.0%
Total Receipts	**4.8**	**14.7**	**4.6**	**6.8**	**15.0**	**4.6**	**4.5**	**16.1**	**6.8**	**4.7**	**4.6**	**-**	**87.2**	**93.8**	**(6.6)**	**-7.0%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	4.8	4.5	4.6	6.8	4.5	4.5	4.5	4.6	3.3	4.7	4.6		51.4	50.1	1.3	2.6%
Non-Personal Service	0.5	1.0	0.6	1.0	1.0	1.2	0.5	5.3	1.1	0.8	2.6		15.6	19.6	(4.0)	-20.4%
General State Charges	-	5.0	-	-	7.8	-	-	9.0	(0.1)	-	7.0		28.7	31.8	(3.1)	-9.7%
Total Disbursements	**5.3**	**10.5**	**5.2**	**7.8**	**13.3**	**5.7**	**5.0**	**18.9**	**4.3**	**5.5**	**14.2**	**-**	**95.7**	**101.5**	**(5.8)**	**-5.7%**
Excess (Deficiency) of Receipts over Disbursements	**(0.5)**	**4.2**	**(0.6)**	**(1.0)**	**1.7**	**(1.1)**	**(0.5)**	**(2.8)**	**2.5**	**(0.8)**	**(9.6)**	**-**	**(8.5)**	**(7.7)**	**(0.8)**	**-10.4%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**(0.5)**	**4.2**	**(0.6)**	**(1.0)**	**1.7**	**(1.1)**	**(0.5)**	**(2.8)**	**2.5**	**(0.8)**	**(9.6)**	**-**	**(8.5)**	**(7.7)**	**(0.8)**	**-10.4%**
Ending Fund Balance	$ (4.4)	$ (0.2)	$ (0.8)	$ (1.8)	$ (0.1)	$ (1.2)	$ (1.7)	$ (4.5)	$ (2.0)	$ (2.8)	$ (12.4)	$ -	$ (12.4)	$ (11.4)	$ (1.0)	-8.8%

From the digital collections of the New York State Library.

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2014-2015
(Amounts in millions)

EXHIBIT M

	2014									2015			11 Months Ended February 28			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 10.9	$ 11.0	$ 11.1	$ 11.3	$ 11.3	$ 11.3	$ 11.3	$ 11.4	$ 11.5	$ 11.4	$ 11.4		$ 10.9	$ 10.3	$ 0.6	5.8%
RECEIPTS:																
Miscellaneous Receipts	0.1	0.1	0.2	0.1	-	0.1	0.1	0.1	-	-	0.1		0.9	0.9	-	0.0%
Total Receipts	0.1	0.1	0.2	0.1	-	0.1	0.1	0.1	-	-	0.1	-	0.9	0.9	-	0.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-	-	-	0.1	-	-		0.2	0.2	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1	-	-	-	-	-		0.1	0.1	-	0.0%
Total Disbursements	-	-	-	0.1	-	0.1	-	-	0.1	-	-	-	0.3	0.3	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.2	-	-	-	0.1	0.1	(0.1)	-	0.1	-	0.6	0.6	-	0.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-		-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.2	-	-	-	0.1	0.1	(0.1)	-	0.1	-	0.6	0.6	-	0.0%
Ending Fund Balance	$ 11.0	$ 11.1	$ 11.3	$ 11.3	$ 11.3	$ 11.3	$ 11.4	$ 11.5	$ 11.4	$ 11.4	$ 11.5	$ -	$ 11.5	$ 10.9	$ 0.6	5.5%

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2015
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.007	$ 2,499.385	$ 2,499.378	$ -
10050-10099-State Operations Account	10,285.792	2,738.995	(27.876)	(1,910.853)	11,141.810
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	76.736	-	0.608	-	76.128
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	530.858	530.858	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**10,362.528**	**3,269.860**	**3,002.975**	**588.525**	**11,217.938**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.244	0.004	0.003	-	2.245
20100-20299-Combined Expendable Trust	65.769	1.142	0.409	-	66.502
20300-20349-New York Interest on Lawyer Account	10.309	0.780	1.048	-	10.041
20350-20399-NYS Archives Partnership Trust	0.107	-	0.052	-	0.055
20400-20449-Child Performer's Protection	0.151	0.008	0.080	-	0.079
20450-20499-Tuition Reimbursement	5.447	0.282	0.425	-	5.304
20500-20549-New York State Local Government Records Management Improvement	2.225	0.652	0.504	-	2.373
20550-20599-School Tax Relief	3.490	2.963	2.975	-	3.478
20600-20649-Charter Schools Stimulus	0.829	-	-	4.837	5.666
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	122.651	445.546	349.886	(8.464)	209.847
20850-20899-Dedicated Mass Transportation Trust	66.324	47.643	61.688	-	52.279
20900-20949-State Lottery	(243.052)	263.132	153.796	-	(133.716)
20950-20999-Combined Student Loan	14.975	2.675	6.324	-	11.326
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.788)	-	0.171	-	(4.959)
21050-21149-Encon Special Revenue	(27.470)	3.357	6.246	-	(30.359)
21150-21199-Conservation	90.743	1.291	4.983	-	87.051
21200-21249-Environmental Protection and Oil Spill Compensation	16.531	3.765	1.767	-	18.529
21250-21299-Training and Education Program on OSHA	4.050	9.000	2.940	-	10.110
21300-21349-Lawyers' Fund for Client Protection	8.859	0.565	0.058	-	9.366
21350-21399-Equipment Loan for the Disabled	0.519	0.003	0.005	-	0.517
21400-21449-Mass Transportation Operating Assistance	(305.606)	65.206	39.333	-	(279.733)
21450-21499-Clean Air	(21.179)	1.310	3.680	-	(23.549)
21500-21549-New York State Infrastructure Trust	0.069	-	-	-	0.069
21550-21599-Legislative Computer Services	10.711	0.085	0.076	-	10.720
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	3.488	-	-	-	3.488
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.817	0.001	-	-	0.818
21900-22499-Miscellaneous State Special Revenue	789.696	198.726	752.804	265.003	500.621

From the digital collections of the New York State Library.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2015
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	17.817	0.002	11.827	-	5.992
22550-22599-Employment Training	0.049	-	-	-	0.049
22650-22699-State University Income	696.787	527.745	445.020	31.995	811.507
22700-22749-Chemical Dependence Service	17.421	0.006	0.442	-	16.985
22750-22799-Lake George Park Trust	0.242	0.400	(0.053)	-	0.695
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	55.523	5.745	0.203	(25.000)	36.065
22850-22899-New York Great Lakes Protection	0.235	-	0.007	-	0.228
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.873	0.001	-	-	9.874
23000-23049-NYS/DOT Highway Safety Program	(6.560)	0.281	0.214	-	(6.493)
23050-23099-Vocational Rehabilitation	0.144	0.012	0.013	-	0.143
23100-23149-Drinking Water Program Management and Administration	(9.088)	-	0.185	-	(9.273)
23150-23199-NYC County Clerks' Operations Offset	(42.975)	-	4.488	-	(47.463)
23200-23249-Judiciary Data Processing Offset	9.123	2.080	2.893	-	8.310
23250-23449-IFR/CUTRA	124.901	2.615	2.219	-	125.297
23500-23549-USOC Lake Placid Training	0.094	0.004	-	-	0.098
23550-23599-Indigent Legal Services	157.171	6.176	1.852	-	161.495
23600-23649-Unemployment Insurance Interest and Penalty	13.924	0.971	0.503	-	14.392
23650-23699-MTA Financial Assistance Fund	125.394	161.323	175.365	1.661	113.013
23700-23749-New York State Commercial Gaming Fund	(6.282)	-	0.295	-	(6.577)
40350-40399-State University Dormitory Income	131.921	38.927	-	(23.522)	147.326
TOTAL SPECIAL REVENUE FUNDS-STATE	**1,913.647**	**1,794.424**	**2,034.726**	**246.510**	**1,919.855**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(12.157)	180.799	190.585	-	(21.943)
25100-25199-Federal Health and Human Services	(291.715)	3,250.858	2,824.148	(389.736)	(254.741)
25200-25249-Federal Education	(13.254)	314.857	336.274	-	(34.671)
25300-25899-Federal Miscellaneous Operating Grants	(158.590)	110.909	93.210	(0.037)	(140.928)
25900-25949-Unemployment Insurance Administration	57.986	47.801	37.125	-	68.662
25950-25999-Unemployment Insurance Occupational Training	1.139	1.253	0.902	-	1.490
26000-26049-Federal Employment and Training Grants	(0.920)	15.724	15.490	-	(0.686)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**(417.511)**	**3,922.201**	**3,497.734**	**(389.773)**	**(382.817)**
TOTAL SPECIAL REVENUE FUNDS	**1,496.136**	**5,716.625**	**5,532.460**	**(143.263)**	**1,537.038**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	373.810	48.089	163.495	13.427	271.831
40150-40199-General Debt Service	1,389.817	1,087.367	390.141	(508.632)	1,578.411
40250-40299-State Housing Debt Service	-	1.464	0.031	(1.433)	-
40300-40349-Department of Health Income	21.564	13.752	-	(12.367)	22.949
40400-40449-Clean Water/Clean Air	34.144	78.645	-	(91.106)	21.683
40450-40499-Local Government Assistance Tax	3.073	200.407	-	2.194	205.674
TOTAL DEBT SERVICE FUNDS	**1,822.408**	**1,429.724**	**553.667**	**(597.917)**	**2,100.548**

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2015
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	13.487	192.600	179.113	-
30050-30099-Dedicated Highway and Bridge Trust	(749.749)	153.446	235.509	232.155	(599.657)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	114.260	2.321	2.530	1.900	115.951
30300-30349-New York State Canal System Development	3.491	0.131	-	-	3.622
30350-30399-Parks Infrastructure	(124.697)	10.867	11.408	-	(125.238)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	69.306	13.590	14.111	-	68.785
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.816	-	-	(0.013)	1.803
30650-30659-Rebuild and Renew New York Transportation Bond	18.269	-	-	(0.496)	17.773
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	11.840	-	-	(0.896)	10.944
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.814	-	-	-	2.814
30690-30699-Clean Water/Clean Air Bond	1.572	-	-	-	1.572
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(185.685)	285.344	208.060	(263.779)	(372.180)
31450-31499-Forest Preserve Expansion	0.898	-	-	-	0.898
31500-31549-Hazardous Waste Remedial	(107.603)	0.687	5.302	(0.311)	(112.529)
31650-31699-Suburban Transportation	0.506	-	-	-	0.506
31700-31749-Division for Youth Facilities Improvement	(5.484)	-	2.145	-	(7.629)
31800-31849-Housing Assistance	(13.973)	-	-	-	(13.973)
31850-31899-Housing Program	(97.185)	-	0.054	-	(97.239)
31900-31949-Natural Resource Damage	14.982	0.002	0.008	-	14.976
31950-31999-DOT Engineering Services	(12.577)	-	(0.016)	0.240	(12.321)
32200-32249-Miscellaneous Capital Projects	41.465	0.493	6.025	-	35.933
32250-32299-CUNY Capital Projects	(0.023)	-	-	-	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(417.498)	1.104	10.348	-	(426.742)
32350-32399-Correction Facilities Capital Improvement	(50.076)	-	21.420	-	(71.496)
32400-32999-State University Capital Projects	275.451	0.029	4.850	-	270.630
33000-33049-NYS Storm Recovery Fund	(0.001)	-	-	-	(0.001)
TOTAL CAPITAL PROJECTS FUNDS	**(1,199.452)**	**481.501**	**714.354**	**147.913**	**(1,284.392)**
TOTAL GOVERNMENTAL FUNDS	**$ 12,481.620**	**$ 10,897.710**	**$ 9,803.456**	**$ (4.742)**	**$ 13,571.132**

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STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2015
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.200	$ 0.005	$ 0.003	$ -	$ 0.202
50050-50099-State Exposition Special	0.110	0.891	0.764	-	0.237
50100-50299-Correctional Services Commissary	2.681	2.780	2.709	-	2.752
50300-50399-Agencies Enterprise	3.249	0.321	0.460	-	3.110
50400-50449-Sheltered Workshop	1.752	0.012	0.035	-	1.729
50450-50499-Patient Workshop	1.414	0.140	0.035	-	1.519
50500-50599-Mental Hygiene Community Stores	4.175	0.093	0.075	-	4.193
50650-50699-Unemployment Insurance Benefit	61.694	230.111	229.950	-	61.855
TOTAL ENTERPRISE FUNDS	**75.275**	**234.353**	**234.031**	**-**	**75.597**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(90.880)	39.992	43.828	1.658	(93.058)
55050-55099-Agency Internal Service	(111.096)	5.642	8.921	2.123	(112.252)
55100-55149-Mental Hygiene Revolving	0.126	0.050	0.058	-	0.118
55150-55199-Youth Vocational Education	0.058	0.002	0.003	-	0.057
55200-55249-Joint Labor and Management Administration	1.240	-	0.029	-	1.211
55250-55299-Audit and Control Revolving	(1.525)	-	2.005	-	(3.530)
55300-55349-Health Insurance Revolving	(14.818)	1.998	2.187	(0.191)	(15.198)
55350-55399-Correctional Industries Revolving	(25.161)	4.031	7.362	(0.148)	(28.640)
TOTAL INTERNAL SERVICE FUNDS	**(242.056)**	**51.715**	**64.393**	**3.442**	**(251.292)**
TOTAL PROPRIETARY FUNDS	**$ (166.781)**	**$ 286.068**	**$ 298.424**	**$ 3.442**	**$ (175.695)**

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STATE OF NEW YORK **SCHEDULE 3**
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

FUND TYPE	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2015
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (2.812)	$ 4.616	$ 14.188	$ -	$ (12.384)
TOTAL PENSION TRUST FUNDS	**(2.812)**	**4.616**	**14.188**	**-**	**(12.384)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.194	-	0.006	-	2.188
66050-66099-Milk Producers' Security	9.240	0.050	0.015	-	9.275
TOTAL PRIVATE PURPOSE TRUST FUNDS	**11.434**	**0.050**	**0.021**	**-**	**11.463**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	17.012	3.235	-	-	20.247
60150-60199-Child Performer's Holding	0.247	-	-	-	0.247
60200-60249-Employees Health Insurance	742.722	610.546	654.883	-	698.385
60250-60299-Social Security Contribution	14.329	87.748	87.739	-	14.338
60300-60399-Employee Payroll Withholding	17.750	333.109	332.909	-	17.950
60400-60449-Employees Dental Insurance	7.112	6.360	7.195	-	6.277
60450-60499-Management Confidential Group Insurance	0.687	0.828	1.045	-	0.470
60500-60549-Lottery Prize	411.649	151.680	168.989	-	394.340
60550-60599-Health Insurance Reserve Receipts	0.116	0.004	-	-	0.120
60600-60799-Miscellaneous New York State Agency	1,219.865	74.700	35.493	-	1,259.072
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	24.845	6.646	6.595	-	24.896
60850-60899-CUNY Senior College Operating	134.709	110.003	150.239	-	94.473
60900-60949-Medicaid Management Information System (MMIS) Escrow	264.665	4,325.480	4,324.184	1.300	267.261
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	348.055	(133.116)	-	-	214.939
61100-61999-State University Federal Direct Lending Program	(21.881)	13.589	-	-	(8.292)
62000-62049-SSP SSI Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,181.882**	**5,590.812**	**5,769.271**	**1.300**	**3,004.723**
TOTAL FIDUCIARY FUNDS	**$ 3,190.504**	**$ 5,595.478**	**$ 5,783.480**	**$ 1.300**	**$ 3,003.802**

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STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF FEBRUARY 2015
(Amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE FEBRUARY 1, 2015	RECEIPTS	DISBURSEMENTS	BALANCE FEBRUARY 28, 2015
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.710	$ -	$ -	$ 2.710
70050-70149-Sole Custody Investment (*)	1,913.260	8,451.253	8,677.201	1,687.312
70200-Comptroller's Refund	-	233.949	233.949	-
TOTAL ACCOUNTS	**$ 1,915.970**	**$ 8,685.202**	**$ 8,911.150**	**$ 1,690.022**

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of February 28, 2015, $9,362,012.21 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

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STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2015

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2014	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING FEB. 28, 2015	INTEREST DISBURSED	
		MONTH OF FEBRUARY	11 MONTHS ENDED FEB. 28, 2015	MONTH OF FEBRUARY	11 MONTHS ENDED FEB. 28, 2015		MONTH OF FEBRUARY	11 MONTHS ENDED FEB. 28, 2015
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 225,893,326.07	$ -	$ -	$ 3,580,295.22	$ 70,802,356.17	$ 155,090,969.90	$ 562,717.73	$ 8,778,853.32
Clean Water/Clean Air:								
Air Quality	20,167,909.83	-	-	149,693.30	5,323,729.27	14,844,180.56	25,829.42	477,225.99
Safe Drinking Water	7,931.55	-	-	-	-	7,931.55	-	188.37
Water	437,734,140.86	-	-	7,825,977.78	14,773,223.88	422,960,916.98	2,478,382.75	12,807,247.68
Solid Waste	49,084,177.04	-	-	2,797,692.63	4,346,722.42	44,737,454.62	76,044.83	920,241.12
Environmental Restoration	81,538,241.15	-	-	4,534,035.01	5,614,134.42	75,924,106.73	697,913.98	2,689,249.97
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	6,682,382.48	-	-	-	1,174,582.50	5,507,799.98	-	247,324.54
Environmental Quality Protection (1972):								
Air	4,783,793.96	-	-	34,981.87	1,797,335.73	2,986,458.23	816.53	194,136.60
Land and Wetlands	11,542,293.88	-	-	296,894.16	3,134,267.92	8,408,025.96	40,750.97	466,890.92
Water	49,301,767.16	-	-	53,706.35	8,261,320.78	41,040,446.38	29,435.55	1,569,024.25
Environmental Quality (1986):								
Land and Forests	22,142,201.72	-	-	582,681.62	2,352,529.00	19,789,672.72	75,116.89	676,165.20
Solid Waste Management	272,933,488.67	-	-	25,112,634.13	39,353,529.39	233,579,959.28	1,787,443.95	8,199,874.26
Housing:								
Low Cost	23,660,000.00	-	-	-	3,770,000.00	19,890,000.00	30,600.00	698,100.00
Middle Income	22,025,000.00	-	-	-	4,740,000.00	17,285,000.00	-	308,075.00
Park and Recreation Land Acquisition	12,074.28	-	-	-	-	12,074.28	-	241.49
Pure Waters	46,037,669.84	-	-	60,081.07	5,962,129.07	40,075,540.77	71,235.66	1,508,912.99
Rail Preservation Development	1,143,996.32	-	-	-	396,834.19	747,162.13	-	43,153.02
Rebuild and Renew New York Transportation:								
Highway Facilities	826,297,781.46	-	-	13,423,955.95	18,020,723.22	808,277,058.24	6,770,762.31	27,221,978.13
Canals and Waterways	13,426,360.83	-	-	781,992.12	1,160,441.42	12,265,919.41	95,783.25	480,985.90
Aviation	53,727,834.17	-	-	1,418,843.86	1,418,843.86	52,308,990.31	606,180.37	1,760,384.96
Rail and Port	75,095,780.09	-	-	1,904,174.37	1,904,174.37	73,191,605.72	1,399,517.84	3,147,252.92
Mass Transit - Dept. of Transportation	9,644,677.82	-	-	1,252,011.55	1,252,011.55	8,392,666.27	182,289.47	399,076.85
Mass Transit - Metropolitan Transportation Authority	914,697,696.96	-	-	10,946,864.67	16,289,240.99	898,408,455.97	8,503,788.59	31,761,854.28
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	2,613,008.91	-	-	18,484.34	30,882.58	2,582,126.33	3,762.49	64,550.99
Rapid Transit, Rail and Aviation	9,853,974.12	-	-	-	1,588,952.86	8,265,021.26	-	372,590.44
Transportation Capital Facilities:								
Aviation	11,168,370.85	-	-	-	1,756,298.62	9,412,072.23	-	375,135.71
Mass Transportation	119,119.44	-	-	-	40,735.79	78,383.65	-	5,063.22
Total General Obligation Bonded Debt	**$ 3,191,334,999.46**	**$ -**	**$ -**	**$ 74,775,000.00**	**$ 215,265,000.00**	**$ 2,976,069,999.46**	**$ 23,438,372.58**	**$ 105,173,778.12**

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STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE ELEVEN MONTHS ENDED FEBRUARY 28, 2015

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE FUND (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 11 MONTHS ENDED FEB. 28 2015	2014	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
Payments to Public Authorities:										
City University Construction	$ -	$ 182,263,910	$ -	$ -	$ -	$ -	$ -	$ 182,263,910	$ 192,807,631	$ (10,543,721)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	275,719	(275,719)
Consolidated Service Contract Refunding	-	12,644,251	-	-	-	-	-	12,644,251	13,353,701	(709,450)
DASNY Revenue Bond	-	-	-	-	-	874,026,728	22,740,335	896,767,063	-	896,767,063
David Axelrod Institute	-	717,887	-	-	-	-	-	717,887	5,598,154	(4,880,267)
Department of Health Facilities	-	-	28,202,126	-	-	-	-	28,202,126	28,207,983	(5,857)
Economic Development Housing	-	-	-	-	-	-	-	-	69,503,854	(69,503,854)
Education	-	-	-	-	-	-	-	-	164,148,567	(164,148,567)
General Purpose	-	-	-	-	-	-	-	-	628,458,730	(628,458,730)
Health Care	-	-	-	-	-	-	-	-	2,822,019	(2,822,019)
Mental Health Facilities	-	-	-	-	255,809,225	-	-	255,809,225	295,093,793	(39,284,568)
OGS Parking	-	-	-	-	-	-	-	-	474,125	(474,125)
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	24,145,791	-	-	-	-	-	24,145,791	12,048,759	12,097,032
State Department of Education Facilities	-	-	-	-	-	-	-	-	916,516	(916,516)
State Facilities and Equipment	-	-	-	-	-	-	-	-	65,270	(65,270)
SUNY Community Colleges	-	158,675	-	-	-	-	-	158,675	28,026,713	(27,868,038)
SUNY Educational Facilities	-	-	-	-	-	-	-	-	116,219,270	(116,219,270)
Environmental Facilities Corporation	-	1,568,497	-	-	-	82,608,728	-	84,177,225	80,509,988	3,667,237
Housing Finance Agency	-	30,306,639	-	-	-	34,850,530	-	65,157,169	60,686,766	4,470,403
Local Government Assistance Corporation	-	-	-	52,772,964	-	-	-	52,772,964	57,621,657	(4,848,693)
Metropolitan Transportation Authority										
Transit and Commuter Rail Projects	-	79,857,004	-	-	-	-	-	79,857,004	74,688,583	5,168,421
Thruway Authority:										
Dedicated Highway and Bridge	-	777,503,370	-	-	-	-	-	777,503,370	895,862,295	(118,358,925)
Local Highway and Bridge	-	92,023,450	-	-	-	-	-	92,023,450	95,441,800	(3,418,350)
Transportation	-	-	-	-	-	71,068,075	-	71,068,075	73,893,575	(2,825,500)
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	880,525	-	-	-	-	-	880,525	946,825	(66,300)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	3,719,000	(3,719,000)
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	352,814,929	(352,814,929)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	493,000	(493,000)
Correctional Facilities	-	-	-	-	-	-	-	-	28,149,222	(28,149,222)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	140,065,665	(140,065,665)
General Purpose	-	-	-	-	-	-	-	-	245,933,711	(245,933,711)
State Facilities and Equipment	-	-	-	-	-	-	-	-	38,798,562	(38,798,562)
Syracuse University Science and Technology Center	-	2,456,625	-	-	-	-	-	2,456,625	2,645,675	(189,050)
UDC Revenue Bond	-	-	-	-	-	449,022,334		449,022,334	-	449,022,334
University Facilities Grant 95 Refunding	-	1,337,397	-	-	-	-	-	1,337,397	1,597,519	(260,122)
Total Disbursements for Special Contractual Financing Obligations	$ -	$ 1,205,864,021	$ 28,202,126	$ 52,772,964	$ 255,809,225	$ 1,511,576,395	$ 22,740,335	$ 3,076,965,066	$ 3,711,889,576	$ (634,924,510)

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STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF FEBRUARY 2015
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

	FEBRUARY 2015	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE FEBRUARY 2014
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 13,072.0	$ 8,735.0	$ 10,971.2
AVERAGE YIELD (**)	0.109%	0.118%	0.115%
TOTAL INVESTMENT EARNINGS	$ 1.193	$ 8.183	$ 1.159

Month-End Portfolio Balances

DESCRIPTION	FEB. 2015 PAR AMOUNT	FEB. 2014 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 800.2	$ 500.0
REPURCHASE AGREEMENTS	24.4	1,522.9
COMMERCIAL PAPER	9,594.4	4,689.1
CERTIFICATES OF DEPOSIT/SAVINGS	2,444.3	4,102.9
0% COMPENSATING BALANCE CDs	5,148.0	4,950.0
	$ 18,011.3	**$ 15,764.9**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

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STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2014-2015

APPENDIX A

	2014 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2015 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28, 2015
OPENING CASH BALANCE	$ 9,025,679	$ 196,475,771	$ 66,608,124	$ 178,215,656	$ 97,962,678	$ 99,630,322	$ 114,513,887	$ 76,578,876	$ 84,406,443	$ 98,655,399	$ 122,651,355		$ 9,025,679
RECEIPTS:													
Cigarette Tax	86,225,065	79,437,551	81,085,023	93,861,862	85,303,588	87,074,834	85,900,008	73,739,870	89,004,706	72,865,007	60,626,585		895,124,099
State Share of NYC Cigarette Tax	4,012,000	3,554,000	3,770,000	4,006,000	4,032,000	3,268,000	4,444,000	3,308,000	4,104,000	2,959,000	2,770,000		40,227,000
STIP Interest	21,843	29,086	41,336	19,299	25,741	21,083	19,248	22,953	22,200	24,738	35,564		283,091
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-	-		-
Assessments	327,312,964	372,120,819	353,024,601	394,411,055	347,150,947	365,216,101	376,938,126	349,178,731	388,530,100	390,078,510	381,486,559		4,045,448,513
Fees	490,000	83,000	1,673,000	516,000	52,000	1,304,000	440,000	47,000	3,067,000	1,248,000	229,000		9,149,000
Rebates	1,076,540	500	373,042	16,866,988	554,327	783,284	3,739,321	4,520,138	3,363,625	3,611,082	398,304		35,287,151
Restitution and Settlements	52,000	-	-	-	-	49,000	-	52,000	-	305,000	-		458,000
Miscellaneous	-	-	-	1,680	2,313	309	15,287	231,799	-	52,540	387		304,315
Total Receipts	419,190,412	455,224,956	439,967,002	509,682,884	437,120,916	457,716,611	471,495,990	431,100,491	488,091,631	471,143,877	445,546,399	-	5,026,281,169
DISBURSEMENTS:													
Grants	228,480,717	581,736,155	315,835,722	586,191,597	429,440,168	427,810,646	504,309,583	408,544,501	469,907,963	441,286,203	345,783,127		4,739,326,382
Interest - Late Payments	179	2,502	(1,829)	923	2,472	6,504	2,730	(151)	(1,134)	1,397	145		13,738
Personal Service	789,665	804,645	476,998	1,161,934	771,857	538,165	486,546	764,470	984,394	769,106	1,030,993		8,578,773
Non-Personal Service	1,766,643	1,232,981	947,236	1,650,314	2,192,889	4,368,247	3,770,971	1,799,476	1,996,699	2,934,900	2,401,350		25,061,706
Employee Benefits/Indirect Costs	-	670,503	392,594	-	1,033,814	91,056	-	1,209,257	189,580	504,712	670,400		4,761,916
Total Disbursements	231,037,204	584,446,786	317,650,721	589,004,768	433,441,200	432,814,618	508,569,830	412,317,553	473,077,502	445,496,318	349,886,015	-	4,777,742,515
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-	-		-
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-		-
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,320,933	-	-	-	-	1,306,200		11,933,333
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-	-	-	-	-	-		-
Empire State Stem Cell Trust Account	-	-	10,000,000	-	-	-	-	10,000,000	-	-	6,527,000		26,527,000
Transfers to SUNY Income Fund	703,116	645,817	708,749	931,094	705,872	697,495	861,171	955,371	765,173	1,651,603	631,275		9,256,736
Total Operating Transfers	703,116	645,817	10,708,749	931,094	2,012,072	10,018,428	861,171	10,955,371	765,173	1,651,603	8,464,475	-	47,717,069
Total Disbursements and Transfers	231,740,320	585,092,603	328,359,470	589,935,862	435,453,272	442,833,046	509,431,001	423,272,924	473,842,675	447,147,921	358,350,490	-	4,825,459,584
CLOSING CASH BALANCE	$ 196,475,771	$ 66,608,124	$ 178,215,656	$ 97,962,678	$ 99,630,322	$ 114,513,887	$ 76,578,876	$ 84,406,443	$ 98,655,399	$ 122,651,355	$ 209,847,264	$ -	$ 209,847,264

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STATE OF NEW YORK **APPENDIX B**
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2014-2015

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	February	11 Months Ended February 28, 2015 (**)
AIDS INSTITUTE PROGRAM	**$ 75,016,000**						
COMMUNITY SERVICE PROG - HIGH RISK		$ -	$ -	$ -	$ -	$ -	$ -
HIV CLINICAL AND PROVIDER EDUCATION		-	-	-	-	-	-
HIV HEALTH CARE SUPPORTIVE SERVICES		-	-	-	-	-	-
HIV STD HEPATITIS C PREVENTION		-	-	-	-	-	-
INFANTS AND PREGNANT WOMEN		-	-	-	-	-	-
REGIONAL AND TARGETED		-	-	-	-	-	-
CENTER FOR COMMUNITY HEALTH PROGRAM	**155,775,682**						
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-	-
CENTER FOR COMMUNITY HLTH		534,867	608,653	655,554	268,134	222,377	2,289,585
EVIDENCE BASED CANCER SVC		-	-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	(5,000)	-	(5,000)
INDIAN HEALTH PROGRAM		(140)	(7)	(1,521)	(415)	-	(2,083)
LEAD POISONING PREVENTION		-	-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-	-
RAPE CRISIS		-	-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	**996,438,800**						-
CHILD HEALTH INSURANCE		68,544,826	134,295,628	70,496,118	23,550,958	25,326,295	322,213,825
COMMUNITY SUPPORT PROGRAM	**120,000**						
COMMUNITY SUPPORT		15,000	15,000	15,000	-	15,000	60,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**342,821,000**						
ELDERLY PHARMACEUTICAL INSURANCE COV		22,246,334	41,681,136	36,760,165	10,395,533	9,553,084	120,636,252
HEALTH CARE FINANCING PROGRAM	**4,608,800**						
HEALTH CARE FINANCING		285,410	(153,480)	-	-	-	131,930
HEALTH CARE REFORM ACT PROGRAM	**1,873,668,384**						
AIDS DRUG ASSISTANCE		-	-	28,750,000	-	-	28,750,000
AMBULATORY CARE TRAINING		149,842	712,431	275,338	31,388	164,568	1,333,567
AREA HEALTH EDUCATION CENTER		-	1,638,342	439,055	-	-	2,077,397
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	250,000	-	-	-	250,000
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		-	-	52,169,134	-	-	52,169,134
DIVERSITY IN MEDICINE		-	-	667,932	-	-	667,932
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	1,048,883	-	-	1,048,883
HCRA PAYOR/PROVIDER AUDITS		107,500	984,049	882,658	-	875,124	2,849,331
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		222,686	(9,540)	1,894,717	171,844	2,495,768	4,775,475
INFERTILITY SERVICES GRANTS		173,667	539,976	468,793	270,001	35,663	1,488,100
MEDICAL INDEMNITY FUND		-	-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		161,828	-	-	-	-	161,828
PART 405.4 HOSPITAL AUDITS NYCRR		-	291,674	259,073	-	194,032	744,779

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STATE OF NEW YORK **APPENDIX B**
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2014-2015

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	February	11 Months Ended February 28, 2015 (**)
PAY FOR PERFORMANCE		-	-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		-	127,400,000	-	-	-	127,400,000
PHYSICIAN LOAN REPAYMENT		807,558	443,944	429,285	82,184	105,000	1,867,971
PHYSICIAN PRACTICE SUPPORT		1,108,591	737,678	1,070,136	143,238	343,102	3,402,745
PHYSICIAN WORKFORCE STUDIES		-	-	-	-	-	-
POISON CONTROL CENTERS		-	-	-	3,000,000	-	3,000,000
POOL ADMINISTRATION		449,517	212,456	211,215	1,221,690	-	2,094,878
ROSWELL PARK CANCER INSTITUTE		24,150,000	24,151,342	24,148,658	-	-	72,450,000
RPCI CANC RSRCH OPERATING COSTS		1,500,000	1,500,083	1,499,917	-	-	4,500,000
RURAL HEALTH CARE ACCESS		2,562,653	728,874	1,896,172	1,829,626	2,192,341	9,209,666
RURAL HEALTH NETWORK		2,068,837	1,432,945	1,399,309	167,074	1,056,968	6,125,133
SCHOOL BASED HEALTH CENTERS		-	(24)	2,644,000	-	-	2,643,976
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	5,288,000	-	-	5,288,000
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	**17,588,193,000**						
BREAST AND CERVICAL CANCER		2,100,000	-	-	-	-	2,100,000
DISABLED PERSONS		23,500,000	-	-	-	-	23,500,000
FAMILY HEALTH PLUS		310,595,000	-	-	-	-	310,595,000
FINANCIAL ASSISTANCE		-	-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		458,700,000	673,761,064	-	-	-	1,132,461,064
MEDICAID INDIGENT CARE		191,680,204	234,046,648	186,919,132	65,560,329	62,881,885	741,088,198
MEDICAL ASSISTANCE		-	206,238,935	972,000,000	339,156,000	242,666,000	1,760,060,935
NYC MEDICAID		-	-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	**9,661,600**						
OFFICE OF HEALTH INSURANCE		327,377	791,790	690,003	225,014	262,197	2,296,381
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**51,651,100**						
OFFICE HEALTH SYSTEMS MANAGEMENT		3,602,862	5,293,399	3,570,110	1,081,189	2,127,196	15,674,756
OFFICE OF LONG TERM CARE	**15,528,213**						
ADULT HOME INITIATIVE		-	-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-	-
TOTAL	**21,113,482,579**	**1,135,194,419**	**1,457,592,996**	**1,396,546,836**	**447,148,787**	**350,516,600**	**4,786,999,638**
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000						
Reclass of SUNY Hospital Disprop Share to Transfer		(2,057,682)	(2,334,461)	(2,581,715)	(502,733)	(631,275)	(8,107,866)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-	-	(1,148,870)	-	(1,148,870)
Reconciling Adjustment (P-Card and T-Card)		(2,026)	2,051	(236)	(866)	690	(387)
TOTAL APPROPRIATED AMOUNT	**$ 21,113,571,579**	**$ 1,133,134,711**	**$ 1,455,260,586**	**$ 1,393,964,885**	**$ 445,496,318**	**$ 349,886,015**	**$ 4,777,742,515**

(*) Includes amounts appropriated in SFY 2014-15, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

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STATE OF NEW YORK **APPENDIX C**
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - February 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

Federal CFDA No.	Federal Agency	Program	February	Life-to-Date
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	$ -	$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	-	10,057,887.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	-	399,900.00
84.033	Department of Education	Federal Work-Study Program	-	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	-	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	136,598.41	17,435,168.82
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	-	13,530,907.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	-	53,551,200.24
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	-	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	-	260,866,068.00
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	-	906,803,696.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	-	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	-	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	-	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	-	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	11,746,035.11	519,372,536.96
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	-	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	-	2,297,731.00
84.410	Department of Education	Education Jobs Fund	-	616,479,620.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	-	411,249.00
		Total Education	**11,882,633.52**	**6,375,014,444.12**
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	-	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	-	763,000.00
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	-	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	-	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	-	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	-	432,564,200.00
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	-	86,811,000.00
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	-	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	-	395,730,364.84
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	(46.67)	1,235,479.46
		Total Energy and Environment	**(46.67)**	**933,091,474.50**
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	-	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	-	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	-	4,148,718.00
		Total Food and Nutrition Services	**-**	**11,082,466.00**
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants and Children (WIC)	-	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	-	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	-	4,172,768.48
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	-	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	-	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	-	101,131,818.69
93.658	Health and Human Services	Foster Care- Title IV-E	-	54,639,114.00
93.659	Health and Human Services	Adoption Assistance	-	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	-	5,577,399.87
93.712	Health and Human Services	ARRA - Immunization	-	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	-	96,785,640.00

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STATE OF NEW YORK **APPENDIX C**
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - February 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

Federal CFDA No.	Federal Agency	Program	February	Life-to-Date
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	-	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	-	1,166,708.33
93.778	Health and Human Services	Medical Assistance Program (FMAP)	6,488,936.05	13,997,993,288.30
94.006	Corporation for National and Community Service	AmeriCorps	-	6,672,738.91
		Total Health and Social Services	**6,488,936.05**	**15,138,730,177.88**
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	-	85,384,063.91
		Total Housing	**-**	**107,259,063.91**
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	-	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	807,277.33	16,665,292,507.67
17.235	Department of Labor	Senior Community Service - Employment Program	-	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	-	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	-	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	-	70,633,412.47
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	-	1,112,175.14
		Total Labor	**807,277.33**	**16,864,475,545.66**
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	17,684.66	7,362,205.98
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	-	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	-	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	-	1,618,399.10
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	-	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	-	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	-	66,946,360.41
		Total Public Protection	**17,684.66**	**95,236,071.90**
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	-	932,291,342.56
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	848,409.15	61,838,953.13
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	-	23,215,239.28
		Total Transportation	**848,409.15**	**1,017,345,534.97**
		TOTAL ARRA DISBURSEMENTS	**$ 20,044,894.04**	**$ 40,542,234,778.94**

(*)On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

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APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2014-2015

	1st Quarter April - June	2nd Quarter July - September	3rd Quarter October - December	2015 JANUARY	2015 FEBRUARY	2014-2015
OPENING CASH BALANCE	$ 249,281,804.49	$ 300,577,699.85	$ 270,791,872.38	$ 262,437,942.22	$ 303,574,588.13	$ 249,281,804.49
RECEIPTS:						
Patient Services	702,857,910.35	692,322,562.55	714,504,982.81	304,015,197.85	134,548,834.48	2,548,249,488.04
Covered Lives	283,970,689.03	264,758,078.40	265,978,120.05	91,331,200.24	47,081,297.63	953,119,385.35
Provider Assessments	23,415,365.51	23,116,080.06	25,396,579.80	6,892,829.65	4,333,796.99	83,154,652.01
1% Assessments	83,682,193.18	86,602,263.82	90,167,778.91	25,712,032.56	29,062,175.00	315,226,443.47
DASNY- MOE/Recast receivables	-	-	-	-	-	-
Interest Income	53,284.37	57,610.89	63,724.54	19,645.28	18,563.06	212,828.14
Unassigned	(705.00)	8,390.00	(8,390.00)	22,249.56	(2,734.55)	18,810.01
Total Receipts	**1,093,978,737.44**	**1,066,864,985.72**	**1,096,102,796.11**	**427,993,155.14**	**215,041,932.61**	**3,899,981,607.02**
PROGRAM DISBURSEMENTS:						
Poison Control Centers	-	-	-	(3,000,000.00)	-	(3,000,000.00)
School Based Health Center Grants	-	-	(5,288,000.00)	-	-	(5,288,000.00)
ECRIP Distributions	-	-	(1,048,883.00)	-	-	(1,048,883.00)
Total Program Disbursements	**-**	**-**	**(6,336,883.00)**	**(3,000,000.00)**	**-**	**(9,336,883.00)**
Excess (Deficiency) of Receipts over Disbursements	**1,093,978,737.44**	**1,066,864,985.72**	**1,089,765,913.11**	**424,993,155.14**	**215,041,932.61**	**3,890,644,724.02**
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Medicaid Disproportionate Share	84,497.00	-	-	-	-	84,497.00
Health Facility Assessment Fund - Hospital Quality Contribution	9,690,181.00	10,125,443.00	10,187,387.00	3,332,772.00	3,214,994.00	36,550,777.00
Transfers From State Funds:						
HCRA Resources Fund	-	-	6,336,883.00	3,000,000.00	-	9,336,883.00
Total Other Financing Sources	**9,774,678.00**	**10,125,443.00**	**16,524,270.00**	**6,332,772.00**	**3,214,994.00**	**45,972,157.00**
Transfers To Other Pools:						
Medicaid Disproportionate Share	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers To State Funds:						
HCRA Resources Fund	(860,289,460.55)	(869,686,230.37)	(908,565,120.53)	(324,597,417.89)	(319,242,864.21)	(3,282,381,093.55)
Indigent Care Fund (matched)	(192,570,854.07)	(218,725,881.63)	(194,003,591.66)	(62,248,320.11)	(61,147,426.06)	(728,696,073.53)
Indigent Care Fund (non-matched)	402,794.54	(18,364,144.19)	(12,075,401.08)	(3,343,543.23)	(983,243.34)	(34,363,537.30)
Total Other Financing Uses	**(1,052,457,520.08)**	**(1,106,776,256.19)**	**(1,114,644,113.27)**	**(390,189,281.23)**	**(381,373,533.61)**	**(4,045,440,704.38)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**51,295,895.36**	**(29,785,827.47)**	**(8,353,930.16)**	**41,136,645.91**	**(163,116,607.00)**	**(108,823,823.36)**
CLOSING CASH BALANCE	$ 300,577,699.85	$ 270,791,872.38	$ 262,437,942.22	$ 303,574,588.13	$ 140,457,981.13	$ 140,457,981.13

Source: HCRA - Office of Pool Administration

From the digital collections of the New York State Library.

APPENDIX E

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2014-2015

	1st Quarter April - June	2nd Quarter July - September	3rd Quarter October - December	2015 JANUARY	2015 FEBRUARY	2014-2015
OPENING CASH BALANCE	$ 521.91	$ 1,507.89	$ 2,486.01	$ 1,650.00	$ 603.65	$ 521.91
RECEIPTS:						
Interest Income	1,849.63	2,825.58	2,008.05	603.65	873.28	8,160.19
Total Receipts	**1,849.63**	**2,825.58**	**2,008.05**	**603.65**	**873.28**	**8,160.19**
PROGRAM DISBURSEMENTS:						
Indigent Care	(190,159,937.91)	(224,059,343.52)	(185,081,916.99)	(63,955,694.11)	(61,639,047.73)	(724,895,940.26)
High Need Indigent Care	-	-	-	-	-	-
Other	-	(3,986,606.97)	(4,273,886.07)	71,204.77	-	(8,189,288.27)
Total Program Disbursements	**(190,159,937.91)**	**(228,045,950.49)**	**(189,355,803.06)**	**(63,884,489.34)**	**(61,639,047.73)**	**(733,085,228.53)**
Excess (Deficiency) of Receipts over Disbursements	**(190,158,088.28)**	**(228,043,124.91)**	**(189,353,795.01)**	**(63,883,885.69)**	**(61,638,174.45)**	**(733,077,068.34)**
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Public Goods Pool	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers From State Funds:						
HCRA Resources Indigent Care - Matched	96,285,427.04	109,362,940.82	97,001,795.83	31,124,160.06	30,573,713.03	364,348,036.78
HCRA Resources Indigent Care - Unmatched	(1,779,603.96)	10,004,727.59	(4,529,966.81)	1,636,169.23	491,621.67	5,822,947.72
HCRA Resources Indigent Care - ATB	(631,312.20)	(294,681.73)	(117,821.79)	-	-	(1,043,815.72)
Federal DHHS Fund	96,285,427.03	109,362,940.81	97,001,795.83	31,124,160.05	30,573,713.03	364,348,036.75
Other	84,497.00	-	-	-	-	84,497.00
Total Other Financing Sources	**190,244,434.91**	**228,435,927.49**	**189,355,803.06**	**63,884,489.34**	**61,639,047.73**	**733,559,702.53**
Transfers To Other Pools:						
Public Goods Pool	(84,497.00)	-	-	-	-	(84,497.00)
Health Facility Assessment Fund	-	(389,977.00)	-	-	-	(389,977.00)
Transfers To State Funds:						
HCRA Resources Fund Indigent Care Acct	(863.65)	(1,847.46)	(2,844.06)	(1,650.00)	(603.65)	(7,808.82)
Total Other Financing Uses	**(85,360.65)**	**(391,824.46)**	**(2,844.06)**	**(1,650.00)**	**(603.65)**	**(482,282.82)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**985.98**	**978.12**	**(836.01)**	**(1,046.35)**	**269.63**	**351.37**
CLOSING CASH BALANCE	$ 1,507.89	$ 2,486.01	$ 1,650.00	$ 603.65	$ 873.28	$ 873.28

Source: HCRA - Office of Pool Administration

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STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2014-2015
(Amounts in thousands)

APPENDIX F

	2014 APRIL	2014 MAY	2014 JUNE	2014 JULY	2014 AUGUST	2014 SEPTEMBER	2014 OCTOBER	2014 NOVEMBER	2014 DECEMBER	2015 JANUARY	2015 FEBRUARY	2015 MARCH	2014-2015 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ 2	$ -	$ 70	$ 9	$ -	$ -	$ 29			$ 110
Education - EXCEL	2,929	7,022	13,359	3,539	1,310	1,005	3,860	544	16	40			33,624
Department of Health - All Other	16	3	63	3	11	56	(41)	3	46	6			166
Community Enhancement Facilities Assistance Program (CEFAP)	19	-	-	38	273	205	-	-	138	-			673
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	-	3	6	232	38	75	317	42	888	380			1,981
Multi-modal	-	-	-	-	-	-	-	-	-	-			-
GenNYsis	-	-	-	539	-	196	-	-	-	-			735
CUNY Senior Colleges	35,474	7,600	40,027	33,541	9,550	40,007	69,069	11,081	31,143	14,254			291,746
CUNY Community Colleges	1,768	1,190	3,230	2,327	549	3,388	2,334	837	6,183	965			22,771
SUNY Dormitories	3,861	2,457	8,169	5,304	1,896	9,133	5,952	2,163	9,377	3,392			51,704
Upstate Community Colleges	5,052	3,704	3,009	6,702	1,785	11,636	12,589	925	3,926	2,310			51,638
Mental Health	8,917	7,000	13,839	13,087	11,939	16,545	12,729	9,960	26,598	7,784			128,398
Developmental Disabilities	2,788	563	2,007	2,047	509	2,365	1,201	1,652	2,713	394			16,239
Alcoholism and Substance Abuse	114	17	139	72	5	233	119	9	369	219			1,296
Brooklyn Court Officer Training Academy	272	1	7	-	-	-	14	817	7	5			1,123
TOTAL DORMITORY AUTHORITY	**61,210**	**29,560**	**83,855**	**67,433**	**27,865**	**84,914**	**108,152**	**28,033**	**81,404**	**29,778**	**-**	**-**	**602,204**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-			-
Community Capital Assistance Program (CCAP)	8	7	-	63	(2)	44	19	201	8	-			348
Empire Opportunity	-	-	-	-	289	1,887	-	-	-	-			2,176
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-			-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**8**	**7**	**-**	**63**	**287**	**1,931**	**19**	**201**	**8**	**-**	**-**	**-**	**2,524**
TOTAL OFF-BUDGET	**$ 61,218**	**$ 29,567**	**$ 83,855**	**$ 67,496**	**$ 28,152**	**$ 86,845**	**$ 108,171**	**$ 28,234**	**$ 81,412**	**$ 29,778**	**$ -**	**$ -**	**$ 604,728**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

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APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	November 30, 2014	December 31, 2014	January 31, 2015	Change	February 28, 2015	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(****)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	558,201,054.35	808,681,400.59	772,110,743.63	(150,181,714.81)	621,929,028.82	(**)
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	2,068,535.31	2,546,895.74	2,546,895.74	(493,517.58)	2,053,378.16	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	108,009,666.82	118,290,036.13	124,696,870.29	541,396.14	125,238,266.43	
30501	CW/CA IMPLEMENTATION DEC	169.29	169.29	169.29	-	169.29	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	103,164,196.47	107,135,056.29	117,813,502.91	4,128,069.09	121,941,572.00	
31701	YOUTH FACILITIES IMPROVEMENT	6,611,285.18	4,244,520.08	5,483,454.51	2,145,760.29	7,629,214.80	
31801	HOUSING ASSISTANCE	13,150,846.05	13,972,846.05	13,972,846.05	-	13,972,846.05	
31851	HOUSING PROG FD-HSG TR FD CORP	-	-	-	-	-	
31852	HOUSING PROG FD AFFORD HSG CORP	46,229,225.31	26,463,332.34	26,463,332.34	-	26,463,332.34	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	89,235,571.48	80,120,521.83	80,136,895.92	54,148.38	80,191,044.30	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	12,530,591.01	12,569,063.35	12,576,689.79	(255,612.09)	12,321,077.70	
32213	NY RACING ACCOUNT	648,750.00	923,750.00	923,750.00	-	923,750.00	

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APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	November 30, 2014	December 31, 2014	January 31, 2015	Change	February 28, 2015
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	102,189,954.60	107,183,398.86	108,806,142.56	2,068,572.87	110,874,715.43
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	182,200,020.09	182,940,020.09	173,860,343.69	1,487,362.26	175,347,705.95
32306	DASNY - OMH ADMIN	30,872,576.23	31,551,947.37	32,075,824.47	676,966.50	32,752,790.97
32307	DASNY - OPWDD ADMIN	5,768,126.31	5,768,126.31	5,768,126.31	1,023,000.00	6,791,126.31
32308	DASNY - OASAS ADMIN	278,545.69	278,545.69	578,545.69	-	578,545.69
32309	OMH -STATE FACILITIES	123,943,649.87	105,279,435.33	111,689,855.78	4,164,173.14	115,854,028.92
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	1,303,102.85	1,323,405.15	1,400,114.15	244,692.63	1,644,806.78
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	17,555,605.06	35,366,877.56	50,669,253.38	21,419,356.00	72,088,609.38
33001	STORM RECOVERY ACCOUNT	10,001,126.05	10,001,126.05	1,126.05	-	1,126.05
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,413,962,598.02**	**1,654,640,474.10**	**1,641,574,482.55**	**(112,977,347.18)**	**1,528,597,135.37**
	STATE SPECIAL REVENUE FUNDS					
20451	TUITION REIMBURSEMENT FUND	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	63,110,696.08	86,386,355.72	109,936,930.08	(109,673,708.22)	263,221.86
20812	HOSPITAL BASED GRANTS PROGRAM	65.55	65.56	-	-	-
20818	EPIC PREMIUM ACCOUNT	-	-	-	8,298,779.82	8,298,779.82
20901	LOTTERY-EDUCATION	1,040,883,351.68	875,049,606.28	735,221,049.20	(151,396,798.68)	583,824,250.52
20904	VLT EDUCATION	-	-	-	10,840,980.76	10,840,980.76
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	2,481,519.35	2,768,039.32	4,787,900.14	170,653.84	4,958,553.98
21053	WASTE MGMT AND CLEANUP	-	-	-	-	-
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	4,763,417.19	5,727,141.55	1,800,581.17	742,667.89	2,543,249.06
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,627,453.04	4,198,894.60	4,458,449.72	226,961.00	4,685,410.72
21067	ENCON-RECREATION	12,060,977.44	11,418,128.53	12,241,140.86	(1,926,350.72)	10,314,790.14
21077	PUBLIC SAFETY RECOVERY ACCOUNT	73,800.38	72,720.38	-	-	-
21080	ENCON CONSERVATIONIST MAGAZINE ACCT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	26,882,856.96	26,771,145.03	26,783,443.93	1,018,225.68	27,801,669.61
21082	NATURAL RESOURCES ACCOUNT	20,732,143.17	20,089,600.72	20,315,487.20	101,577.27	20,417,064.47
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	635,761.20	697,535.40	738,596.42	126,574.86	865,171.28
21202	HEALTH DEPT OIL SPILL	206,587.14	226,136.90	239,260.85	38,908.68	278,169.53
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	10,714,447.77	12,045,415.58	14,123,569.83	832,181.29	14,955,751.12
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	5,925,202.97	4,341,558.33	873,725.01	23,090,914.15	23,964,639.16
21402	METROPOLITAN MASS TRANSPORTATION	173,966,298.47	672,215,752.50	406,882,898.40	(93,826,543.26)	313,056,355.14
21451	OPERATING PERMIT PROGRAM	20,247,109.99	20,635,131.45	21,629,236.66	546,732.80	22,175,969.46
21452	MOBILE SOURCE	-	-	-	1,373,281.96	1,373,281.96
21902	HEALTH-SPARC'S	-	-	-	-	-
21903	OPWDD PROVIDER OF SERVICE	64,205,123.47	72,345,190.54	78,088,885.32	1,726,115.25	79,815,000.57
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	231,306,714.99	312,782,982.33	414,468,122.33	5,255,905.71	419,724,028.04
21911	FINANCIAL CONTROL BOARD	495,158.59	714,666.59	187,462.10	156,861.93	344,324.03
21912	RACING REGULATION ACCOUNT	4,793,915.78	5,343,717.19	5,395,156.50	(257,913.03)	5,137,243.47
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	14,454,819.10	16,387,822.85	14,749,590.14	1,383,820.21	16,133,410.35
21919	CYBER SECURITY UPGRADE	-	-	-	-	-
21937	SU DORM INCOME REIMBURSE	1,064,445.88	5,070,084.01	1,012,260.48	(483,703.69)	528,556.79
21943	ENERGY RESEARCH ACCOUNT	12,906,666.93	12,906,666.93	12,906,666.93	3,807,500.00	16,714,166.93
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21950	FINGERPRINT IDENTIFICATION AND TECH ACCOUNT	-	-	-	-	-
21959	ENV LAB REF FEE	1,503,997.27	-	-	-	-
21962	CLINICAL LAB FEE	16,572,366.81	17,194,278.68	15,419,892.95	1,023,411.40	16,443,304.35
21964	PUBLIC EMP REL BOARD	-	-	-	-	-
21978	INDIRECT COST RECOVERY	1,157,021.62	533,517.04	1,232,765.55	1,071,616.80	2,304,382.35
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	685,982.76	268,670.75	954,653.51
22003	BELL JAR COLLECTION ACCOUNT	-	-	72,547.03	(72,547.03)	-
22004	INDUSTRY AND UTILITY SERVICE	97,895.06	-	-	-	-
22006	REAL PROPERTY DISPOSITION	336,217.14	365,950.58	375,060.49	60,990.60	436,051.09
22007	PARKING ACCOUNT	-	-	-	358,912.43	358,912.43
22009	ASBESTOS SAFETY TRAINING	26,325.41	-	11,985.54	105,005.30	116,990.84
22032	BATAVIA SCHOOL FOR THE BLIND	11,508,304.96	12,024,260.71	12,417,709.90	(926,490.90)	11,491,219.00
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	712,579.37	963,425.97	180,040.78	512,910.77	692,951.55

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APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	November 30, 2014	December 31, 2014	January 31, 2015	Change	February 28, 2015	
22046	REGULATION INDIAN GAMING	57,871,543.48	59,636,310.09	60,746,802.67	612,221.61	61,359,024.28	
22053	ROME SCHOOL FOR THE DEAF	5,075,181.84	5,446,082.28	5,850,047.32	314,741.89	6,164,789.21	
22054	DSP-SEIZED ASSETS	-	216,528.14	763,834.82	595,133.48	1,358,968.30	
22055	ADMINISTRATIVE ADJUDICATION	1,938,903.52	-	4,974,816.17	5,309,345.57	10,284,161.74	
22056	FEDERAL SALARY SHARING	172,566.29	362,479.91	626,930.92	105,819.21	732,750.13	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	1,579,422.47	3,483,182.44	3,035,701.48	1,342,097.88	4,377,799.36	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	3,702,316.79	3,562,216.19	3,926,377.71	536,525.82	4,462,903.53	
22087	DMV-COMPULSORY INS PRGM	-	-	-	-	-	
22090	HOUSING INDIRECT COST RECOVERY	5,027,460.49	5,331,105.17	5,558,922.34	219,092.06	5,778,014.40	
22094	ACCIDENT PREVENTION COURSE PROGRAM	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	85,325.80	354,081.64	-	-	-	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	-	64,121.60	10,751.76	19,159.86	29,911.62	
22156	RENT REVENUE OTHER - NYC	26,650,796.69	6,179,145.86	8,339,425.38	4,930,421.26	13,269,846.64	
22158	RENT REVENUE	460,837.79	488,218.36	395,183.25	91,130.30	486,313.55	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22654	S.U. NON-RESIDENT REV. OFFSET	27,705,756.81	27,707,864.77	27,710,272.02	2,920.23	27,713,192.25	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	6,080,776.50	6,412,544.85	6,560,997.05	(67,355.11)	6,493,641.94	
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-	
23102	DOH DRINKING WATER PROGRAM	7,580,315.59	8,049,964.65	9,087,579.08	185,291.04	9,272,870.12	
23151	NYCCC OPERATING OFFSET	38,080,114.71	40,665,260.76	42,975,485.29	4,488,293.09	47,463,778.38	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	5,578,643.29	6,132,728.72	6,282,173.28	295,308.51	6,577,481.79	
	TOTAL STATE SPECIAL REVENUE FUNDS	**1,936,043,202.82**	**2,373,567,626.70**	**2,104,081,698.81**	**(276,443,747.68)**	**1,827,637,951.13**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	29,481,726.82	9,500,314.49	26,522,574.50	10,662,123.82	37,184,698.32	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	191,243,645.91	367,007,596.61	275,005,783.24	(29,406,179.45)	245,599,603.79	
25200-25249	FEDERAL EDUCATION GRANTS FUND	35,279,892.08	33,704,615.91	14,710,157.95	21,492,259.72	36,202,417.67	
25300-25899	FEDERAL OPERATING GRANTS FUND	989,782,093.84	347,884,548.10	315,968,830.23	(16,357,976.74)	299,610,853.49	
31351	MILITARY AND NAVAL AFFAIRS	7,187,937.44	6,834,152.75	6,834,152.75	-	6,834,152.75	
31354	DEPARTMENT OF TRANSPORTATION	209,135,689.17	152,754,112.00	158,092,473.40	203,959,668.58	362,052,141.98	(***)
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	14,034,017.24	15,000,308.22	26,583,386.07	(11,474,382.64)	15,109,003.43	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	1,244,271.12	1,244,076.12	10,827,318.73	(9,583,807.97)	1,243,510.76	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	7,201,088.21	41,726.91	919,718.62	(233,535.13)	686,183.49	
	TOTAL FEDERAL FUNDS	**1,484,590,361.83**	**933,971,451.11**	**835,464,395.49**	**169,058,170.19**	**1,004,522,565.68**	(***)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-	
	TOTAL ENTERPRISE FUND	**-**	**-**	**-**	**-**	**-**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	200,016.51	168,738.76	82,423.83	22,897.95	105,321.78	
55002	CENTRALIZED SERVICES-DATA PROCESSING	1,795,866.82	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	2,979,673.62	3,031,121.45	3,175,033.86	357,007.86	3,532,041.72	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	50,036.21	173,813.89	223,850.10	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,180,940.94	3,682,571.09	3,852,333.93	(148,049.99)	3,704,283.94	
55008	CENTRALIZED SERVICES-PASNY	28,626,384.58	30,575,488.64	29,950,703.19	(8,285,166.92)	21,665,536.27	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	6,523,508.59	10,394,249.70	8,964,146.99	(1,911,834.49)	7,052,312.50	
55011	CENTRALIZED SERVICES-INSURANCE	2,336,254.82	1,959,914.01	1,699,478.05	(161,691.99)	1,537,786.06	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	19,554.20	11,413.32	-	-	-	
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54	
55017	DOWNSTATE WAREHOUSE	655,128.64	850,738.29	431,748.93	101,553.51	533,302.44	
55018	BUILDING ADMINISTRATION	-	-	-	-	-	
55019	LEASE SPACE INITIATIVE	-	-	-	-	-	
55020	OGS ENTERPRISE CONTRACTING ACCT	83,839,947.48	75,246,370.99	77,590,857.42	13,466,905.97	91,057,763.39	

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APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	November 30, 2014	December 31, 2014	January 31, 2015	Change	February 28, 2015
55021	NYS MEDIA CENTER	2,263,647.36	2,685,496.43	2,458,633.80	595,724.38	3,054,358.18
55022	BUSINESS SERVICES CENTER	1,975,025.49	2,342,344.32	2,426,446.58	(853,823.05)	1,572,623.53
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE LAW:SEC. 11 ADMIN	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	3,279.96	60,541.78	153,614.52	(73,051.87)	80,562.65
55058	CULTURAL RESOURCE SURVEY	2,867,445.67	2,989,710.01	1,663,317.23	204,206.80	1,867,524.03
55059	NEIGHBOR WORK PROJECT	10,413,086.09	10,752,338.25	10,229,696.36	279,522.06	10,509,218.42
55060	AUTOMATIC/PRINT CHARGBACKS	2,544,938.39	1,439,771.89	-	-	-
55061	OFT NYT ACCT	10,468,269.14	10,629,676.74	10,100,301.06	(386,161.76)	9,714,139.30
55062	DATA CENTER ACCOUNT	51,128,671.18	51,432,942.88	52,245,038.83	(2,219,196.91)	50,025,841.92
55063	HUMAN SVCE TELECOM ACCT	-	-	-	-	-
55066	CYBER SECURITY INTRUSION ACCT	1,646,320.86	1,617,960.15	1,593,504.88	(45,074.40)	1,548,430.48
55067	DOMESTIC VIOLENCE GRANT	202,153.43	178,282.63	192,255.60	2,229.77	194,485.37
55069	CENTRALIZED TECHNOLOGY SERVICES	8,279,730.57	35,294,119.76	44,662,868.06	4,713,148.41	49,376,016.47
55071	LABOR CONTACT CENTER ACCT	544,477.88	812,325.05	373,385.45	227,723.32	601,108.77
55072	HUMAN SERVICES CONTACT CNTR ACCT	537,085.56	469,795.96	472,478.65	(351,186.01)	121,292.64
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55201	JOINT LABOR MANAGEMENT ADMIN	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	3,365,296.86	3,604,048.90	3,752,849.66	491,509.95	4,244,359.61
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	1,220,551.76	2,723,722.28	-	-	-
55300	HEALTH INSURANCE INTERNAL SERVICE	13,204,102.15	12,486,536.29	12,164,134.99	52,423.96	12,216,558.95
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,391,715.81	2,549,726.01	2,653,000.58	328,470.22	2,981,470.80
55350	CORR INDUSTRIES INTERNAL SERVICE	22,883,656.66	25,046,150.30	25,160,763.62	3,479,051.17	28,639,814.79
	TOTAL INTERNAL SERVICE FUNDS	**266,123,692.56**	**293,063,057.42**	**296,126,013.82**	**10,060,951.83**	**306,186,965.65**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 5,100,719,855.23**	**$ 5,255,242,609.33**	**$ 4,877,246,590.67**	**$ (210,301,972.84)**	**$ 4,666,944,617.83**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 55, Part I, Section 1 and 1A, of the Laws of 2014-15. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director The balances reported here in Appendix G are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) The Fund 31354 temporary loan balance includes $36.3 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 based upon a realignment plan with the Department of Transportation. A total of $33.5 million will be transferred to Fund 30051 on or before March 31, 2015.

(***) Except for DOT-Highways see note (**), temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(****) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

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